

# Background Statement for Document 5893

## Revision of SEMI M1-0915 SPECIFICATIONS FOR POLISHED SINGLE CRYSTAL SILICON WAFERS

**Notice:** This background statement is not part of the balloted item. It is provided solely to assist the recipient in reaching an informed decision based on the rationale of the activity that preceded the creation of this Document.

**Notice:** Recipients of this Document are invited to submit, with their comments, notification of any relevant patented technology or copyrighted items of which they are aware and to provide supporting documentation. In this context, “patented technology” is defined as technology for which a patent has issued or has been applied for. In the latter case, only publicly available information on the contents of the patent application is to be provided.

### Background

This revision of SEMI M1 is intended primarily to remove the listing of wafer categories in the Scope section so that modifications of this listing can be made with line item ballots.

In addition, (1) the title is modified to be conforming to SEMI’s recent requirements; (2) wafer category 1.15 that is no longer used in the industry is removed (ballot 5743 failed at SEMICON® Japan), (3) the figures describing notchless wafers are modified to show the 1.5 mm edge exclusion associated with those wafers and incorporate some corrections discussed during SEMICON® West in July 2015, (4) §3, Table 1, Table R1-1, and ¶R3-8.1 of SEMI M1, are modified as needed, to add reference to SEMI M85 to update references to the test methods for surface chemistry of polished electronic grade silicon wafers, and reference to both SEMI MF534 and MF657 are removed.

Finally, reference is made to SEMI M49 for exclusion areas, and certain other relatively minor updates and corrections are made.

Because this ballot involves changes to the Scope section, it cannot be conducted as a line item ballot. Therefore, to avoid unnecessary delays in developing the revisions, it would be appreciated if any items that you may object to, but that are not listed as changes, be objected to as comments rather than rejections, so that they may be taken up as new business at the next meetings.

### Review and Adjudication Information

	Task Force Review	Committee Adjudication
<b>Group:</b>	Int’l Polished Wafer TF	Japan Silicon Wafer TC Chapter
<b>Date:</b>	December 2015	December 17, 2015
<b>Time &amp; Timezone:</b>		SEMICON Japan
<b>Location:</b>		Big Site
<b>City, State/Country:</b>	Tokyo, Japan	Tokyo, Japan
<b>Leader(s):</b>	Koji Izunome (GlobalWafer Japan) Frank Riedel (Siltronic)	Naoyuki Kawai, the University of Tokyo Tetsuya Nakai, SUMCO
<b>Standards Staff:</b>	Kevin Nguyen (SEMI NA) <a href="mailto:knguyen@semi.org">knguyen@semi.org</a>	Junko Collins (SEMI Japan) <a href="mailto:jcollins@semi.org">jcollins@semi.org</a>

This meeting’s details are subject to change, and additional review sessions may be scheduled if necessary. Contact the task force leaders or Standards staff for confirmation.

Telephone and web information will be distributed to interested parties as the meeting date approaches. If you will not be able to attend these meetings in person but would like to participate by telephone/web, please contact Standards staff.

Check [www.semi.org/standards](http://www.semi.org/standards) on calendar of event for the latest meeting schedule.

**Notice:** Additions are indicated by underline and deletions are ~~strikethrough~~.

# SEMI Draft Document 5893

## Revision of SEMI M1-0915 SPECIFICATIONS FOR POLISHED SINGLE CRYSTAL SILICON WAFERS

This Standard was technically approved by the Silicon Wafer Global Technical Committee. This edition was approved for publication by the global Audits and Reviews Subcommittee on [redacted]; available at www.semiviews.org and www.semi.org in [redacted]. Originally published in 1978; previously published September 2015.

### Table of Contents

1 Purpose .....	3
2 Scope .....	3
3 Referenced Standards and Documents .....	4
4 Terminology .....	8
5 Ordering Information.....	8
6 Requirements.....	18
6.1 <i>General Characteristics</i> .....	18
6.2 <i>Electrical Characteristics</i> .....	22
6.3 <i>Chemical Characteristics</i> .....	22
6.4 <i>Structural Characteristics</i> .....	22
6.5 <i>Wafer Preparation Characteristics</i> .....	22
6.6 <i>Dimensional Characteristics</i> .....	24
6.7 <i>Front Surface Chemistry</i> .....	42
6.8 <i>Front and Back Surface Inspection Characteristics</i> .....	43
7 Specifications for the 32, 22, and 16 nm Technology Generations .....	44
8 Sampling.....	44
9 Test Methods .....	44
10 Certification.....	45
11 Product Labeling.....	45
12 Packing and Shipping Container Labeling .....	45
13 Related Documents.....	45
APPENDIX 1 Flatness Decision Tree.....	47
APPENDIX 2 RoHS Requirements.....	50
APPENDIX 3 Shape Decision Tree .....	52
RELATED INFORMATION 1 Specification Guides for Advanced Technology Generations .....	56
RELATED INFORMATION 2 Surface Metal Contamination .....	59
RELATED INFORMATION 3 Test Methods .....	60

### List of Tables

Table 1 Silicon Wafer Specification Format for Order Entry, Parts 1 and 2 .....	10
Table 2 Energy Ranges for Ion Implant Modeling .....	21
Table 3 Dimensional Characteristics of 2 inch and 3 inch Polished Single Crystal Silicon Wafers.....	34
Table 4 Dimensional Characteristics of 100 mm and 125 mm Polished Single Crystal Silicon Wafers with Secondary Flat.....	34
Table 5 Dimensional Characteristics of 150 mm Polished Single Crystal Silicon Wafers with Secondary Flat.....	35
Table 6 Dimensional Characteristics of 100 mm and 125 mm Polished Single Crystal Silicon Wafers Without Secondary Flat.....	36
Table 7 Dimensional Characteristics of 150 mm and 200 mm Polished Single Crystal Silicon Wafers Without Secondary Flat.....	36
Table 8 Dimensional Characteristics and Wafer ID Marking Requirements for Notched 200 mm and 300 mm Polished Single Crystal Silicon Wafers .....	37

**LETTER BALLOT**



Table 9 Specified Requirements for Notched 450 mm Polished Single Crystal Silicon Wafers .....	38
Table 10 Template-Coordinate Based Wafer Edge Profile Specifications (see Figure 6) .....	40
Table 11 Parameters for Use with Profile-Parameter Based Edge Profile Specifications .....	41
Table 12 Polished Wafer Defect Limits .....	42
Table A2-1 Tolerated Maximum Concentrations of Hazardous Materials in or on Silicon Wafers.....	51
Table A3-1 Variables in Shape Quantities .....	55
Table A3-2 Shape Code Summary .....	55
Table R1-1 Guide for the Specification of 300 and 450 mm Diameter Silicon Wafers for the 32, 22, and 16 nm Technology Generations.....	56
Table R2-1 Suggested Polished Wafer Surface Metal Contamination Limits Appropriate to Circuits and Devices with a Minimum Linewidth in the Range 0.8 μm to 1.2 μm .....	59

**List of Figures**

Figure 1 Fixed Quality Area .....	20
Figure 2 Orthogonal Misorientation of {111} Wafer .....	21
Figure 3 <u>SEMI T7 Mark and Optional A/N Code Field Mark Locations on the Back Surface of Notched <del>300</del>-300- mm Diameter Wafers (Categories <del>ies 1.15 and 1.15.1</del> with EE = 2.0 mm</u> .....	24
Figure 4 <u>SEMI T7 Mark Location on the Back Surface of Notched 450-mm Diameter Wafers (Categories 1.16.1 and 1.16.2) with EE = 2.0 mm</u> .....	xx
Figure 5 <u>Secondary Flat Locations</u> .....	25
Figure <del>5</del> <u>6</u> <u>Notch Dimensions</u> .....	26x
Figure 7 <u>Locations of the Three Orientation Fiducial Marks and the Two Dimensional Matrix Code Symbol of SEMI T7 in Category 1.16.3 450 mm Diameter Wafers</u>	
Figure 8 <u>Primary Orientation Fiducial Mark Viewed from the Back Surface</u>	
Figure 9 <u>Secondary Orientation Fiducial Mark Dimensions Viewed from the Back Surface</u>	
Figure 10 <u>Tertiary Orientation Fiducial Mark Dimensions Viewed from the Back Surface</u>	
Figure <del>6</del> -11 SEMI Wafer Edge Profile Template .....	40
Figure <del>7</del> -12 Examples of Acceptable and Unacceptable Wafer Edge Profiles .....	40
Figure A1-1 Flatness Decision Tree .....	48
Figure A1-2 Scanner Site and Subsite Flatness Elements .....	49
Figure A1-3 Subsite Center near Boundaries of Site and FQA .....	49
Figure A3-1 <del>Four</del> - <u>Three</u> Branches of the Shape Decision Tree.....	54

## 1 Purpose

1.1 Single crystal silicon wafers are utilized for essentially all integrated circuits and many other semiconductor devices. To permit common processing equipment to be used in multiple device fabrication lines, it is essential for the wafer dimensions to be standardized.

1.2 In addition, as technology advances to smaller and smaller dimensions for the elements of high-density integrated circuits, it has become of interest to standardize additional properties of the wafers.

1.3 ~~These Specifications~~ This Specification provides the essential dimensional and certain other common characteristics of silicon wafers, including polished wafers as well as substrates for epitaxial and certain other kinds of silicon wafers.

## 2 Scope

2.1 ~~These~~ This Specifications covers ordering information and certain requirements for high-purity (electronic grade), single crystal polished silicon wafers used in semiconductor device and integrated circuit manufacturing. Such wafers are usually sliced from cylindrical single-crystal ingots that have been ground to a uniform diameter prior to slicing. ~~These~~ This Specifications also covers ordering information and certain requirements for electronic grade silicon wafers intended for use as substrates (or starting wafers) for other kinds of wafers, including epitaxial, annealed, and SOI wafers.

2.2 Standardized ~~D~~imensional requirements are provided for a large number of ~~the following~~ categories of standardized polished wafers: as listed in the tables in § 6.

~~Category 1.1 — 2 inch polished single crystal silicon wafers with secondary flat~~

~~Category 1.2 — 3 inch polished single crystal silicon wafers with secondary flat~~

~~Category 1.5 — 100 mm polished single crystal silicon wafers, 525 μm thick, with secondary flat~~

~~Category 1.6 — 100 mm polished single crystal silicon wafers, 625 μm thick, with secondary flat~~

~~Category 1.7 — 125 mm polished single crystal silicon wafers with secondary flat~~

~~Category 1.8.1 — 150 mm polished single crystal silicon wafers with secondary flat and T/3 edge profile template~~

~~Category 1.8.2 — 150 mm polished single crystal silicon wafers with secondary flat and T/4 edge profile template~~

~~Category 1.9.1 — 200 mm notched polished single crystal silicon wafers with T/3 edge profile template~~

~~Category 1.9.2 — 200 mm notched polished single crystal silicon wafers with T/4 edge profile template~~

~~Category 1.9.3 — 200 mm notched polished single crystal silicon wafers with parameter-specified edge profile~~

~~Category 1.10.1 — 200 mm flatted polished single crystal silicon wafers with T/3 edge profile template without secondary flat~~

~~Category 1.10.2 — 200 mm flatted polished single crystal silicon wafers with T/4 edge profile template without secondary flat~~

~~Category 1.11 — 100 mm flatted polished single crystal silicon wafers without secondary flat~~

~~Category 1.12 — 125 mm flatted polished single crystal silicon wafers without secondary flat~~

~~Category 1.13.1 — 150 mm flatted polished single crystal silicon wafers with T/3 edge profile template without secondary flat~~

~~Category 1.13.2 — 150 mm flatted polished single crystal silicon wafers with T/4 edge profile template without secondary flat~~

~~Category 1.15 — 300 mm notched polished single crystal silicon wafers with T/4 edge profile template~~

~~Category 1.15.1 — 300 mm notched polished single crystal silicon wafers with parameter specified edge profile~~

~~Category 1.16.1 — 450 mm polished single crystal silicon wafers with notch centered on <110> axis~~

~~Category 1.16.2 — 450 mm polished single crystal silicon wafers with notch centered on <100> axis~~

~~Category 1.16.3 — 450 mm polished single crystal notchless wafers with primary back surface fiducial mark centered on <110> axis~~

2.3 Values given for thickness, total thickness variation (TTV), bow, and warp apply only to wafers prior to application of back surface films, extrinsic gettering treatments, or other thermal treatments.

~~2.3.1 The dimensional characteristics of Category 1.10.1, 1.10.2, 1.11, 1.12, 1.13.1, and 1.13.2 wafers specified in this Document are identical with those specified in JEITA EM-3602, and the dimensional characteristics of Category 1.15 wafers are essentially equivalent with those specified in JEITA EM-3602.~~

~~2.4 A complete purchase specification requires that additional physical properties be specified along with test methods suitable for determining their magnitude. These Specifications provide a comprehensive listing of such properties and associated test methods. This listing provides a systematic basis for constructing the purchase specification for any kind of polished silicon wafer or substrate and is expected to be used for such purposes.~~

~~2.5.2.4 These Specifications—This Specification apply/applies~~ specifically to prime silicon wafers with at least one chem-mechanically polished surface. Ground, lapped, and unpolished wafers are not covered ~~in these Specifications,~~ but ~~these Specifications—this Specification~~ may provide guidance in connection with their procurement.

