

Record of Line-item Letter Ballot Review by TC Chapter for Procedural Review

Region/Locale: **Japan**
 Global Technical Committee: **Silicon Wafer**
 TC Chapter Cochairs: **Naoyuki Kawai/self, Tetsuya Nakai/SUMCO**
 Standards Staff: **Junko Collins**

	Scheduled in Background Statement	Actual
Date	12/17/2015	12/17/2015
Location	Conference Tower, Tokyo Big Sight, Tokyo	Conference Tower, Tokyo Big Sight, Tokyo
Reason for Change of Date and/or Location (if changed)		

Note: See *Regulations* ¶ 9.5 Exception for allowable reason to change.

Document Information

I. Document Number, Title, Lists of Line Items

Document Number 5929	Document Title LINE ITEM REVISION OF SEMI MF42-1105 (Reapproved 0611) TEST METHODS FOR CONDUCTIVITY TYPE OF EXTRINSIC SEMICONDUCTING MATERIALS	
List of Line Items	Line Item 1	Line Item Title Correct the title of this standard from “Test Methods” to “Test Method”

Line Item 1 Adjudication

II. Tally

Standards staff to fill in.

Voting Tally: As-cast tally after close of voting period

Note: A minimum of 60% of the Voting Interests that have TC Members within the global technical committee that issued the Letter Ballot must return Votes. (Regulations ¶ 9.7.1.1)

Voting Tally (with example values):

Voting Interest:	Returned Votes	Distribution	Return Rate	
Letter Ballot	54	÷ 90	= 60.0%	≥60%
Intercommittee Ballot	22			
Voting Interest Reject(s)	1	Total Voters with Rejects		1
Voting Interest Accept(s)	40			

Note: See Regulations § 3.2.1 for definition of Voting Interest.

III. Rejects

Voting Interest Reject 1 (Voting Interest Name: SunEdison)

Voter Reject 1 (Voter: John Valley / SunEdison)

Negative 1

Negative	Referenced Section/ Paragraph	*General		
	Negative Text	Body of document should be edited to define one test method, multiple procedures..		
TF input (optional)				
Withdrawal (check one)	<input type="checkbox"/>	No Negative withdrawal made by Voter.	GO TO "Related" subsection	
	<input type="checkbox"/>	Withdrawal document received by Standards staff on MM/DD/YYYY.	GO TO "Final" subsection → (A)	
Motion and Reason (check one)	<input type="checkbox"/>	'Related' is mutually agreed upon. (Needs no motion.)	GO TO "Persuasive" subsection	
	<input checked="" type="checkbox"/>	Negative is not related. (Needs ≥2/3 votes to pass.)		
	Reason	"Body of document should be edited" is out of this line item ballot scope, which is limited to correct Standard subtype.name.		
Motion by/ 2 nd by	Ryuji Takeda (GWJ) / Tsuyoshi Ohtsuki (SEH)			

	Discussion	It is up to the task force to change to test method A to Procedure A and so on, as a new business.				
	Result of Vote (check one)	13Y- 0N; Motion passed.				
		<input type="checkbox"/>	[Negative is not related.] < 2/3	<input type="checkbox"/>	GO TO "Persuasive" subsection	
		<input checked="" type="checkbox"/>	2/3 ≤ [Negative is not related.]	<input type="checkbox"/>	GO TO "Final" subsection → (B)	
Persuasive	Motion and Reason (check one)	<input type="checkbox"/>	Negative is related and persuasive. (Needs >1/3 votes to pass.)			
		<input type="checkbox"/>	Negative is related and not persuasive. (Needs ≥2/3 votes to pass.)			
		Reason	XXXX			
	Motion by/ 2nd by	Name (Company)/Name (Company)				
	Discussion					
		Result of Vote (check one)	XX Y-XX N; Motion passed/failed.			
	<input type="checkbox"/>		[Negative is related and persuasive.] > 1/3	Is a technical change recommended? (check one)	<input type="checkbox"/>	Y GO TO "Address by Technical Change Option" subsection
	<input type="checkbox"/>		[Negative is related and not persuasive.] < 2/3	<input type="checkbox"/>	N GO TO "Final" subsection → (E)	
	<input type="checkbox"/>		2/3 ≤ [Negative is related and not persuasive.] < 90%	GO TO "Final" subsection → (C)		
	<input type="checkbox"/>		90% ≤ [Negative is related and not persuasive.]	GO TO "Not Significant Finding Option" subsection		
Address by Technical Change Option	Technical Change Recommendations					
	Original section/paragraph number and at least one full sentence are required in "FROM" and "TO" fields.					
	1	FROM: Section/Paragraph XXX				
		TO: Section/Paragraph xxx				
		Justification (if necessary)				
	2	FROM: Section/Paragraph XXX				
TO: Section/Paragraph xxx						
Justification (if necessary)						
	Motion	Negative is addressed by the technical change(s).				
	Motion by/2nd by	Name (Company)/Name (Company)				
	Discussion					

