

# Procedural Review Voting Sheet

## Editorial Change(s) to a published Standard or Safety Guideline (Independently from a Letter Ballot)

REGION/LOCALE: **North America**  
GLOBAL TECHNICAL COMMITTEE: **Traceability**  
EVENT: **Fall 2019 Standards Meetings**  
DATE OF MEETING: **11/07/2019**  
PLACE OF MEETING: **SEMI HQ, Milpitas CA**  
TC CHAPTER CO-CHAIRS: **Dave Huntley (PDF Solutions) / Yaw Obeng (NIST)**  
SEMI STANDARDS STAFF: **Inna Skvortsova**

A&R Voter: **Name/Company**  
Date: **MM/DD/YYYY**

### I. Document Title

#### Document Title

SEMI T23-0119

SPECIFICATION FOR SINGLE DEVICE TRACEABILITY FOR THE SUPPLY CHAIN

### II. Type 1 Editorial Change

None.

### III. Type 2 Editorial Change

Editorial changes that meet the requirements of the Regulations (see *Regulations ¶¶ 8.9.4 & 8.9.5*) are approved by a simple majority vote in a regularly scheduled meeting of the TC Chapter. [See *PM 2.11.4*]

Original section/paragraph number and at least one full sentence are required in “FROM” and “TO” fields.

1	FROM: Section/Paragraph <b>4.2</b> ISO Standard
	TO: Section/Paragraph <b>4.2</b> ISO® Standard

	<b>Justification: (If necessary)</b> To comply with SEMI Style Manual Section 1-24 Company or Organization Names and Trademarks, the first convenient usage of the trademark should have the ® symbol.
2	<b>FROM: Section/Paragraph 4.3</b> IEEE Standard
	<b>TO: Section/Paragraph 4.3</b> IEEE® Standard
	<b>Justification: (If necessary)</b> To comply with SEMI Style Manual Section 1-24 Company or Organization Names and Trademarks, the first convenient usage of the trademark should have the ® symbol.
<b>Motion</b>	To approve the above editorial change(s).
<b>Motion by/ 2<sup>nd</sup> by</b>	Albert Fuchigami (PEER Group) / Nishimura Takayuki (Screen)
<b>Discussion</b>	None
<b>Vote</b>	4 Y-0 N ; If Y > 50% Motion passes, <b>GO TO IV</b>

## IV. Safety Check

**Note: See Regulations § 15 for further information.**

Motion	<input checked="" type="checkbox"/>	<b>This is not a Safety Document</b> , when all safety-related information is removed, the Document is still technically sound and complete. ( <i>Regulations ¶ 8.7.1</i> )
	<input type="checkbox"/>	<b>This is a Safety Document</b> , when all safety-related information is removed, the Document is not technically sound and complete. ( <i>Regulations ¶ 8.7.2</i> )
	<input type="checkbox"/>	Safety Checklist ( <i>Regulations ¶ 15.3</i> ) is complete and has been included with the Document throughout the balloting process. ( <i>Regulations ¶ 15.1.2</i> )
<b>Motion by/2<sup>nd</sup> by</b>	Albert Fuchigami (PEER Group) / Nishimura Takayuki (Screen)	
<b>Discussion</b>	None	
<b>Vote</b>	4 Y -0 N; Motion passed	

## V. Intellectual Property Check

**Note: This Document may cover all or part of a Standard or Safety Guideline. Regardless of the coverage, this IP check applies to the entire Standard or Safety Guideline\*. See Regulations § 16 for further information.**

<input checked="" type="checkbox"/>	The TC Chapter meeting chair asked those participating, if they were aware of any patented technology that might be relevant (see <i>Regulations ¶ 16.3.1.1</i> ) to the Standard or Safety Guideline; or, any
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copyrighted items or trademarks that are used/reproduced (see <i>Regulations</i> ¶ 16.4.1.2) in the Standard or Safety Guideline. (Also see, <i>Regulations</i> § 8.8)					
		The question is NOT answered in affirmative (No potentially material patented technology or use/reproduction of copyrighted items/trademarks is known.)	<b>GO TO SECTION VI.</b>		
X		The question is answered in affirmative	Is any of the known IPs a patented technology?	Yes, at least one of them is a patented technology	<b>GO TO V (a) “Patented Technology” subsection</b>
				<u>X</u> No	<b>GO TO V (b) “Copyright items” subsection</b>

### V (a) Patented Technologies subsection

#### V (a1) Total numbers of Patented Technologies to be dealt with

<b># Fill number</b>	(l) Known Patented Technology that might be relevant to the Standard/Safety Guideline	<b># Fill number</b>	(m) Number of patented technologies first became known to the TC Chapter on or after the day of the issuance of this Letter Ballot	<b>Postpone assessment of such patented technologies to be performed at the next scheduled TC Chapter meeting.</b>
		<b># Fill number</b>	(n) Number of patented technologies first became known to the TC Chapter before the day of the issuance of this Letter Ballot	<b>GO TO V (a2)</b>