~~2.6.2.5 These Specifications—This Specification~~ also provides guides for the specification of 300 and 450 mm diameter prime silicon wafers for the 32, 22, and 16 nm technology generations. These are included in Related Information 1.

~~2.7.2.6 These Specifications—This Specification does~~ not cover ~~the~~ requirements for the following related types of silicon materials and wafers:

- Polycrystalline silicon (see SEMI M16 or JEITA EM-3601A),
- Epitaxial wafers (see SEMI M62),
- Epitaxial wafers with buried layer (see SEMI M61),
- Test wafers (see SEMI M8),
- Premium wafers (see SEMI M24),
- Reclaimed wafers (see SEMI M38),
- Annealed wafers (see SEMI M57),
- SOI wafers (see SEMI M41, SEMI M71, or JEITA EM-3603B), and
- Solar-grade silicon wafers (see SEMI PV22).

They do, however, provide the ordering information for test, premium, and reclaimed wafers, as well as the ordering information for the polished substrates and starting wafers used to prepare epitaxial, annealed, and SOI wafers.

~~2.8.2.7~~ For referee purposes, U.S. customary units shall be used for wafers of 2 inch and 3 inch nominal diameters, and SI (system international, commonly called metric) units for 100 mm and larger diameter wafers.

**NOTICE:** SEMI Standards and Safety Guidelines do not purport to address all safety issues associated with their use. It is the responsibility of the users of the Documents to establish appropriate safety and health practices, and determine the applicability of regulatory or other limitations prior to use.

### 3 Referenced Standards and Documents

#### 3.1 SEMI Standards and Safety Guidelines

- SEMI M8 — Specification for Polished Monocrystalline Silicon Test Wafers
- SEMI M12 — Specification for Serial Alphanumeric Marking of the Front Surface of Wafers
- SEMI M13 — Specification for Alphanumeric Marking of Silicon Wafers
- SEMI M16 — Specification for Polycrystalline Silicon
- SEMI M18 — Guide for Developing Specification Forms for Order Entry of Silicon Wafers
- SEMI M20 — Practice for Establishing a Wafer Coordinate System
- SEMI M24 — Specification for Polished Monocrystalline Silicon Premium Wafers
- SEMI M26 — Guide for the Re-Use of 100, 125, 150, and 200 mm Wafer Shipping Boxes Used to Transport Wafers
- SEMI M35 — Guide for Developing Specifications for Silicon Wafer Surface Features Detected by Automated Inspection
- SEMI M38 — Specification for Polished Reclaimed Silicon Wafers
- SEMI M40 — Guide for Measurement of Roughness of Planar Surfaces on Silicon Wafers
- SEMI M41 — Specification of Silicon-on-Insulator (SOI) for Power Device/ICs
- SEMI M43 — Guide for Reporting Wafer Nanotopography
- SEMI M44 — Guide to Conversion Factors for Interstitial Oxygen in Silicon
- SEMI M45 — Specification for 300 mm Wafer Shipping System
- SEMI M49 — Guide for Specifying Geometry Measurement Systems for Silicon Wafers for the 130 nm to 16 nm Technology Generations
- SEMI M53 — Practice for Calibrating Scanning Surface Inspection Systems Using Certified Depositions of Monodisperse Reference Spheres on Unpatterned Semiconductor Wafer Surfaces
- SEMI M57 — Guide for Specifying Silicon Annealed Wafers
- SEMI M58 — Test Method for Evaluating DMA-Based Particle Deposition Systems and Processes
- SEMI M59 — Terminology for Silicon Technology
- SEMI M61 — Specification for Silicon Epitaxial Wafers with Buried Layers
- SEMI M62 — Specifications for Silicon Epitaxial Wafers
- SEMI M67 — Practice for Determining Wafer Near-Edge Geometry from a Measured Thickness Data Array Using the ESFQR, ESFQD and ESBIR Metrics
- SEMI M68 — ~~Practice~~-Test Method for Determining Wafer Near-Edge Geometry from a Measured Height Data Array Using a Curvature Metric, ZDD
- SEMI M70 — ~~Practice~~-Test Method for Determining Wafer-Near-Edge Geometry Using Partial Wafer Site Flatness
- SEMI M71 — Specification for Silicon-on-Insulator (SOI) Wafers for CMOS LSI
- SEMI M73 — Test Methods for Extracting Relevant Characteristics from Measured Wafer Edge Profiles
- SEMI M77 — ~~Practice~~-Test Method for Determining Wafer Near-Edge Geometry Using Roll-off Amount, ROA
- SEMI M78 — Guide for Determining Nanotopography of Unpatterned Silicon Wafers for the 130 nm to 22 nm Generations in High Volume Manufacturing
- SEMI M85 — Guide for the Measurement of Trace Metal Contamination on Silicon Wafer Surface by Inductively Coupled Plasma Mass Spectrometry
- SEMI MF26 — Test Methods for Determining the Orientation of a Semiconductive Single Crystal

SEMI MF28 — Test Methods for Minority-Carrier Lifetime in Bulk Germanium and Silicon by Measurement of Photoconductive Decay

SEMI MF42 — Test Methods for Conductivity Type of Extrinsic Semiconducting Materials

SEMI MF81 — Test Method for Measuring Radial Resistivity Variation on Silicon Wafers

SEMI MF84 — Test Method for Measuring Resistivity of Silicon Wafers with an In-Line Four-Point Probe

SEMI MF391 — Test Methods for Minority Carrier Diffusion Length in Extrinsic Semiconductors by Measurement of Steady-State Surface Photovoltage

SEMI MF523 — Practice for Unaided Visual Inspection of Polished Silicon Wafer Surfaces

SEMI MF525 — Test Method for Measuring Resistivity of Silicon Wafers Using a Spreading Resistance Probe

SEMI MF533 — Test Method for Thickness and Thickness of Variation of Silicon Wafers

~~SEMI MF534 — Test Method for Bow of Silicon Wafers~~

~~SEMI MF657 — Test Method for Measuring Warp and Total Thickness Variation on Silicon Wafers by Noncontact Scanning~~

SEMI MF671 — Test Method for Measuring Flat Length on Wafers of Silicon and Other Electronic Materials

SEMI MF673 — Test Methods for Measuring Resistivity of Semiconductor Slices or Sheet Resistance of Semiconductor Films with a Noncontact Eddy-Current Gage

SEMI MF847 — Test Methods for Measuring Crystallographic Orientation of Flats on Single Crystal Silicon Wafers by X-Ray Techniques

SEMI MF928 — Test Methods for Edge Contour of Circular Semiconductor Wafers and Rigid Disk Substrates

SEMI MF951 — Test Method for Determination of Radial Interstitial Oxygen Variation in Silicon Wafers

SEMI MF978 — Test Method for Characterizing Semiconductor Deep Levels by Transient Capacitance Techniques

SEMI MF1048 — Test Method for Measuring Reflective Total Integrated Scatter

SEMI MF1049 — Practice for Shallow Etch Pit Detection on Silicon Wafers

SEMI MF1152 — Test Method for Dimensions of Notches on Silicon Wafers

SEMI MF1188 — Test Method for Interstitial Oxygen Content of Silicon by Infrared Absorption with Short Baseline

SEMI MF1239 — Test Method for Oxygen Precipitation Characteristics of Silicon Wafers by Measurement of Interstitial Oxygen Reduction

SEMI MF1366 — Test Method for Measuring Oxygen Concentration in Heavily Doped Silicon Substrates by Secondary Ion Mass Spectrometry

SEMI MF1388 — Test Method for Generation Lifetime and Generation Velocity of Silicon Material by Capacitance-Time Measurements of Metal-Oxide-Silicon (MOS) Capacitors

SEMI MF1390 — Test Method for Measuring Bow and Warp on Silicon Wafers by Automated Noncontact Scanning

SEMI MF1391 — Test Method for Substitutional Atomic Carbon Content of Silicon by Infrared Absorption

SEMI MF1451 — Test Method for Measuring Sori on Silicon Wafers by Automated Noncontact Scanning

SEMI MF1528 — Test Method for Measuring Boron Contamination in Heavily Doped *n*-Type Silicon Substrates by Secondary Ion Mass Spectrometry

SEMI MF1530 — Test Method for Measuring Flatness, Thickness, and Total Thickness Variation on Silicon Wafers by Automated Noncontact Scanning

SEMI MF1535 — Test Method for Carrier Recombination Lifetime in Silicon Wafers by Noncontact Measurement of Photoconductivity Decay by Microwave Reflectance

SEMI MF1617 — Test Method for Measuring Surface Sodium, Aluminum, Potassium, and Iron on Silicon and Epi Substrates by Secondary Ion Mass Spectrometry

SEMI MF1619 — Test Method for Measurement of Interstitial Oxygen Content of Silicon Wafers by Infrared Absorption Spectroscopy with *p*-Polarized Radiation Incident at the Brewster Angle

SEMI MF1726 — Practice for Analysis of Crystallographic Perfection of Silicon Wafers

SEMI MF1727 — Practice for Detection of Oxidation Induced Defects in Polished Silicon Wafers

SEMI MF1809 — Guide for Selection and Use for Etching Solutions to Delineate Structural Defects in Silicon

SEMI MF1982 — Test Methods for Analyzing Organic Contaminants on Silicon Wafer Surfaces by Thermal Desorption Gas Chromatography

SEMI MF2074 — Guide for Measuring Diameter of Silicon and Other Semiconductor Wafers

SEMI PV13 — Test Method for Contactless Excess-Charge-Carrier Recombination Lifetime Measurement in Silicon Wafers, Ingots, and Bricks Using an Eddy-Current Sensor

SEMI PV22 — Specification for Silicon Wafers for Use in Photovoltaic Solar Cells

SEMI T3 — Specification for Wafer Box Labels

SEMI T7 — Specification for Back Surface Marking of Double-sided Polished Wafers with a Two-Dimensional Matrix Code Symbol

### 3.2 *ANSI Standards*<sup>1</sup>

ANSI/ASQ Z1.4 — Sampling Procedures and Tables for Inspection by Attributes

### 3.3 *ASTM Standards*<sup>2</sup>

ASTM D523 — Standard Test Method for Specular Gloss

ASTM E122 — Standard Practice for Calculating Sample Size to Estimate, With Specific Precision, the Average for a Characteristic of a Lot or Process

### 3.4 *DIN Standards*<sup>3</sup>

### 3.5 *European Community Directive*<sup>4</sup>

2002/95/EC — On the restriction of the use of certain hazardous substances in electrical and electronic equipment

### 3.6 *ISO Standards*<sup>5</sup>

ISO 14706 — Surface Chemical Analysis – Determination of Surface Elemental Contamination on Silicon Wafers by Total Reflection X-ray Fluorescence (TXRF) Spectroscopy

ISO 17331 — Surface Chemical Analysis – Chemical Methods for the Collection of Elements from the Surface of Silicon-Wafer Working Reference Materials and Their Determination by Total-Reflection X-ray Fluorescence (TXRF) Spectroscopy

<sup>1</sup> American National Standards Institute, 25 West 43<sup>rd</sup> Street, New York, NY 10036, USA; Telephone: 212.642.4900, Fax: 212.398.0023, <http://www.ansi.org>

<sup>2</sup> American Society for Testing and Materials, 100 Barr Harbor Drive, West Conshohocken, PA 19428-2959, USA; Telephone: 610.832.9585, Fax: 610.832.9555, <http://www.astm.org>

<sup>3</sup> Deutsches Institut für Normung (DIN) silicon standards are no longer available in either English or German editions from the publisher of DIN standards, Beuth Verlag GmbH, <http://www.beuth.de>. Consequently these standards have been removed from this section and moved to § 13 Related Documents.