Result of Vote (check one)		XX Y-XX N; Motion passed/failed.		
		<input type="checkbox"/>	2/3 ≤ [Negative is addressed by the technical change(s).] GO TO "Incorporation of the Technical Change" subsection	
		<input type="checkbox"/>	[Negative is not addressed by the technical change(s).] < 2/3 GO TO "Final" subsection → (E)	
Incorporation of the Technical Change	Motion	To incorporate the technical change(s).		
	Motion by/2nd by	Name (Company)/Name (Company)		
	Discussion			
	Result of Vote (check one)		XX Y-XX N; Motion passed/failed.	
<input type="checkbox"/>			90% ≤ [Agree to incorporate.] GO TO "Final" subsection → (F)	
<input type="checkbox"/>			[Disagree to incorporate.] > 10% GO TO "Final" subsection → (E)	
Not Significant Finding Option	This option can be used only "if the TC Chapter finds a Negative not persuasive by a vote equal to or greater than 90% of the persons voting on the action". (Regulations ¶ 9.6.4.4.2)			
	Use of "Not significant finding option" (check one)	<input type="checkbox"/>	It is mutually agreed upon to term the Negative "not significant". GO TO "Final" subsection → (D)	
		<input type="checkbox"/>	It is mutually agreed upon to term the Negative "significant". GO TO "Final" subsection → (C)	
		Whether or not the Negative is "not significant" is decided by a vote.		
	Motion	The Negative is "not significant".		
	Motion by/ 2nd by	Name (Company)/Name (Company)		
	Vote	<input type="checkbox"/>	XX Y-XX N; Motion passed with simple majority	GO TO "Final" subsection → (D)
<input type="checkbox"/>		XX Y-XX N; Motion failed with simple majority	GO TO "Final" subsection → (C)	
Final	(check if applicable)	<input type="checkbox"/>	(A) Withdrawn (counted under h in disposition)	
		<input checked="" type="checkbox"/>	(B) Not related (counted under i in disposition)	
		<input type="checkbox"/>	(C) Related and not persuasive (significant)	
		<input type="checkbox"/>	(D) Not significant (counted under j in disposition)	
		<input type="checkbox"/>	(E) Related and persuasive and not addressed by technical change	DOCUMENT FAILS
		<input type="checkbox"/>	(F) Addressed by technical change (counted under k disposition)	
(check if applicable)	<input type="checkbox"/>	Comment generated. See Section V-(ii) Comment # X.		

Disposition of Voting Interest Reject 1

Check only when the Document has not been failed.

1	Original number (#) of Negatives	(g)
#	Number of Negatives withdrawn	(h)
1	Number of Negatives found not related	(i)
#	Number of Negatives found not significant	(j)

#	Number of Negatives addressed by technical change (Negative becomes not significant)		(k)
Final	X	$g - (h + i + j + k) = 0$	Reject is Not Valid and is not included in the denominator of § VI. <i>Approval Conditions Check</i>
		$g - (h + i + j + k) > 0$	Reject is included in the denominator of § VI. <i>Approval Conditions Check</i>
		Reject without a Negative	Not Valid

Note: If all of the Negatives included with a Reject Vote are withdrawn, determined to be not related, or determined to be not significant, the Reject Vote is not valid. (*Regulations ¶ 9.4.3.3*)

Note: A Negative addressed by a technical change is automatically considered to be not significant. (*Regulations ¶ 9.6.4.4.2*)

IV. Other Technical Issues: None for this document

Note: TC Chapter may choose to address a technical issue that is not part of a Negative received on a Letter Ballot (i.e., a Comment or a reason not addressed by a Vote response) by handling it as a Negative and finding it related and technically persuasive. The TC Chapter may then fail the Document or address such technical issue by using the procedure defined in *Regulations* § 9.6.4.3 to make a technical change to the Document. (*Regulations* ¶ 9.6.2.4.5)

V. Comments

V- (i) Voters' Comments: none for this document

V-(ii) Comments Created by Handling Negative: none for this document

VI. Editorial Changes Other than Those Voted on in § V: none for this document

VII. Approval Conditions Check

VII. - (i). Approval Rate

APPROVAL CONDITION 1: All Negatives have been discussed and were withdrawn, found not related, found not persuasive, or addressed by a technical change. (*Regulations* ¶ 9.7.1.2)

APPROVAL CONDITION 2: At least 90% of the sum of valid Voting Interest Accept and Voting Interest Reject Votes must be Accept. (*Regulations* ¶ 9.7.1.3)

Note: If both approval conditions are not satisfied, the Document fails.

