

Record of Line-item Letter Ballot Review by TC Chapter for Procedural Review

Region/Locale: **North America**

Global Technical Committee: **Compound Semiconductor Materials**

TC Chapter Cochairs: **Russ Kremer (Freiberger Compound Materials)/James Oliver (Northrop Grumman)**

Standards Staff: **Kevin Nguyen**

	Scheduled in Background Statement	Actual
Date	5/18/2016	5/18/2016
Location	Hyatt Regency Miami, FL	Hyatt Regency Miami, FL
Reason for Change of Date and/or Location (if changed)		

Note: See *Regulations* ¶ 9.5 Exception for allowable reason to change.

Document Information

I. Document Number, Title, Lists of Line Items

Document Number 5883		Document Title Line Item Revision to SEMI M42-0211 Specification for Compound Semiconductor Epitaxial Wafer
Line	Line Item 1	Line Item Title Move section 3.3 DIN standard reference from Referenced Standards and Documents section to new section 10 Related Documents

Line Item 1 Adjudication

II. Tally

Standards staff to fill in.

Voting Tally: As-cast tally after close of voting period

Note: A minimum of 60% of the Voting Interests that have TC Members within the global technical committee that issued the Letter Ballot must return Votes. (*Regulations* ¶ 9.7.1.1)

Voting Tally

Voting Interest:	Returned Vote		Distributio		Return Rate	
Letter Ballot	35	÷	56	=	62.5%	≥60%
Intercommittee Ballot	28					
Voting Interest Reject(s)	0		Total Voters with Rejects		0	
Voting Interest Accept(s)	33					

Note: See *Regulations* § 3.2.1 for definition of Voting Interest.

III. Rejects

None

IV. Other Technical Issues

None

V. Comments

None

VI. Editorial Changes Other than Those Voted on in § V

Original section/paragraph number and at least one full sentence are required in “FROM” and “TO” fields.

VII. Approval Conditions Check

VII. - (i). Approval Rate

APPROVAL CONDITION 1: All Negatives have been discussed and were withdrawn, found not related, found not persuasive, or addressed by a technical change. (*Regulations* ¶ 9.7.1.2)

APPROVAL CONDITION 2: At least 90% of the sum of valid Voting Interest Accept and Voting Interest Reject Votes must be Accept. (*Regulations* ¶ 9.7.1.3)

Note: If both approval conditions are not satisfied, the Document fails.

		Accepts		(Accepts + Valid Rejects)					
Approval Rate	=	33	/	33	=	100.0%		≥90%	

VII. – (ii) Approval Level (check one)

Note: See *Regulations* § 9.7.2 for further information.

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Globally Approved (No Ratification Ballot needed):

Line Item 1 meets the Letter Ballot approval conditions for the global technical committee.

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Need a Ratification Ballot:

Line Item 1 meets the Letter Ballot approval conditions for the TC Chapter and a Ratification Ballot will be issued to validate technical changes.

Checks for Entire Document Including All Approved Line Items

VIII. Safety Check

Note: This Safety check applies to the entire Standard or Safety Guideline including all the approved Line Items. See § 15 of the *Regulations* for further information.

Motion	x	This is not a Safety Document , when all safety-related information is removed, the Document is still technically sound and complete. (<i>Regulations</i> ¶ 8.7.1)
		This is a Safety Document , when all safety-related information is removed, the Document is not technically sound and complete. (<i>Regulations</i> ¶ 8.7.2)
		Safety Checklist (<i>Regulations</i> ¶ 15.3) is complete and has been included with the Document throughout the balloting process. (<i>Regulations</i> ¶ 15.1.2)
Motion by/2 nd by		Judy Kronwasser (NOVASiC) /Russ Kremer (Freiberger Compound Materials)
Discussion		XXXX
Vote		4 Y-0 N; Motion passed

IX. Intellectual Property (IP) Check

Note: This IP check applies to the entire Standard or Safety Guideline including all the approved Line Items. See § 16 of the *Regulations* for further information.

x	The TC Chapter meeting chair asked those participating, if they were aware of any potentially material patented technology or copyrighted items* in the Standard or Guideline. (<i>Regulations</i> ¶ 8.8.1)	
	x	No potentially material patented technology or reproduction of copyrighted items is known. GO TO SECTION X.
		Potentially material patented technology or reproduction of copyrighted items is known, but a Letter of Assurance (LOA) or copyright release letter for such items has been obtained or presented to the TC Chapter. GO TO SECTION X.
		Potentially material patented technology or reproduction of copyrighted items is known and use of such materials is technically justified by the TC Chapter, but an LOA or copyright release letter for some of the item(s) has NOT been obtained or presented to the TC Chapter.
	Motion	Ask ISC for special permission to publish.
		Quit activity.
		Wait for LOA for patented technology or release of copyrighted items.
	Motion by/2 nd by Name (Company)/Name (Company)	
	Discussion XXXX	
	Vote XX Y-XX N	
	Final Action	Motion passed
		Motion failed

* **Note:** Such potentially material patented technology or copyrighted items might have become known since the Standard or Safety Guideline was last reviewed, or might become relevant due to this Letter Ballot.

X. Action for This Document

Motion (Check all applicable items)	<input checked="" type="checkbox"/>	Line item(s) [1] passed TC Chapter review as balloted and will be forwarded to the ISC A&R SC for procedural review.
	<input type="checkbox"/>	Line item(s) [X], [X] and [X] passed TC Chapter review with editorial changes and will be forwarded to the ISC A&R SC for procedural review.
	<input type="checkbox"/>	Line item(s) [X], [X] and [X] passed TC Chapter review with technical changes and with or without editorial changes and will be forwarded to the ISC A&R SC for procedural review. A Ratification Ballot will be issued to verify the technical changes.
	<input type="checkbox"/>	Line item(s) [X], [X] and [X] failed TC Chapter review and will be returned to the TF for rework.
	<input type="checkbox"/>	Line item(s) [X], [X] and [X] failed TC Chapter review and work will be discontinued.
Motion by/ 2nd by		Judy Kronwasser (NOVASiC) /Russ Kremer (Freiberger Compound Materials)
Discussion		XXXX
Vote		4 Y-0 N
Final Action	<input checked="" type="checkbox"/>	Motion passed
	<input type="checkbox"/>	Motion failed

Standards staff to record the result of the A&R procedural review here:

A&R	<input type="checkbox"/>	Line item(s) [X], [X] and [X] are Approved for publication
	<input type="checkbox"/>	Line item(s) [X], [X] and [X] are Approved pending acceptance of the Ratification Ballot
	<input type="checkbox"/>	Line item(s) [X], [X] and [X] are Not approved
	Reason:	