<sup>4</sup> *Official Journal of the European Union*, February 13, 2003 Available for downloading from the following URL: <http://164.36.164.20/sustainability/pdfs/finalrohs.pdf>

<sup>5</sup> International Organization for Standardization, ISO Central Secretariat, 1 rue de Varembé, Case postale 56, CH-1211 Geneva 20, Switzerland; Telephone: 41.22.749.01.11, Fax: 41.22.733.34.30, <http://www.iso.ch>

### 3.7 JEITA Standards<sup>6</sup>

JEITA EM-3401 — Terminology of Silicon Wafer Flatness

JEITA EM-3501 — Standard Methods for Determining the Orientation of a Semiconductor Silicon Single Crystal

JEITA EM-3502 — Test Method for Recombination Lifetime in Silicon Wafers by Measurement of Photoconductivity Decay by Microwave Reflectance

JEITA EM-3503 — Standard Test Method for Substitutional Atomic Carbon Content of Silicon by Infrared Absorption

JEITA EM-3504 — Standard Test Method for Interstitial Atomic Oxygen Content of Silicon by Infrared Absorption

JEITA EM-3508 — Test Method for Bulk Micro Defect Density and Denuded Zone Width in Annealed Silicon Wafers

JEITA EM-3601A — Standard Specification for High Purity Polycrystalline Silicon

JEITA EM-3602 — Standard Specification for Dimensional Properties of Silicon Wafers with Specular Surfaces

JEITA EM-3603B — Standard of SOI Wafers and Metrology

### 3.8 JIS Standards<sup>7</sup>

JIS H 0604 — Measuring of Minority-Carrier Lifetime in Silicon Single Crystal by Photoconductive Decay Method

JIS H 0607 — Determination of Conductivity Type in Germanium by Thermoelectromotive Method

JIS H 0609 — Test methods of Crystalline Defects in Silicon by Preferential Etch Techniques

JIS H 0611 — Methods of Measurement of Thickness, Thickness Variation and Bow of Silicon Wafer

JIS H 0614 — Visual Inspection for Silicon Wafers with Specular Surfaces

JIS H 0615 — Test Method for Determination of Impurity Concentrations in Silicon Crystal by Photoluminescence Spectroscopy

JIS Z 8741 — Specular Glossiness – Methods of Measurement

### 3.9 MHI Standard<sup>8</sup>

CEA 556-C — Outer Shipping Container Bar Code Label Standard

**NOTICE:** Unless otherwise indicated, all documents cited shall be the latest published versions.

## 4 Terminology

4.1 Terms, acronyms, and symbols associated with silicon wafers and silicon technology are listed and defined in SEMI M59.

## 5 Ordering Information

5.1 A complete purchase specification requires that many physical properties be specified along with test methods suitable for determining their magnitude. Thus, ~~Purchase~~ purchase orders for silicon wafers furnished to this specification shall include the following items. These items are indicated on the Silicon Wafer Specification Format for Order Entry, Parts 1 and 2, shown in Table 1, with a ♦ symbol in the left-most column.

- 2-1.1 Growth Method
- 2-1.3 Crystal Orientation

<sup>6</sup> Japan Electronics and Information Technology Industries Association, Ote Center Building, 1-1-3, Otemachi, Chiyoda-ku, Tokyo 100-0004, Japan; <http://www.jeita.or.jp>

<sup>7</sup> Japanese Industrial Standards, Available through the Japanese Standards Association, 1-24, Akasaka 4-Chome, Minato-ku, Tokyo 107-8440, Japan. Telephone: 81.3.3583.8005; Fax: 81.3.3586.2014; <http://www.jsa.or.jp>

<sup>8</sup> Material Handling Institute, 8720 Red Oak Blvd., Suite 201, Charlotte, NC 28217, USA; Telephone: 704.676.1190; Fax: 704.676.1199; <http://www.mhi.org>

- 2-1.4 Conductivity Type
- 2-1.5 Dopant
- 2-1.8 Wafer Surface Orientation
- 2-2.1 Resistivity
- 2-6.1 Diameter
- 2-6.2 Fiducial Dimensions
- 2-6.3 Primary Flat/Notch Orientation
- 2-6.4 Secondary Flat Length
- 2-6.5 Secondary Flat Location
- 2-6.6 Edge profile
- 2-6.7 Thickness

5.2 In addition, the purchase order must indicate the test ~~method~~-procedure to be used in evaluating each of those items for which alternate test procedures exist either as different test methods or as different procedures within a standardized test method.

5.3 The following items must also be included in the purchase order:

5.3.1 Lot acceptance procedures,

5.3.2 Certification (if required) and

5.3.3 Packing and shipping container labeling requirements.

5.4 Finally, many other characteristics may also be specified in purchase orders for silicon wafers. These shall be selected from the other items listed in the Silicon Wafer Specification Format for Order Entry, Parts 1 and 2 (see Table 1).

5.5 The parameter values provided in the requirements tables in § 6 may be used to identify appropriate values in the specification without selecting a value for each line. However, even if these requirements table values are used, the desired test methodology must be selected in accordance with ¶ 5.2.

5.6 It is essential that all choices for the items selected to be specified be made in order not to have unspecified parameters that would lead to surprises between supplier and customer. This is particularly important in the specification of wafer site flatness, for which a variety of parameters must be selected as outlined in Appendix 1.

5.7 Of the various items listed, the following (listed in the order in which they appear in Table 1) are most often selected, especially for wafers to be used for advanced integrated circuit manufacture:

- 2-1.6 Nominal Edge Exclusion (to define the fixed quality area [FQA], see Figure 1.)
- 2-4 Structural Characteristics
- 2-5.1 Wafer ID Marking
- 2-6.6 Edge Profile
- 2-6.8 Total Thickness Variation (TTV or GBIR, see ¶ R4-7.7)
- 2-6.10 Warp (and sometimes 2-6.9 Bow or 2-6.11 Sori)
- 2-6.13 Site Flatness (SFQR)
- 2-8.5 Localized Light Scatterers (LLS)

**Table 1 Silicon Wafer Specification Format for Order Entry, Parts 1 and 2**

<b>Part 1 General Information</b>				
<i>ITEM</i>	<i>INFORMATION</i>		<i>Date</i> <u>DATE</u> :	
Customer Name				
Purchase Order Number				
Line Number				
Item Number				
General Specification Number				
Revision Level				
Part Number/Revision				
<b>Part 2 Polished Wafer or Substrate</b>				
<i>ITEM</i>	<i>SPECIFICATION</i>		<i>MEASUREMENT METHOD</i>	
<b>2-1 GENERAL CHARACTERISTICS</b> (Note that ♦ indicates a required item for which a value or choice <b>must</b> be indicated in order to minimally specify a silicon wafer.)				
♦ 2-1.1	Growth Method	<input type="checkbox"/> Cz; <input type="checkbox"/> FZ; <input type="checkbox"/> MCz; <input type="checkbox"/> Supplier option of Cz or MCz		
2-1.2	Use of Refined Polysilicon	<input type="checkbox"/> Permitted; <input type="checkbox"/> Not permitted		
♦ 2-1.3	Crystal Orientation	<input type="checkbox"/> (100); <input type="checkbox"/> (111); <input type="checkbox"/> (110); <input type="checkbox"/> Other: (specify) ( )		<input type="checkbox"/> SEMI MF26 (X-ray); <input type="checkbox"/> SEMI MF26 (Optical); <input type="checkbox"/> JEITA EM-3501; <input type="checkbox"/> Other: (specify) _____
♦ 2-1.4	Conductivity Type	<input type="checkbox"/> <i>p</i> ; <input type="checkbox"/> <i>n</i>		<input type="checkbox"/> SEMI MF42; <input type="checkbox"/> JIS H 0607; <input type="checkbox"/> Other: (specify) _____
♦ 2-1.5	Dopant	<input type="checkbox"/> B; <input type="checkbox"/> P; <input type="checkbox"/> Sb; <input type="checkbox"/> As; <input type="checkbox"/> Neutron Transmutation Doped; <input type="checkbox"/> Other: (specify) _____		
2-1.6	Nominal Edge Exclusion <sup>#1</sup> (see Figure 1)	<input type="checkbox"/> 1.5 mm; <input type="checkbox"/> 2 mm; <input type="checkbox"/> 3 mm; <input type="checkbox"/> 5 mm; <input type="checkbox"/> Other: (specify) _____ mm		
2-1.7	Co-dopant in Crystal	<input type="checkbox"/> None; <input type="checkbox"/> Nitrogen; <input type="checkbox"/> Carbon; <input type="checkbox"/> Nitrogen and Carbon; <input type="checkbox"/> Argon; <input type="checkbox"/> Other: (specify) _____		
♦ 2-1.8	Wafer Surface Orientation (with respect to crystal orientation, see Item 2-1.3)	<input type="checkbox"/> On-orientation: <input type="checkbox"/> 0.00° ± 1.00°; <input type="checkbox"/> 0.00° ± _____° <input type="checkbox"/> Off-orientation: <input type="checkbox"/> 0.6° ± 0.4° <input type="checkbox"/> 2.50° ± 0.50°; <input type="checkbox"/> 4.00° ± 0.50°; <input type="checkbox"/> _____° ± _____°		<input type="checkbox"/> SEMI MF26 (X-ray); <input type="checkbox"/> SEMI MF26 (Optical); <input type="checkbox"/> JEITA EM-3501; <input type="checkbox"/> Other: (specify) _____
2-1.9	Orthogonal Misorientation (see Figure 2)	<input type="checkbox"/> ±5° max; <input type="checkbox"/> Other: ± [ ]°, max		
<b>2-2 ELECTRICAL CHARACTERISTICS</b> (Note that ♦ indicates a required item for which a value or choice <b>must</b> be indicated in order to minimally specify a silicon wafer.)				
♦ 2-2.1	Resistivity Measured at	Nominal [ ] ± Tolerance [ ] Ω-cm <input type="checkbox"/> Center Point; <input type="checkbox"/> Other: (specify) _____		<input type="checkbox"/> SEMI MF84; <input type="checkbox"/> SEMI MF673; <input type="checkbox"/> Other: (specify) _____

This is a Draft Document of the SEMI International Standards program. No material on this page is to be construed as an official or adopted Standard or Safety Guideline. Permission is granted to reproduce and/or distribute this document, in whole or in part, only within the scope of SEMI International Standards committee (document development) activity. All other reproduction and/or distribution without the prior written consent of SEMI is prohibited.



**LETTER BALLOT**

ITEM		SPECIFICATION	MEASUREMENT METHOD
2-2.2	Radial Resistivity Variation (RRG)	Not greater than [ ] %	SEMI MF81 Figure 1 – [ ] A, [ ] B, [ ] C, [ ] D; [ ] Other: (specify) _____
2-2.3	Resistivity Striations	Not greater than [ ] %	[ ] SEMI MF525; [ ] Other: (specify) _____
2-2.4	Minority Carrier Lifetime	Greater than [ ] μs	SEMI MF28 [ ] A, [ ] B; SEMI MF391 [ ] A, [ ] B; [ ] SEMI MF1388; [ ] SEMI MF1535; [ ] JEITA EM-3502; [ ] JIS H 0604; [ ] SEMI PV13 [ ] Other: (specify) _____
<b>2-3 CHEMICAL CHARACTERISTICS</b>			
2-3.1	Oxygen Concentration (Values using the following Calibration Factor, see SEMI M44)	Nominal [ ] ± Tolerance [ ] ; [ ] 10 <sup>17</sup> cm <sup>-3</sup> ; [ ] ppma [ ] IOC-88; [ ] Old ASTM; [ ] New ASTM or DIN; [ ] Original JEITA; [ ] Other: (specify) _____	IR (Interstitial): [ ] SEMI MF1188; [ ] SEMI MF1619; [ ] JEIDA EM-3504; [ ] Other: (specify) _____ [ ] SIMS (Total): SEMI MF1366; [ ] GFA (Total)
2-3.2	Radial Oxygen Variation	Not greater than [ ] %	SEMI MF951 Plan [ ] A1; [ ] A2; [ ] A3; [ ] B; [ ] B1; [ ] C; [ ] D; [ ] Other: (specify) _____
2-3.3	Carbon Concentration (Background, cf. 2-1.7)	Not greater than [ ] [ ] ppma; [ ] × 10 <sup>16</sup> cm <sup>-3</sup>	[ ] SEMI MF1391; [ ] JEITA EM-3503; [ ] Other: (specify) _____
2-3.4	Boron Concentration in Heavily Doped n-type Silicon	Not greater than [ ] ppba	[ ] SEMI MF1528; [ ] Other: (specify) _____
2-3.5	Bulk Iron Content	[ ] Not greater than [ ] × 10 <sup>11</sup> atoms/cm <sup>3</sup>	[ ] SEMI MF978 (DLTS); [ ] SEMI MF391 (SPV); [ ] SEMI MF1535; [ ] JEITA EM-3502 (μτ); [ ] SEMI PV13 [ ] Other (specify): _____
2-3.6	Hazardous Substances Restrictions	[ ] EC Directive 2002/95/EC [ ] Other: (specify) _____	See Appendix 2 Specify: _____
<b>2-4 STRUCTURAL CHARACTERISTICS</b>			
2-4.1	Dislocation Etch Pit Density	[ ] Not greater than [ ] /cm <sup>2</sup>	[ ] SEMI MF1809; [ ] JIS H 0609; [ ] Other: (specify) _____
2-4.2	Slip	[ ] None [ ] Other: (specify) _____	[ ] SEMI MF1809; [ ] JIS H 0609; [ ] Other: (specify) _____
2-4.3	Lineage	[ ] None [ ] Other: (specify) _____	[ ] SEMI MF1809; [ ] JIS H 0609; [ ] Other: (specify) _____
2-4.4	Twin Boundary	[ ] None [ ] Other: (specify) _____	[ ] SEMI MF1809; [ ] JIS H 0609; [ ] Other: (specify) _____
2-4.5	Swirl	[ ] Not greater than [ ] % of wafer area	[ ] SEMI MF1809; [ ] JIS H 0609; [ ] Other: (specify) _____
2-4.6	Shallow Pits	Not greater than [ ] /cm <sup>2</sup>	[ ] SEMI MF1727; [ ] SEMI MF1049; [ ] Other: (specify) _____