		Accepts		(Accepts + Valid Rejects)					
Approval Rate	=	40	/	40	=	100.0%		≥90%	

VII. – (ii) Approval Level (check one)

Note: See *Regulations* § 9.7.2 for further information.

Globally Approved (No Ratification Ballot needed):

Line Item 1 meets the Letter Ballot approval conditions for the global technical committee.

Need a Ratification Ballot:

Line Item 1 meets the Letter Ballot approval conditions for the TC Chapter and a Ratification Ballot will be issued to validate technical changes.

Checks for Entire Document Including All Approved Line Items

VIII. Safety Check

Note: This Safety check applies to the entire Standard or Safety Guideline including all the approved Line Items. See § 15 of the *Regulations* for further information.

Motion	X	This is not a Safety Document , when all safety-related information is removed, the Document is still technically sound and complete. (<i>Regulations</i> ¶ 8.7.1)
		This is a Safety Document , when all safety-related information is removed, the Document is not technically sound and complete. (<i>Regulations</i> ¶ 8.7.2)
		Safety Checklist (<i>Regulations</i> ¶ 15.3) is complete and has been included with the Document throughout the balloting process. (<i>Regulations</i> ¶ 15.1.2)
Motion by/2nd by		Ryuji Takeda (GWJ) / Tsuyoshi Ohtsuki (SEH)
Discussion		
Vote		14 Y-0 N; Motion passed

IX. Intellectual Property (IP) Check

Note: This IP check applies to the entire Standard or Safety Guideline including all the approved Line Items. See § 16 of the *Regulations* for further information.

	The TC Chapter meeting chair asked those participating, if they were aware of any potentially material patented technology or copyrighted items* in the Standard or Guideline. (<i>Regulations</i> ¶ 8.8.1)	
	X	No potentially material patented technology or reproduction of copyrighted items is known. GO TO SECTION X.
		Potentially material patented technology or reproduction of copyrighted items is known, but a Letter of Assurance (LOA) or copyright release letter for such items has been obtained or presented to the TC Chapter. GO TO SECTION X.
		Potentially material patented technology or reproduction of copyrighted items is known and use of such materials is technically justified by the TC Chapter, but an LOA or copyright release letter for some of the item(s) has NOT been obtained or presented to the TC Chapter.
Motion		Ask ISC for special permission to publish.
		Quit activity.
		Wait for LOA for patented technology or release of copyrighted items.
Motion by/2nd by		Name (Company)/Name (Company)
Discussion		XXXX
Vote		XX Y-XX N
Final Action		Motion passed
		Motion failed

* Note: Such potentially material patented technology or copyrighted items might have become known since the Standard or Safety Guideline was last reviewed, or might become relevant due to this Letter Ballot.

X. Action for This Document

Motion (Check all applicable items)	<input checked="" type="checkbox"/>	Line item 1 passed TC Chapter review as balloted and will be forwarded to the ISC A&R SC for procedural review.
	<input type="checkbox"/>	Line item(s) [X], [X] and [X] passed TC Chapter review with editorial changes and will be forwarded to the ISC A&R SC for procedural review.
	<input type="checkbox"/>	Line item(s) [X], [X] and [X] passed TC Chapter review with technical changes and with or without editorial changes and will be forwarded to the ISC A&R SC for procedural review. A Ratification Ballot will be issued to verify the technical changes.
	<input type="checkbox"/>	Line item(s) [X], [X] and [X] failed TC Chapter review and will be returned to the TF for rework.
	<input type="checkbox"/>	Line item(s) [X], [X] and [X] failed TC Chapter review and work will be discontinued.
Motion by/ 2nd by	Ryuji Takeda (GWJ) / Tsuyoshi Ohtsuki (SEH)	
Discussion		
Vote	14Y- 0N	
Final Action	<input checked="" type="checkbox"/>	Motion passed
	<input type="checkbox"/>	Motion failed

Standards staff to record the result of the A&R procedural review here:

A&R	<input type="checkbox"/>	Line item(s) [X], [X] and [X] are Approved for publication
	<input type="checkbox"/>	Line item(s) [X], [X] and [X] are Approved pending acceptance of the Ratification Ballot
	<input type="checkbox"/>	Line item(s) [X], [X] and [X] are Not approved
	Reason:	