#### V (a2) Assessment of disclosed patented technologies

<b>Disclosed patented technology #1</b> ( <i>Brief description, e.g., patent title and number</i> ):			<b>Date of Assessment (If different from the date of Letter Ballot adjudication)</b>		
Is disclosed patented technology #1 found to be “might be material” to the Standard/Safety Guideline?	YES (It is a PMPT)	Is the use of this PMPT technically justified?	YES	<b>PROCEED to assess NEXT one, or if this is the last one, GO TO V (a3)</b>	
	NO		NO	<b>The Document is failed and returned to the TF</b>	
	NO	No further action is needed for patented technology #1			

*This table is needed for each disclosed patented technology.*

**V (a3) LOA status check of PMPT of which inclusion assessed to be justified**

LOA Status of PMPT #1					
Has an LOA for this patented technology been received from every owner ?		YES	PROCEED to check NEXT one, or if this is the last one, GO TO V (b)		
		NO	MOTION		Ask ISC for special permission to publish.
					Quit activity. The Document is failed and returned to the TF
					Wait for LOA PROCEED to check NEXT one, or if this is the last one, GO TO V (b1)
			Motion by/ 2 <sup>nd</sup> by	Name (Company)/Name (Company)	
			Discussion	XXXX	
			Vote	XX Y-XX N; Motion passed (or failed)	

*This table is needed for each PMPT of which inclusion assessed to be justified.*

**V (b1) Total numbers of copyrighted items to be dealt with**

0 Fill number	(o) Known copyrighted items that are used or reproduced to the Standard/Safety Guideline		o > 0 There is at least one known copy righted items that might be relevant to the Standard/Safety Guideline	GO TO V (b2)
		X	o = 0 There is no disclosed copyrighted item	GO TO V (c)

**V (b2) Assessment of disclosed copyrighted items**

Disclosed copyrighted item #1						
<i>(Brief description of its use in the Document):</i>						
Is disclosed copyrighted item #1 used or reproduced in the Standard/Safety Guideline?		YES	Is the use/reproduction of this copyrighted item technically justified?		YES	PROCEED to assess NEXT one, or if this is the last one, GO TO V (b3)

				NO	The Document is failed and returned to the TF
		NO	No further action is needed for copyrighted item #1		

*This table is needed for each disclosed copyrighted item.*

**V(b3) Copyright release status check of copyrighted item of which inclusion assessed to be justified**

Copyright release Status of copyrighted item #1					
Has the copyright release been received from its owner ?.		YES	PROCEED to assess NEXT one, or if this is the last one, GO TO V (c)		
	MOTION	NO		Ask ISC for special permission to publish.	
				Quit activity.	The Document is failed and returned to the TF
				Wait for copyright release letter	PROCEED to check NEXT one, or if this is the last one, GO TO V (c)
		Motion by/ 2 <sup>nd</sup> by	Name (Company)/Name (Company)		
		Discussion	XXXX		
		Vote	XX Y-XX N; Motion passed (or failed)		

*This table is needed for each copyrighted item of which use/reproduction assessed to be justified.*

**V (c) Assessment of disclosed (identified) trademark**

Is there any trademark in the Standard/Safety Guideline?	X	YES	Is every instance of trademark use technically justified?	X	YES	GO TO V (d)
				NO	The Document is failed and returned to the TF	
		NO	GO TO V (d)			

**V (d) IP check completion condition check**

The co-chair checks if any Patented Technologies first become known to the TC Chapter on or after the day		YES	Sections V(a2) and V(a3) shall be completed and recorded for such patented technologies at next scheduled meeting of the TC Chapter. Until then, the TC Chapter shall NOT go to VI (making motion to
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of the issuance of this Letter Ballot? i.e., m>0 in V(a1)	<input type="checkbox"/>		pass/fail this Document) (see <i>Regulations ¶ 16.4.1.2</i> ) <b>Until then this Letter Ballot Review is on hold.</b>
	<input checked="" type="checkbox"/>	NO	<b>GO TO VI</b>

## VI. Action for this Document

<b>Motion</b>	<input checked="" type="checkbox"/>	This Document passed TC Chapter review and will be forwarded to the ISC A&R SC for procedural review.
	<input type="checkbox"/>	This Document failed TC Chapter review and will be returned to the TF for rework.
	<input type="checkbox"/>	This Document failed TC Chapter review and work will be discontinued.
<b>Motion by/ 2nd by</b>	Albert Fuchigami (PEER Group) / Nishimura Takayuki (Screen)	
<b>Discussion</b>	None	
<b>Vote</b>	4 Y -0 N; Motion passed	
<b>Final Action</b>	<input checked="" type="checkbox"/>	Motion passed
	<input type="checkbox"/>	Motion failed