ITEM		SPECIFICATION	MEASUREMENT METHOD
2-4.7	Oxidation Induced Stacking Faults (OSF)	Not greater than [ ] /cm <sup>2</sup>	Test Cycle: [ ] SEMI MF1727; [ ] JIS H 0609; [ ] Other: (specify) _____ Observation Method: [ ] SEMI MF1726; [ ] JIS H 0609; [ ] Other: (specify) _____
2-4.8	Oxide Precipitates	Range: [ ] to [ ] Unit: [ ] cm <sup>-2</sup> ; [ ] cm <sup>-3</sup>	Test Cycle: SEMI MF1239 [ ] A, [ ] B; [ ] Other: (specify) _____
2-4.9	Interstitial Oxygen Reduction ( $\Delta[O_i]$ )	Range: [ ] to [ ] [ ] ppma; [ ] $\times 10^{17}$ cm <sup>-3</sup>	Test Cycle: SEMI MF1239 [ ] A, [ ] B; [ ] Other: (specify) _____
2-4.10	Bulk defects by X-ray topography	[ ] Density: _____ cm <sup>-3</sup>	[ ] Specify _____
2-4.11	Bulk Micro Defects	[ ] Density: _____ cm <sup>-3</sup>	[ ] JEITA EM-3508; [ ] Other: (specify) _____
<b>2-5 WAFER PREPARATION CHARACTERISTICS</b>			
2-5.1	Wafer ID Marking	[ ] None; [ ] SEMI M12; [ ] SEMI M13; [ ] SEMI T7; [ ] SEMI T7 + optional alphanumeric mark (see Figure 3); [ ] Other: (specify) _____	
2-5.2	Front Surface Thin Film(s)	[ ] None [ ] Description:	
2-5.3	Denuded Zone	[ ] None [ ] Width: _____ $\mu$ m	[ ] JEITA EM-3508; [ ] Other: (specify) _____
2-5.4	Extrinsic Gettering	[ ] None [ ] Description:	
2-5.5	Backseal	[ ] None [ ] Description:	
2-5.6	Annealing	[ ] None [ ] Description:	
ITEM		SPECIFICATION	MEASUREMENT METHOD
2-5.7	Edge Surface Condition	[ ] Ground; [ ] Etched; [ ] Polished <sup>#2</sup>	
2-5.8	Back Surface Condition	[ ] Supplier Option; [ ] Caustic Etched; [ ] Acid Etched; [ ] Polished <sup>#2</sup> (see Item 2-9.8 for required gloss level)	
<b>2-6 DIMENSIONAL CHARACTERISTICS</b> (Note that $\blacklozenge$ indicates a required item for which a value or choice <b>must</b> be indicated in order to minimally specify a silicon wafer.)			
THE ITEMS LISTED IN THIS SECTION MAY BE SPECIFIED INDIVIDUALLY OR THEY MAY BE [ ] Specified According to Category 1. ___ Polished Wafers (see Tables 3 through 9). If the items are specified according to a standard wafer Category, all the items in these tables need not be entered individually.			
$\blacklozenge$	2-6.1	Diameter	[ ] Nominal [ ] $\pm$ Tolerance [ ] mm [ ] SEMI MF2074; [ ] Other: (specify) _____
$\blacklozenge$	2-6.2	<del>Fiducial</del> Dimensions of Flat and Notch Fiducials	Flat [ ] Length or [ ] Diameter: <sup>#3</sup> [ ] Nominal [ ] $\pm$ Tolerance [ ] mm [ ] Notch Dimensions (see Figure 5) [ ] No Notch or Flat [ ] SEMI MF671 (Flat Length); [ ] SEMI MF1152 (Notch Dimensions); [ ] Other: (specify) _____
$\blacklozenge$	2-6.3	Orientation of Primary Flat, Notch, or Primary Orientation Fiducial Mark	[ ] (crystal axis) [ ] $\pm$ _____ <sup>o</sup> [ ] SEMI MF847 (Flat); [ ] Other: (specify) _____
$\blacklozenge$	2-6.4	Secondary Flat Length	[ ] None; [ ] Other: _____ $\pm$ _____ mm [ ] SEMI MF671; [ ] Other: (specify) _____



**LETTER BALLOT**

ITEM		SPECIFICATION	MEASUREMENT METHOD
◆↻	2-6.5	Angular Location of Secondary Flat (see Figure 4) or of Secondary and Tertiary Orientation Fiducial Marks (see Figure 6)  <input type="checkbox"/> None; <input type="checkbox"/> Other: ( $\theta$ ) _____ $\pm$ _____ $^{\circ}$  <input type="checkbox"/> None; <input type="checkbox"/> see Table 9 <input type="checkbox"/> None; <input type="checkbox"/> see Table 9	
◆↻	2-6.6	Template-Coordinate Based Edge Profile Specification  <input type="checkbox"/> T/3 template <input type="checkbox"/> T/4 template <input type="checkbox"/> Other template (specify) _____	SEMI MF928: Method A <input type="checkbox"/> , Method B <input type="checkbox"/> <input type="checkbox"/> Other: (specify) _____
		Profile-Parameter-Based Edge Profile Specification  <input type="checkbox"/> Front edge width _____ $\mu\text{m} \pm$ _____ $\mu\text{m}$ <input type="checkbox"/> Front bevel angle _____ $^{\circ} \pm$ _____ $^{\circ}$ <input type="checkbox"/> Front shoulder radius _____ $\mu\text{m} \pm$ _____ $\mu\text{m}$ <input type="checkbox"/> Back shoulder radius _____ $\mu\text{m} \pm$ _____ $\mu\text{m}$ <input type="checkbox"/> Back bevel angle _____ $^{\circ} \pm$ _____ $^{\circ}$ <input type="checkbox"/> Back edge width _____ $\mu\text{m} \pm$ _____ $\mu\text{m}$ <input type="checkbox"/> Front apex angle _____ $^{\circ} \pm$ _____ $^{\circ}$ (optional) <sup>#4</sup> <input type="checkbox"/> Back apex angle _____ $^{\circ} \pm$ _____ $^{\circ}$ (optional) <sup>#4</sup>	SEMI M73: <sup>#4</sup> Method 1 <input type="checkbox"/> , Method 2 <input type="checkbox"/> <input type="checkbox"/> Other: (specify) _____
◆↻	2-6.7	Thickness  <input type="checkbox"/> Nominal <input type="checkbox"/> $\pm$ Tolerance <input type="checkbox"/> $\mu\text{m}$	<input type="checkbox"/> SEMI MF533; <input type="checkbox"/> SEMI MF1530; <input type="checkbox"/> JIS H 0611; <input type="checkbox"/> Other: (specify) _____
↻	2-6.8	Total Thickness Variation (TTV)  <input type="checkbox"/> <input type="checkbox"/> $\mu\text{m}$ , max	<input type="checkbox"/> SEMI MF533; <input type="checkbox"/> JIS H 0611; <input checked="" type="checkbox"/> SEMI MF657 (partial scan); <input type="checkbox"/> SEMI MF1530 (full scan); <input type="checkbox"/> Other: (specify) _____
↻	2-6.9	Bow  <input type="checkbox"/> <input type="checkbox"/> $\mu\text{m}$ , max	<input type="checkbox"/> SEMI MF534 MF1390; <input type="checkbox"/> JIS H 0611; <input type="checkbox"/> Other: (specify) _____
↻	2-6.10	Warp  <input type="checkbox"/> <input type="checkbox"/> $\mu\text{m}$ , max	<input checked="" type="checkbox"/> SEMI MF657; <input type="checkbox"/> SEMI MF1390; <input type="checkbox"/> Other: (specify) _____
	2-6.11	Sori  <input type="checkbox"/> <input type="checkbox"/> $\mu\text{m}$ , max	<input type="checkbox"/> SEMI MF1451; <input type="checkbox"/> JEITA EM-3401; <input type="checkbox"/> Other: (specify) _____
	2-6.12	Flatness, Global  Acronym: <sup>#5</sup> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> Value: <input type="checkbox"/> $\mu\text{m}$	<input type="checkbox"/> SEMI MF1530; <input type="checkbox"/> JEITA EM-3401; <input type="checkbox"/> Other: (specify) _____
	2-6.13	Flatness, Site  Acronym: <sup>#5</sup> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> Value: Not greater than <input type="checkbox"/> $\mu\text{m}$ Site Size _____ mm $\times$ _____ mm <input type="checkbox"/> % Usable Area _____ <input type="checkbox"/> Include partial sites; <input type="checkbox"/> Do not include partial sites Offset: x = <input type="checkbox"/> mm, y = <input type="checkbox"/> mm	<input type="checkbox"/> SEMI MF1530; <input type="checkbox"/> Other: (specify) _____
	2-6.14	Nanotopography  <input type="checkbox"/> as described in SEMI M43 (specify conditions): _____  <input type="checkbox"/> $\leq$ _____ nm for 2 mm analysis area <input type="checkbox"/> $\leq$ _____ nm for 10 mm analysis area	SEMI M78 SEMI M78
	2-6.15	Near Edge Geometry  <input type="checkbox"/> ESFQR, <input type="checkbox"/> ESFQD. or <input type="checkbox"/> ESBIR <input type="checkbox"/> ZDD <input type="checkbox"/> L-ROA, <input type="checkbox"/> P-ROA <input type="checkbox"/> PSFQR, <input type="checkbox"/> PSFQD	SEMI M67 SEMI M68 SEMI M77 SEMI M70

This is a Draft Document of the SEMI International Standards program. No material on this page is to be construed as an official or adopted Standard or Safety Guideline. Permission is granted to reproduce and/or distribute this document, in whole or in part, only within the scope of SEMI International Standards committee (document development) activity. All other reproduction and/or distribution without the prior written consent of SEMI is prohibited.



**LETTER BALLOT**

ITEM		SPECIFICATION	MEASUREMENT METHOD
<b>2-7 FRONT SURFACE CHEMISTRY</b>			
2-7.1	Surface Metal Contamination		
2-7.1.1	Sodium	<input type="checkbox"/> Not greater than $[ ] \times 10^{[ ]}$ atoms/cm <sup>2</sup>	SEMI M85 <input type="checkbox"/> (VPD-ICP-MS); <input type="checkbox"/> (DADD-ICP-MS); <input type="checkbox"/> AAS; <input type="checkbox"/> SEMI MF1617 (SIMS); <input type="checkbox"/> Other: (specify) _____
2-7.1.2	Aluminum	<input type="checkbox"/> Not greater than $[ ] \times 10^{[ ]}$ atoms/cm <sup>2</sup>	SEMI M85 <input type="checkbox"/> (VPD-ICP-MS); <input type="checkbox"/> (DADD-ICP-MS); <input type="checkbox"/> AAS; <input type="checkbox"/> SEMI MF1617 (SIMS); <input type="checkbox"/> Other: (specify) _____
2-7.1.3	Potassium	<input type="checkbox"/> Not greater than $[ ] \times 10^{[ ]}$ atoms/cm <sup>2</sup>	SEMI M85 <input type="checkbox"/> (VPD-ICP-MS); <input type="checkbox"/> (DADD-ICP-MS); <input type="checkbox"/> AAS; <input type="checkbox"/> SEMI MF1617 (SIMS); <input type="checkbox"/> ISO 14706 (TXRF); <input type="checkbox"/> ISO 17331 (VPD-TXRF); <input type="checkbox"/> Other: (specify) _____
2-7.1.4	Chromium	<input type="checkbox"/> Not greater than $[ ] \times 10^{[ ]}$ atoms/cm <sup>2</sup>	SEMI M85 <input type="checkbox"/> (VPD-ICP-MS); <input type="checkbox"/> (DADD-ICP-MS); <input type="checkbox"/> AAS; <input type="checkbox"/> SEMI MF1617 (SIMS); <input type="checkbox"/> ISO 14706 (TXRF); <input type="checkbox"/> ISO 17331 (VPD-TXRF); <input type="checkbox"/> Other: (specify) _____
2-7.1.5	Iron	<input type="checkbox"/> Not greater than $[ ] \times 10^{[ ]}$ atoms/cm <sup>2</sup>	SEMI M85 <input type="checkbox"/> (VPD-ICP-MS); <input type="checkbox"/> (DADD-ICP-MS); <input type="checkbox"/> AAS; <input type="checkbox"/> SEMI MF1617 (SIMS); <input type="checkbox"/> ISO 14706 (TXRF); <input type="checkbox"/> ISO 17331 (VPD-TXRF); <input type="checkbox"/> Other: (specify) _____
2-7.1.6	Nickel	<input type="checkbox"/> Not greater than $[ ] \times 10^{[ ]}$ atoms/cm <sup>2</sup>	SEMI M85 <input type="checkbox"/> (VPD-ICP-MS); <input type="checkbox"/> (DADD-ICP-MS); <input type="checkbox"/> AAS; <input type="checkbox"/> SEMI MF1617 (SIMS); <input type="checkbox"/> ISO 14706 (TXRF); <input type="checkbox"/> ISO 17331 (VPD-TXRF); <input type="checkbox"/> Other: (specify) _____
2-7.1.7	Copper	<input type="checkbox"/> Not greater than $[ ] \times 10^{[ ]}$ atoms/cm <sup>2</sup>	SEMI M85 <input type="checkbox"/> (VPD-ICP-MS); <input type="checkbox"/> (DADD-ICP-MS); <input type="checkbox"/> AAS; <input type="checkbox"/> SEMI MF1617 (SIMS); <input type="checkbox"/> ISO 14706 (TXRF); <input type="checkbox"/> ISO 17331 (VPD-TXRF); <input type="checkbox"/> Other: (specify) _____
2-7.1.8	Zinc	<input type="checkbox"/> Not greater than $[ ] \times 10^{[ ]}$ atoms/cm <sup>2</sup>	SEMI M85 <input type="checkbox"/> (VPD-ICP-MS); <input type="checkbox"/> (DADD-ICP-MS); <input type="checkbox"/> AAS; <input type="checkbox"/> SEMI MF1617 (SIMS); <input type="checkbox"/> ISO 14706 (TXRF); <input type="checkbox"/> ISO 17331 (VPD-TXRF); <input type="checkbox"/> Other: (specify) _____

This is a Draft Document of the SEMI International Standards program. No material on this page is to be construed as an official or adopted Standard or Safety Guideline. Permission is granted to reproduce and/or distribute this document, in whole or in part, only within the scope of SEMI International Standards committee (document development) activity. All other reproduction and/or distribution without the prior written consent of SEMI is prohibited.



**LETTER BALLOT**

ITEM		SPECIFICATION	MEASUREMENT METHOD
2-7.1.9	Calcium	<input type="checkbox"/> Not greater than <input type="checkbox"/> × 10 <sup>[ ]</sup> atoms/cm <sup>2</sup>	SEMI M85 <input type="checkbox"/> (VPD-ICP-MS); <input type="checkbox"/> (DADD-ICP-MS); <input type="checkbox"/> AAS; <input type="checkbox"/> SEMI MF1617 (SIMS); <input type="checkbox"/> ISO 14706 (TXRF); <input type="checkbox"/> ISO 17331 (VPD-TXRF); <input type="checkbox"/> Other: (specify) _____
2-7.2	Other Surface Metals (List Separately)		
2-7.2.1	_____	<input type="checkbox"/> Not greater than <input type="checkbox"/> × 10 <sup>[ ]</sup> atoms/cm <sup>2</sup>	SEMI M85 <input type="checkbox"/> (VPD-ICP-MS); <input type="checkbox"/> (DADD-ICP-MS); <input type="checkbox"/> AAS; <input type="checkbox"/> SEMI MF1617 (SIMS); <input type="checkbox"/> ISO 14706 (TXRF); <input type="checkbox"/> ISO 17331 (VPD-TXRF); <input type="checkbox"/> Other: (specify) _____
2-7.2.2	_____	<input type="checkbox"/> Not greater than <input type="checkbox"/> × 10 <sup>[ ]</sup> atoms/cm <sup>2</sup>	SEMI M85 <input type="checkbox"/> (VPD-ICP-MS); <input type="checkbox"/> (DADD-ICP-MS); <input type="checkbox"/> AAS; <input type="checkbox"/> SEMI MF1617 (SIMS); <input type="checkbox"/> ISO 14706 (TXRF); <input type="checkbox"/> ISO 17331 (VPD-TXRF); <input type="checkbox"/> Other: (specify) _____
2-7.2.3	_____	<input type="checkbox"/> Not greater than <input type="checkbox"/> × 10 <sup>[ ]</sup> atoms/cm <sup>2</sup>	SEMI M85 <input type="checkbox"/> (VPD-ICP-MS); <input type="checkbox"/> (DADD-ICP-MS); <input type="checkbox"/> AAS; <input type="checkbox"/> SEMI MF1617 (SIMS); <input type="checkbox"/> ISO 14706 (TXRF); <input type="checkbox"/> ISO 17331 (VPD-TXRF); <input type="checkbox"/> Other: (specify) _____
2-7.2.4	_____	<input type="checkbox"/> Not greater than <input type="checkbox"/> × 10 <sup>[ ]</sup> atoms/cm <sup>2</sup>	SEMI M85 <input type="checkbox"/> (VPD-ICP-MS); <input type="checkbox"/> (DADD-ICP-MS); <input type="checkbox"/> AAS; <input type="checkbox"/> SEMI MF1617 (SIMS); <input type="checkbox"/> ISO 14706 (TXRF); <input type="checkbox"/> ISO 17331 (VPD-TXRF); <input type="checkbox"/> Other: (specify) _____
2-7.2.5	_____	<input type="checkbox"/> Not greater than <input type="checkbox"/> × 10 <sup>[ ]</sup> atoms/cm <sup>2</sup>	SEMI M85 <input type="checkbox"/> (VPD-ICP-MS); <input type="checkbox"/> (DADD-ICP-MS); <input type="checkbox"/> AAS; <input type="checkbox"/> SEMI MF1617 (SIMS); <input type="checkbox"/> ISO 14706 (TXRF); <input type="checkbox"/> ISO 17331 (VPD-TXRF); <input type="checkbox"/> Other: (specify) _____
2-7.2.6	_____	<input type="checkbox"/> Not greater than <input type="checkbox"/> × 10 <sup>[ ]</sup> atoms/cm <sup>2</sup>	SEMI M85 <input type="checkbox"/> (VPD-ICP-MS); <input type="checkbox"/> (DADD-ICP-MS); <input type="checkbox"/> AAS; <input type="checkbox"/> SEMI MF1617 (SIMS); <input type="checkbox"/> ISO 14706 (TXRF); <input type="checkbox"/> ISO 17331 (VPD-TXRF); <input type="checkbox"/> Other: (specify) _____
2-7.3	Surface Organics	<input type="checkbox"/> Not greater than <input type="checkbox"/> ng/cm <sup>2</sup>	<input type="checkbox"/> SEMI MF1982; <input type="checkbox"/> Other: (specify) _____

This is a Draft Document of the SEMI International Standards program. No material on this page is to be construed as an official or adopted Standard or Safety Guideline. Permission is granted to reproduce and/or distribute this document, in whole or in part, only within the scope of SEMI International Standards committee (document development) activity. All other reproduction and/or distribution without the prior written consent of SEMI is prohibited.

ITEM		SPECIFICATION	MEASUREMENT METHOD
<b>2-8 FRONT SURFACE INSPECTION CHARACTERISTICS</b>			
FOR ALL WAFER DIAMETERS, THE ITEMS LISTED IN THIS SECTION MAY BE SPECIFIED INDIVIDUALLY. ALTERNATIVELY, FOR WAFERS 150 mm OR LESS IN DIAMETER, WHICH CAN BE INSPECTED VISUALLY, THE ITEMS LISTED MAY BE <input type="checkbox"/> Specified according to Table 12. If the items are specified according to Table 12, the items marked with the symbol <input checked="" type="checkbox"/> need not be entered individually.			
<input checked="" type="checkbox"/>	2-8.1	Scratches – Macro <input type="checkbox"/> None; <input type="checkbox"/> Other: (specify) _____	<input type="checkbox"/> SEMI MF523; <input type="checkbox"/> JIS H 0614; <input type="checkbox"/> SSIS; <sup>#6</sup> <input type="checkbox"/> Other: (specify) _____
<input checked="" type="checkbox"/>	2-8.2	Scratches – Micro <input type="checkbox"/> None; <input type="checkbox"/> Other: (specify) _____	<input type="checkbox"/> SEMI MF523; <input type="checkbox"/> JIS H 0614; <input type="checkbox"/> SSIS; <sup>#6</sup> <input type="checkbox"/> Other: (specify) _____
<input checked="" type="checkbox"/>	2-8.3	Pits <input type="checkbox"/> None; <input type="checkbox"/> Other: (specify) _____	<input type="checkbox"/> SEMI MF523; <input type="checkbox"/> JIS H 0614; <input type="checkbox"/> SSIS; <sup>#6</sup> <input type="checkbox"/> Other: (specify) _____
<input checked="" type="checkbox"/>	2-8.4	Haze <input type="checkbox"/> None; <input type="checkbox"/> Other: (specify) _____	<input type="checkbox"/> SEMI MF523; <input type="checkbox"/> JIS H 0614; <input type="checkbox"/> SSIS; <sup>#6</sup> <input type="checkbox"/> Other: (specify) _____
<input checked="" type="checkbox"/>	2-8.5	Localized Light Scatterers (LLS) Size: $\geq$ [ ] $\mu\text{m}$ (LSE) Count: $\leq$ [ ] [ ] per wafer; [ ] per $\text{cm}^2$ Size: $\geq$ [ ] $\mu\text{m}$ (LSE) Count: $\leq$ [ ] [ ] per wafer; [ ] per $\text{cm}^2$ Size: $\geq$ [ ] $\mu\text{m}$ (LSE) Count: $\leq$ [ ] [ ] per wafer; [ ] per $\text{cm}^2$ Size: $\geq$ [ ] $\mu\text{m}$ (LSE) Count: $\leq$ [ ] [ ] per wafer; [ ] per $\text{cm}^2$ Size: $\geq$ [ ] $\mu\text{m}$ (LSE) Count: $\leq$ [ ] [ ] per wafer; [ ] per $\text{cm}^2$	<input type="checkbox"/> SEMI MF523; <input type="checkbox"/> JIS H 0614; <input type="checkbox"/> SSIS; <sup>#6</sup> <input type="checkbox"/> Other: (specify) _____
<input checked="" type="checkbox"/>	2-8.6	Contamination/Area <input type="checkbox"/> None; <input type="checkbox"/> Other: (specify) _____	<input type="checkbox"/> SEMI MF523; <input type="checkbox"/> JIS H 0614; <input type="checkbox"/> SSIS; <sup>#6</sup> <input type="checkbox"/> Other: (specify) _____
<input checked="" type="checkbox"/>	2-8.7	Edge Chips and Indents <input type="checkbox"/> None; <input type="checkbox"/> Other: (specify) _____	<input type="checkbox"/> SEMI MF523; <input type="checkbox"/> JIS H 0614; <input type="checkbox"/> SSIS; <sup>#6</sup> <input type="checkbox"/> Other: (specify) _____
<input checked="" type="checkbox"/>	2-8.8	Edge Cracks <input type="checkbox"/> None; <input type="checkbox"/> Other: (specify) _____	<input type="checkbox"/> SEMI MF523; <input type="checkbox"/> JIS H 0614; <input type="checkbox"/> SSIS; <sup>#6</sup> <input type="checkbox"/> Other: (specify) _____
<input checked="" type="checkbox"/>	2-8.9	Cracks, Crow's Feet <input type="checkbox"/> None; <input type="checkbox"/> Other: (specify) _____	<input type="checkbox"/> SEMI MF523; <input type="checkbox"/> JIS H 0614; <input type="checkbox"/> SSIS; <sup>#6</sup> <input type="checkbox"/> Other: (specify) _____
<input checked="" type="checkbox"/>	2-8.10	Craters <input type="checkbox"/> None; <input type="checkbox"/> Other: (specify) _____	<input type="checkbox"/> SEMI MF523; <input type="checkbox"/> JIS H 0614; <input type="checkbox"/> SSIS; <sup>#6</sup> <input type="checkbox"/> Other: (specify) _____
<input checked="" type="checkbox"/>	2-8.11	Dimples <input type="checkbox"/> None; <input type="checkbox"/> Other: (specify) _____	<input type="checkbox"/> SEMI MF523; <input type="checkbox"/> JIS H 0614; <input type="checkbox"/> SSIS; <sup>#6</sup> <input type="checkbox"/> Other: (specify) _____
<input checked="" type="checkbox"/>	2-8.12	Grooves <input type="checkbox"/> None; <input type="checkbox"/> Other: (specify) _____	<input type="checkbox"/> SEMI MF523; <input type="checkbox"/> JIS H 0614; <input type="checkbox"/> SSIS; <sup>#6</sup> <input type="checkbox"/> Other: (specify) _____
<input checked="" type="checkbox"/>	2-8.13	Mounds <input type="checkbox"/> None; <input type="checkbox"/> Other: (specify) _____	<input type="checkbox"/> SEMI MF523; <input type="checkbox"/> JIS H 0614; <input type="checkbox"/> SSIS; <sup>#6</sup> <input type="checkbox"/> Other: (specify) _____
<input checked="" type="checkbox"/>	2-8.14	Orange Peel <input type="checkbox"/> None; <input type="checkbox"/> Other: (specify) _____	<input type="checkbox"/> SEMI MF523; <input type="checkbox"/> JIS H 0614; <input type="checkbox"/> SSIS; <sup>#6</sup> <input type="checkbox"/> Other: (specify) _____

This is a Draft Document of the SEMI International Standards program. No material on this page is to be construed as an official or adopted Standard or Safety Guideline. Permission is granted to reproduce and/or distribute this document, in whole or in part, only within the scope of SEMI International Standards committee (document development) activity. All other reproduction and/or distribution without the prior written consent of SEMI is prohibited.



**LETTER BALLOT**

ITEM		SPECIFICATION	MEASUREMENT METHOD
▼	2-8.15	Saw Marks	[ ] None; [ ] Other: (specify) _____ [ ] SEMI MF523; [ ] JIS H 0614; [ ] SSIS <sup>#6</sup> [ ] Other: (specify) _____
	2-8.16	Dopant Striation Rings	[ ] None; [ ] Other: (specify) _____ [ ] SEMI MF523; [ ] JIS H 0614; [ ] Other: (specify) _____
	2-8.17	Stains	[ ] None; [ ] Other: (specify) _____ [ ] SEMI MF523; [ ] JIS H 0614; [ ] Other: (specify) _____
	2-8.18	rms Microroughness	[ ] Not greater than [ ] nm, over spectral range from [ ] $\mu\text{m}^{-1}$ to [ ] $\mu\text{m}^{-1}$ [ ] SEMI MF1048; [ ] AFM; [ ] Other: (specify) _____
<b>2-9 BACK SURFACE INSPECTION CHARACTERISTICS</b>			
FOR ALL WAFER DIAMETERS, THE ITEMS LISTED IN THIS SECTION MAY BE SPECIFIED INDIVIDUALLY. ALTERNATIVELY, FOR WAFERS 150 mm OR LESS IN DIAMETER, WHICH CAN BE INSPECTED VISUALLY, THE ITEMS LISTED MAY BE [ ] Specified according to Table 12. If the items are specified according to Table 12, the items marked with the symbol ▼ need not be entered individually.			
▼	2-9.1	Edge Chips	[ ] None; [ ] Other: (specify) _____ [ ] SEMI MF523; [ ] JIS H 0614; [ ] Other: (specify) _____
	2-9.2	Edge Cracks	[ ] None; [ ] Other: (specify) _____ [ ] SEMI MF523; [ ] JIS H 0614; [ ] Other: (specify) _____
▼	2-9.3	Cracks, Crow's Feet	[ ] None; [ ] Other: (specify) _____ [ ] SEMI MF523; [ ] JIS H 0614; [ ] Other: (specify) _____
▼	2-9.4	Contamination/Area	[ ] None; [ ] Other: (specify) _____ [ ] SEMI MF523; [ ] JIS H 0614; [ ] Other: (specify) _____
▼	2-9.5	Saw Marks	[ ] None; [ ] Other: (specify) _____ [ ] SEMI MF523; [ ] JIS H 0614; [ ] Other: (specify) _____
	2-9.6	Stains	[ ] None; [ ] Other: (specify) _____ [ ] SEMI MF523; [ ] JIS H 0614; [ ] Other: (specify) _____
	2-9.7	Roughness	[ ] _____ nm rms [ ] SEMI M40; [ ] Other: (specify) _____
	2-9.8	Brightness (Gloss)	[ ] _____ [ ] ASTM D523; [ ] JIS Z 8741; [ ] Other: (specify) _____
	2-9.9	Scratches – Macro	[ ] None; [ ] Cum Length = [ ] mm [ ] SEMI MF523; [ ] JIS H 0614; [ ] Other: (specify) _____
	2-9.10	Scratches – Micro	[ ] None; [ ] Cum Length = [ ] mm [ ] SEMI MF523; [ ] JIS H 0614; [ ] Other: (specify) _____
	2-9.11	Localized Light Scatterers	Size: $\geq$ [ ] $\mu\text{m}$ (LSE) Count: $\leq$ [ ] [ ] per wafer; [ ] per $\text{cm}^2$ Size: $\geq$ [ ] $\mu\text{m}$ (LSE) Count: $\leq$ [ ] [ ] per wafer; [ ] per $\text{cm}^2$ [ ] SEMI MF523; [ ] JIS H 0614; [ ] SSIS <sup>#6</sup> ; [ ] Other: (specify) _____
<b>2-10 OTHER (as required)</b>			

#1 The nominal edge exclusion, *EE*, specifies the diameter of the FQA, which is given by the nominal diameter (see Item 2-6.1) minus *2EE* (see Figure 1). This quantity provides a center referenced property. Although use of edge referenced properties is discouraged, some equipments and procedures are based on edge referenced dimensions. When this occurs, the quality area is not fixed and some part of the FQA may fall outside the evaluated area, which is generally not a desirable situation.

#2 If specified as polished, this term is meant to imply a surface condition and not a particular processing technique. If desired, a quantitative measure of surface finish may optionally be indicated by specifying the rms microroughness over a specified spatial frequency (or wavelength) range. Because a standardized test method has not yet been developed for this metric, both values and test procedures, including sampling plan and detrending procedures, shall be agreed upon between supplier and customer.

#3 Note that in the case of {100} *n*-type wafers, 125 mm or smaller in diameter, with a secondary flat (Categories 1.1, 1.2, 1.5, 1.6, and 1.7), the primary and secondary flats are opposing and the concept of flat diameter does not apply because the diameter perpendicular to the flats does not intersect the wafer circumference.

#4 Thickness reported by parameter based edge profile measurement is typically different from the actual wafer thickness reported by standard dimensional metrology equipment used for flatness and shape. Users are cautioned not to assume the edge profile reported thickness is a valid wafer dimension.

#5 Flatness Acronyms are defined in the Flatness Decision Tree in Appendix 1.

#6 In today's technology, it may be possible to inspect for some of these items using automated surface scanning inspection systems (SSIS). Such systems should be calibrated according to SEMI M53 using polystyrene latex spheres deposited in accordance with SEMI M58. Some indication of the defects separable by such instruments is provided in SEMI M35; however, a standard test procedure has yet to be developed. Application of automated inspection with the use of an SSIS must be agreed upon between supplier and customer.

## 6 Requirements

### 6.1 Standard Wafer Categories

6.1.1 The standardized dimensions, dimensional tolerances, and characteristics of flat or notch fiducials for various standardized wafer categories are given in Tables 3 through 9. These categories of wafers shall meet all requirements listed in the appropriate table, unless an exception is negotiated between supplier and customer and is shown on the purchase order.

NOTE 1: Based upon industry experience with 300 mm wafers, Table 9 for 450 mm wafers contains many more standardized parameters than the tables for 300 mm and smaller wafers.

6.1.2 The various categories are summarized here:

<u>Category 1.1</u>	<u>2 inch polished single crystal silicon wafers with secondary flat</u>
<u>Category 1.2</u>	<u>3 inch polished single crystal silicon wafers with secondary flat</u>
<u>Category 1.5</u>	<u>100 mm polished single crystal silicon wafers, 525 <math>\mu</math>m thick, with secondary flat</u>
<u>Category 1.6</u>	<u>100 mm polished single crystal silicon wafers, 625 <math>\mu</math>m thick, with secondary flat</u>
<u>Category 1.7</u>	<u>125 mm polished single crystal silicon wafers with secondary flat</u>
<u>Category 1.8.1</u>	<u>150 mm polished single crystal silicon wafers with secondary flat and T/3 edge profile template</u>
<u>Category 1.8.2</u>	<u>150 mm polished single crystal silicon wafers with secondary flat and T/4 edge profile template</u>
<u>Category 1.9.1</u>	<u>200 mm notched polished single crystal silicon wafers with T/3 edge profile template</u>
<u>Category 1.9.2</u>	<u>200 mm notched polished single crystal silicon wafers with T/4 edge profile template</u>
<u>Category 1.9.3</u>	<u>200 mm notched polished single crystal silicon wafers with parameter-specified edge profile</u>
<u>Category 1.10.1</u>	<u>200 mm flatted polished single crystal silicon wafers with T/3 edge profile template without secondary flat</u>
<u>Category 1.10.2</u>	<u>200 mm flatted polished single crystal silicon wafers with T/4 edge profile template without secondary flat</u>
<u>Category 1.11</u>	<u>100 mm flatted polished single crystal silicon wafers without secondary flat</u>
<u>Category 1.12</u>	<u>125 mm flatted polished single crystal silicon wafers without secondary flat</u>
<u>Category 1.13.1</u>	<u>150 mm flatted polished single crystal silicon wafers with T/3 edge profile template without secondary flat</u>
<u>Category 1.13.2</u>	<u>150 mm flatted polished single crystal silicon wafers with T/4 edge profile template without secondary flat</u>

<u>Category 1.15.1</u>	<u>300 mm notched polished single crystal silicon wafers with parameter-specified edge profile</u>
<u>Category 1.16.1</u>	<u>450 mm polished single crystal silicon wafers with notch centered on &lt;110&gt; axis</u>
<u>Category 1.16.2</u>	<u>450 mm polished single crystal silicon wafers with notch centered on &lt;100&gt; axis</u>
<u>Category 1.16.3</u>	<u>450 mm polished single crystal notchless wafers primary back surface fiducial mark centered on &lt;110&gt; axis</u>

6.1.3 The dimensional characteristics of Category 1.10.1, 1.10.2, 1.11, 1.12, 1.13.1, and 1.13.2 wafers are identical with those specified in JEITA EM-3602, and the dimensional characteristics of Category 1.15.1 wafers are equivalent with those specified in JEITA EM-3602, except for the edge profile characteristics.

6.1.4 Wafers of the same nominal diameter may typically have different dimensional configurations in different regions of the world. Many of these configurations are represented in these tables. In selecting the appropriate standard polished wafer category, consideration should be given to compatibility with processes and equipment generally available in the region of use.

#### 6.1-6.2 General Characteristics

6.1-6.2.1 The crystal growth method shall be modified Czochralski (Cz), float zone (FZ), or magnetic Czochralski (MCz) as specified on the purchase order. In some cases, the supplier is given the option of selecting Cz or MCz.

6.1-1-6.2.1.1 If the Cz or MCz method is used for crystal growth, it may be permissible to utilize refined (previously melted) polysilicon in the growth charge; when this is the case, ~~the appropriate box should be checked~~ it should be indicated on the purchase order.

6.1-2-6.2.2 The crystal orientation shall be as specified on the purchase order. Usually either (100) or (111) is specified, but (110) is sometimes specified for polished wafers to be used for making SOI wafers. Occasionally, another orientation, such as (311) or (511), is specified.

6.1-3-6.2.3 The conductivity type shall be either *n* or *p*. as specified on the purchase order.

6.1-4-6.2.4 The dopant shall be specified to match the conductivity type. In general, boron (B) is used for *p*-type material, while phosphorus (P) is most commonly used for *n*-type material. For some applications, antimony (Sb) or arsenic (As) are used to obtain *n*-type wafers. For high power applications, neutron transmutation doping is often used to obtain high resistivity *n*-type wafers.

6.1-5-6.2.5 The nominal edge exclusion, *EE*, specifies the diameter of the fixed quality area (FQA), which is given by the nominal diameter minus  $2 \cdot EE$  (see Figure 1). The fixed quality area is a center-referenced region that is independent in size from the ~~tolerances of both the wafer diameter tolerance, and, if the wafer is flatted, the flat length tolerance.~~ For the purposes of defining the FQA, the periphery of a wafer of nominal dimensions at any location with a fiducial other than a flat is assumed to follow the circumference of a circle with diameter equal to the nominal wafer diameter.

6.1-5-1-6.2.5.1 The nominal edge exclusion is usually specified as 2 mm or 3 mm, but ~~some advanced wafer processing technologies are moving in the direction of~~ for notchless wafers *EE* is 1.5 mm. However, it should be noted that not all processes and metrology can work as close to the wafer edge as implied by the nominal edge exclusion (see the discussion in SEMI M49).

6.1-5-2-6.2.5.2 In addition, it is sometimes necessary to specify additional exclusion ~~areas-zones~~, such as those for wafer identification marks or wafer holding areas as discussed in SEMI M49.

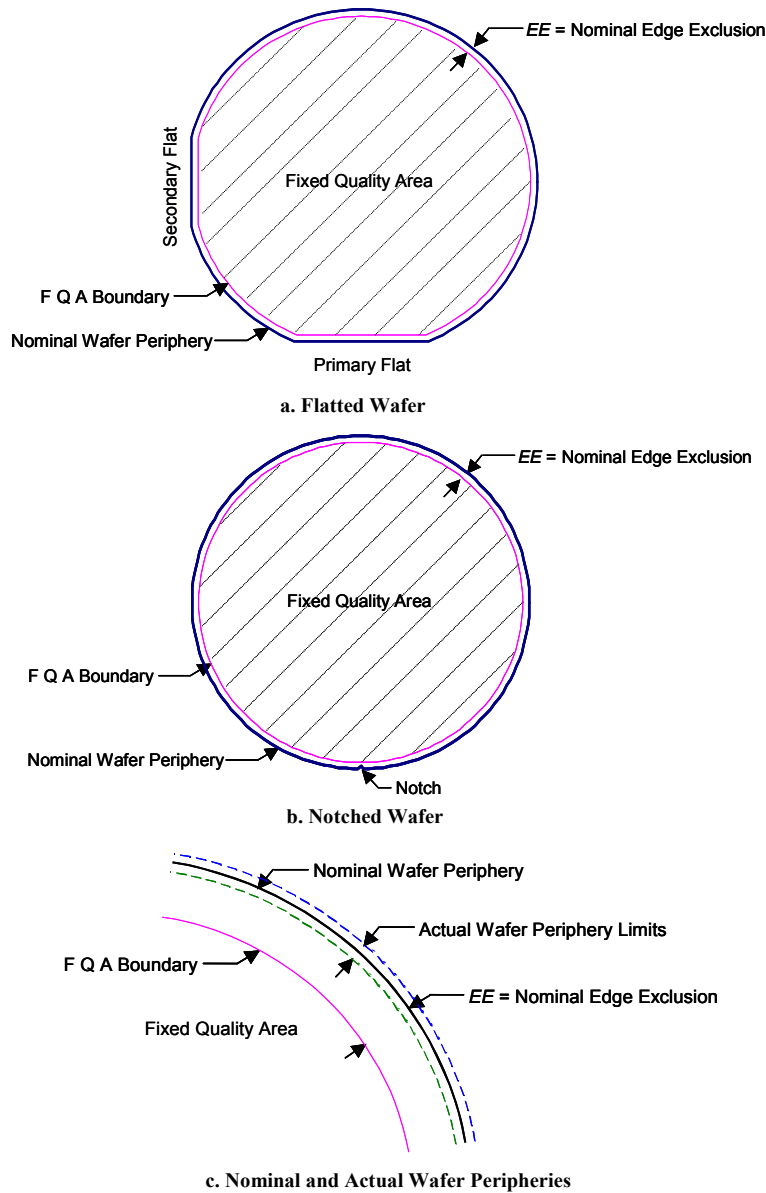
6.1-5-3-6.2.5.3 Although use of edge-referenced properties is discouraged, some equipments and procedures are based on edge referenced dimensions. When this occurs, the quality area is not fixed and some part of the FQA may fall outside the evaluated area, which is generally not a desirable situation.

6.1-6-6.2.6 A co-dopant may be required for polished wafers intended to be processed into annealed wafers. Some co-dopants may also be used in connection with control of oxygen precipitation characteristics even when the wafer is not annealed.

**6.1.7.2-6.2.7** *Wafer Surface Orientation* — The wafer shall conform to the surface orientation as specified in the purchase order.

**6.1.7.1-6.2.7.1** For on-orientation wafers, the angular tolerance from the plane perpendicular to the growth axis shall be specified.

**6.1.7.1.1-6.2.7.1.1** A misorientation angle of  $2.50^\circ$  or  $4^\circ \pm 0.5^\circ$  from a (111) plane is often used for other epitaxial substrates.



**Figure 1**  
**Fixed Quality Area**

**6.1.7.2-6.2.7.2** For off-orientation wafers, both the misorientation angle from the growth axis and its angular tolerance shall be specified.

~~6.1.7.2.1~~ 6.2.7.2.1 A misorientation angle between  $0.2^\circ$  and  $1^\circ$  ( $0.6^\circ \pm 0.4^\circ$ ) from a (100) plane is frequently used for some epitaxial substrates.

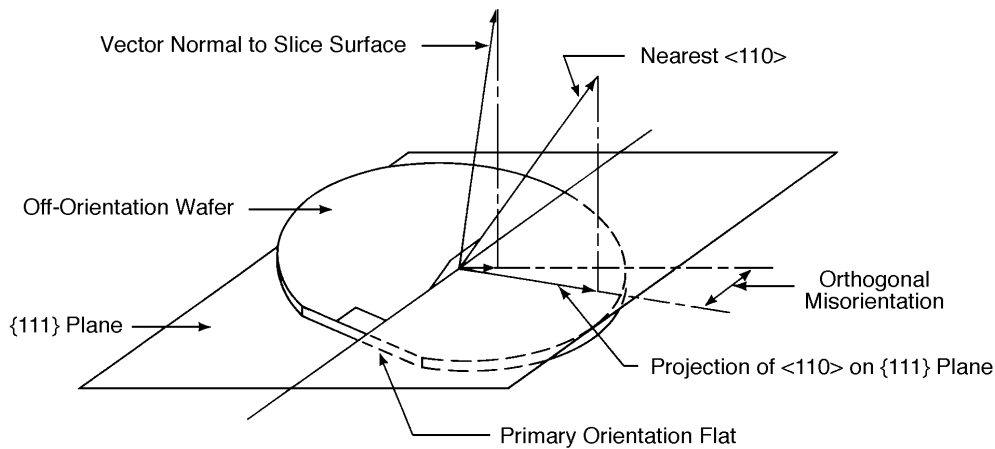
~~6.1.7.3~~ 6.2.7.3 Orthogonal misorientation is the tilt angle of the normal to a (111) wafer surface toward nearest  $\langle 110 \rangle$  direction in a plane parallel with the primary flat as indicated in Figure 2.

~~6.1.7.3.1~~ 6.2.7.3.1 The contribution of  $5^\circ$  of orthogonal misorientation to the total off-orientation angle is less than  $0.5^\circ$ .

~~6.1.7.4~~ 6.2.7.4 For ion implant applications, the following tolerance issues should be considered:

~~6.1.7.4.1~~ 6.2.7.4.1 For general use where channeling is to be avoided, the current wafer orientation specification of  $\pm 1.0^\circ$  deviation from the [100] axis (perpendicular to the (100) plane of the wafer) is adequate. This Specification is suitable for minimum channeling applications, provided that the appropriate ion implant equipment angle settings are employed.

~~6.1.7.4.2~~ 6.2.7.4.2 Uniform, maximum channeling along the [100] axis perpendicular to the (100) wafer surface is strongly dependent on strict adherence to a  $0^\circ$  tilt angle. To achieve maximum channeling, crystallography requires the orientation to be within  $\pm 0.1^\circ$  of a  $\langle 100 \rangle$  direction. Also, the customer must remove all overlying oxide, nitride, poly, etc., layers from the wafer prior to a channeling implant. The customer must maintain very rigid control of the ion implant equipment angle setting in order to achieve maximum channeling across the wafer.



- #1 If the off-orientation angle is determined with the seed end up, the polished surface of the finished wafer must be the surface toward the seed end, and vice versa. Polishing of the incorrect wafer surface results in an incorrect wafer tilt, which can cause unwanted pattern shifts and distortions if the wafer is used subsequently for epitaxial layer growth. Because the convention for determining the off-orientation angle is not the same worldwide, it is essential to establish accurately the desired convention.

**Figure 2**  
**Orthogonal Misorientation of {111} Wafer**

**Table 2 Energy Ranges for Ion Implant Modeling**

<i>Species</i>	<i>Energy Range (keV)</i>
B	15 to 80
BF <sub>2</sub>	15 to 65
As	15 to 180

~~6.1.7.5~~~~6.2.7.5~~ The tolerance of  $\pm 0.1^\circ$  is derived from experimental ion implant profile data and ion implant modeling activity for implants into (100) silicon wafers. The ranges for which data was obtained are given in Table 2.

#### ~~6.2~~~~6.3~~ *Electrical Characteristics*

~~6.2.1~~~~6.3.1~~ The center-point room temperature resistivity of the wafers shall conform to the nominal and tolerance values specified on the purchase order.

~~6.2.2~~~~6.3.2~~ The wafers shall conform to any requirements specified on the purchase order for radial resistivity variation, resistivity striations and minority carrier lifetime.

#### ~~6.3~~~~6.4~~ *Chemical Characteristics*

~~6.3.1~~~~6.4.1~~ The wafers shall conform to any requirements specified on the purchase order for oxygen concentration, radial oxygen variation, carbon concentration, and, for heavily doped (low resistivity) *n*-type silicon wafers only, compensating boron concentration.

~~6.3.2~~~~6.4.2~~ If specified on the purchase order, the wafers shall conform to the hazardous substances regulatory requirements of a jurisdiction. At present, the only publicly available requirements are in EC Directive 2002/95/EC. For information, the maximum concentrations of Cr, Cd, Hg, and Pb in the bulk or on the surface of the wafer required by this directive are given in Appendix 2.

~~NOTE 1~~~~NOTE 2~~: As requirements from other jurisdictions become publicly available, they will be added to Appendix 2.

#### ~~6.4~~~~6.5~~ *Structural Characteristics*

~~6.4.1~~~~6.5.1~~ The wafers shall conform to any requirements specified on the purchase order for dislocation etch pit density, slip, lineage, twin, swirl, shallow pits, oxidation induced stacking faults (OSF), oxide precipitates, interstitial oxygen reduction upon appropriate heat treatment, bulk defects by X-ray topography, and bulk microdefects (BMD).

#### ~~6.5~~~~6.6~~ *Wafer Preparation Characteristics*

~~6.5.1~~~~6.6.1~~ *Wafer ID Marking* — Where wafer ID marking is specified on the purchase order, the kind of marking must be indicated.

~~6.5.1.1~~~~6.6.1.1~~ Where alphanumeric marking is specified for wafers of 200 mm diameter and smaller, the code character properties and code field location shall conform either to SEMI M13 or to SEMI M12, as specified on the purchase order.

~~6.5.1.2~~~~6.6.1.2~~ Where back-surface bar code marking is specified for wafers of 200 mm diameter and smaller, the code symbol and its location shall be agreed upon between supplier and customer.

~~6.5.1.3~~~~6.6.1.3~~ Where two-dimensional matrix code marking is specified for wafers of 200 mm diameter and smaller, the code symbol and its location shall be agreed upon between supplier and customer.

~~6.5.1.4~~~~6.6.1.4~~ *Marking of 300 mm Diameter Wafers* — All 300 mm diameter wafers (wafer categories ~~ies 1.15 and 1.15.1~~, see Table 8) shall be marked with a two-dimensional matrix code symbol with the shape, size, and content specified in SEMI T7 on the back surface outside the fixed quality area ~~as soon after slicing as practical in the manner specified in SEMI T7~~ in order to provide both identification of these wafers and traceability of each wafer back to the ingot from which it was cut. The back surface is identified as the wafer surface with the two-dimensional matrix code symbol.

~~6.6.1.4.1~~ The SEMI T7 matrix code symbol reference point shall be located on the radius  $5.0^\circ \pm 0.1^\circ$  counterclockwise from the orientation fiducial axis ( $\theta = 265.0^\circ \pm 0.1^\circ$  when viewed from the back surface) at a distance *r* of  $148.95 \pm 0.15$  mm from the wafer center (see Figure 3).

NOTE 3: The *r*, $\theta$  values quoted in this and subsequent paragraphs of this section are based on the wafer coordinate system defined in SEMI M20.

~~6.5.1.4.1~~~~6.6.1.4.2~~ Optionally, the user may specify an additional back-surface mark as also shown in Figure 3. This mark contains alphanumeric characters with:

- The same message characters as the SEMI T7 mark and appropriate checksum characters as defined by SEMI M12 and
- Character string as specified in SEMI M12.

~~NOTE 2:~~~~NOTE 4:~~ It is expected that this optional alphanumeric mark will not be used after users have developed successful experience with SEMI T7 mark usage.

~~6.5.1.4.2-6.6.1.4.3~~ For the optional alphanumeric mark, Single single density dot matrix, 5 dots horizontal and 9 dots vertical, shall be used.

~~6.5.1.4.3-6.6.1.4.4~~ Dot diameter shall be the same as that used for the two-dimensional matrix code symbol (see ¶ 6.5.1.4).

~~6.5.1.4.4-6.6.1.4.5~~ Character dimensions shall be as defined in Table 1 of SEMI M12 (nominal spacing = 1.42 mm, nominal width = 0.812 mm, nominal height = 1.624 mm).

~~6.5.1.4.5-6.6.1.4.6~~ Mark location (center of bottommost dot rows) relative to the reference point of the SEMI T7 mark shall be  $1.40 \pm 0.05$  mm toward the wafer center, as shown in Figure 3.

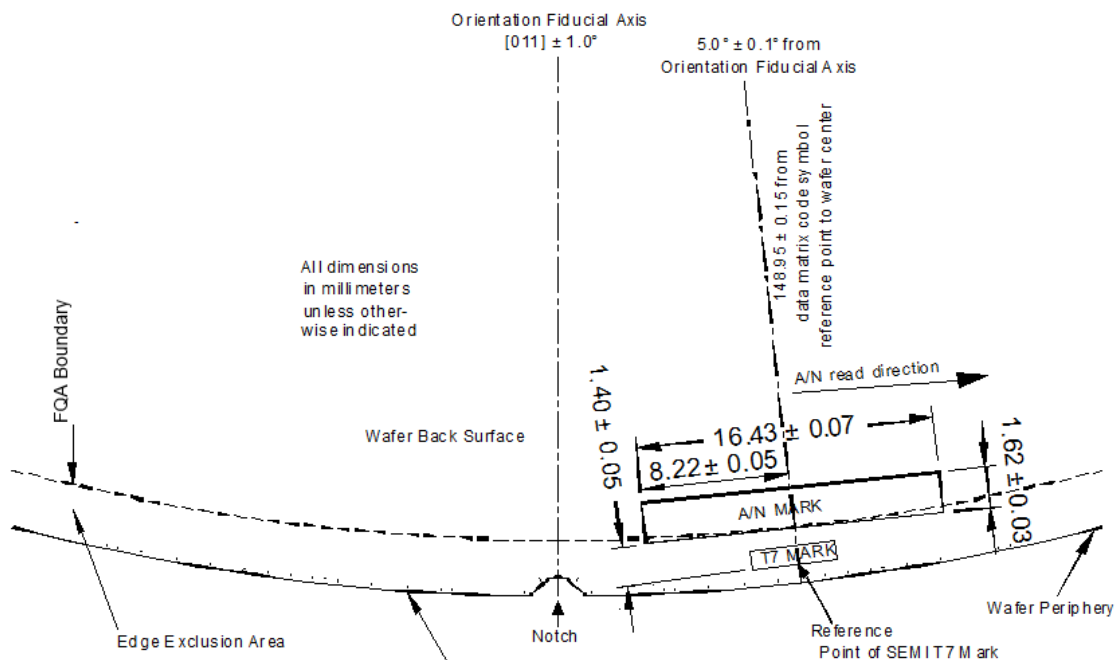
~~6.5.1.4.6-6.6.1.4.7~~ Mark field height, as defined by the distance between the centers of the topmost and bottommost dot rows of the A/N characters, shall be  $1.62 \pm 0.03$  mm (see Note 3).

~~6.5.1.4.7-6.6.1.4.8~~ Mark field length, as defined by the distance between the centers of the leftmost and rightmost dot columns of the A/N characters, shall be  $16.43 \pm 0.07$  mm.

~~6.5.1.4.8-6.6.1.4.9~~ The mark-field shall be centered on the radius that passes through the reference point of the SEMI T7 mark as shown in Figure 3.

~~6.5.1.4.9-6.6.1.4.10~~ Character baseline shall be toward wafer OD and parallel with the row of the SEMI T7 mark that contains that mark's reference point.

~~NOTE 3:~~~~NOTE 5:~~ The overall length tolerance of the A/N mark is more stringent than that in SEMI M12. Also the height tolerance of the overall mark imposes tighter skew and offset tolerances than are required in SEMI M12. This results from the availability of laser marking capabilities not available when SEMI M12 was developed. In addition, the tolerance on the field dimensions is not cumulative.

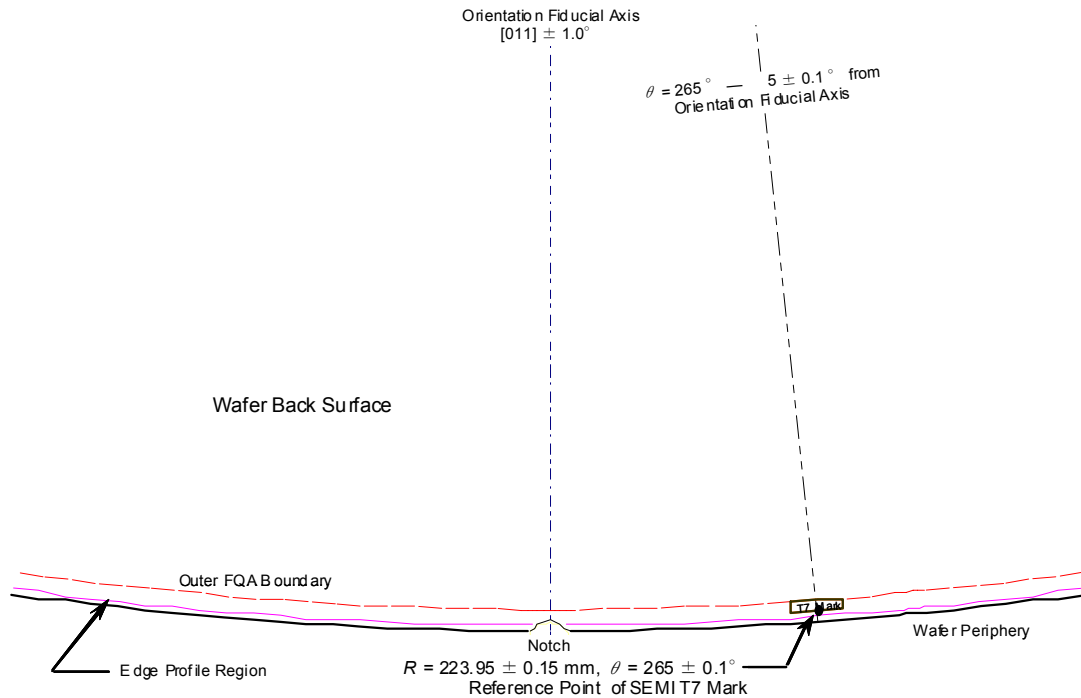


#1 A/N mark field dimensions are defined by the centers of the topmost and bottommost dot rows and the center of the leftmost and rightmost dot columns of the A/N characters. The field dimensions are more tightly controlled than those of a field constructed using SEMI M12. This results from the availability of laser marking capabilities not available when SEMI M12 was developed. In addition, the tolerance on the field dimensions is not cumulative.

**Figure 3**  
SEMI T7 Mark and Optional A/N Code Field Mark Locations on the Back Surface of Notched 300-300-mm Diameter Wafers (Categories 1.15 and 1.15.1) with EE = 2.0 mm

~~6.5.1.5~~ 6.6.1.5 *Marking of 450 mm Diameter Wafers* — All 450 mm diameter wafers (wafer categories 1.16.1, 1.16.2, and 1.16.3, see Table 9) shall be marked with a two-dimensional matrix code symbol with the shape, size, and content specified in SEMI T7 on the back surface ~~in the manner specified in SEMI T7 as soon after slicing as practical~~ in order to provide both identification of these wafers and traceability of each wafer back to the ingot from which it was cut. The matrix code symbol reference point is located on the radius  $5.0^\circ \pm 0.1^\circ$  counterclockwise from the orientation fiducial axis (when viewed from the back surface) at a distance of  $223.95 \pm 0.15$  mm from the wafer center (See Figure 4). The back surface is identified as the wafer surface with the two-dimensional matrix code symbol.

6.6.1.5.1 *The alphanumeric mark used for 300 mm diameter wafers is not permitted on standardized 450 mm wafers (categories 1.16.1, 1.16.2, and 1.16.3, see Table 9).*



**Figure 4**  
SEMI T7 Mark Location on the Back Surface of Notched 450-mm Diameter Wafers (Categories 1.16.1 and 1.16.2) with EE = 2.0 mm

~~6.5.2~~ 6.6.2 *Other* — The wafers shall conform to any requirements specified on the purchase order for any other wafer preparation characteristics including front surface thin films, denuded zone, extrinsic gettering, backseal, annealing, and edge and back surface conditions.

6.6.6.7 *Dimensional Characteristics*

~~6.6.1~~ Standard Wafer Categories — ~~The standardized dimensions, dimensional tolerances, and fiducial (flat or notch) characteristics for various standard wafer categories (see ¶ 2.2 for the wafer categories covered by this Standard) are summarized in Tables 3 through 9. These categories of wafers shall meet all requirements listed in the~~

~~appropriate table, unless an exception is negotiated between supplier and customer and is shown on the purchase order.~~

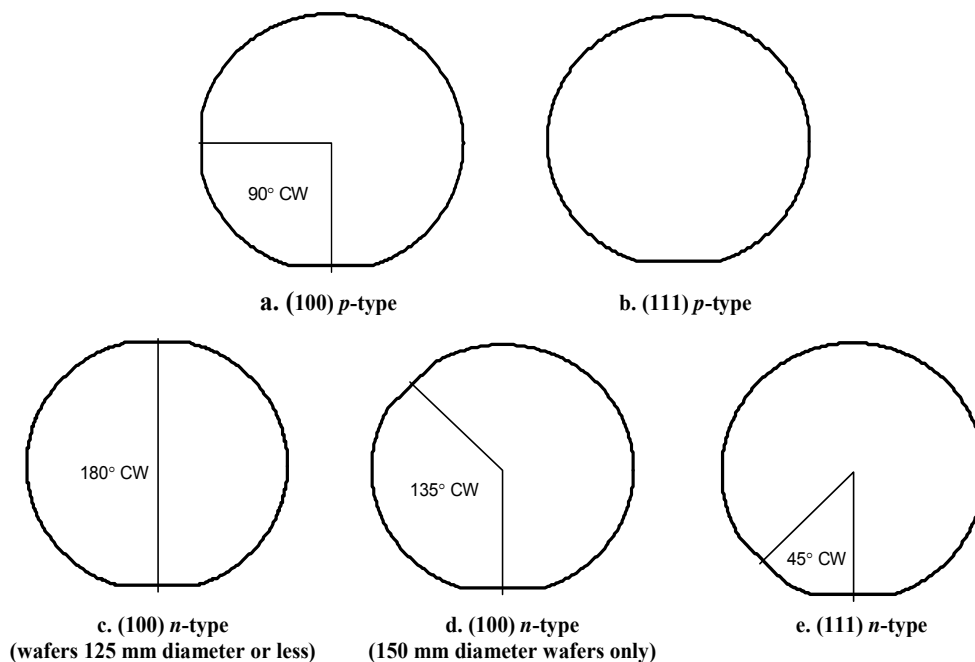
~~NOTE 4: Based upon our experience with 300 mm wafers, Table 9 for 450 mm wafers contains many more standardized parameters than the tables for 300 mm and smaller wafers.~~

~~NOTE 5: Wafers of the same nominal diameter may typically have different dimensional configurations in different regions of the world. Many of these configurations are represented in these tables. In selecting the appropriate standard polished wafer category, consideration should be given to compatibility with processes and equipment generally available in the region of use.~~

~~6.6.2-6.7.1 Dimensions and Fiducials~~ — The wafers shall conform to the dimensions, dimensional tolerances, and fiducial (flat, notch, or orientation fiducial marks) characteristics and locations as specified in the purchase order.

~~6.6.2.1-6.7.1.1~~ Where secondary flats are specified, they shall be located as shown in Figure 4~~5~~.

~~6.6.2.2-6.7.1.2~~ Where notches are specified, they shall conform to the dimensions in Figure 5~~6~~.



#1 Secondary flat location for 150 mm diameter (100) *n*-type wafers changed from configuration c to configuration d effective January 1, 1990.

#2 The angular position of the center point of the secondary flat is given in Tables 3 through 5 both in terms of the clockwise angle from the primary flat, located at the bottom of each figure, to the secondary flat and the angle,  $\theta$ , from the positive *x*-axis of the wafer coordinate system as defined by SEMI M20 (at 3 o'clock) to the secondary flat.

#3 In each case in this figure, the wafer is being viewed from the front surface.

~~Figure 4~~**Figure 5**  
**Secondary Flat Locations**

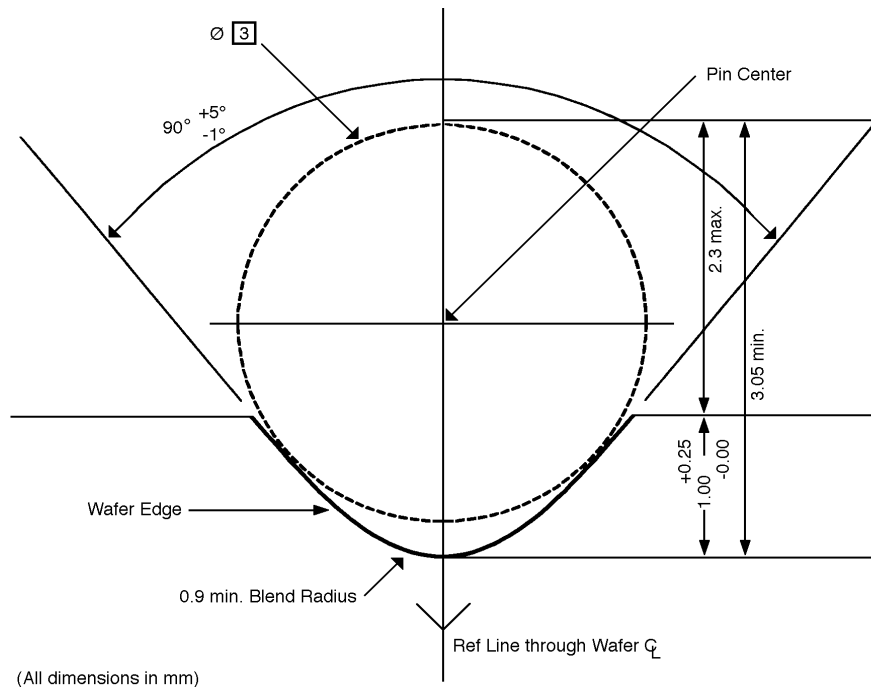
~~6.6.2.3-6.7.1.3~~ Where no notches or flats are specified, as in Category 1.16.3 wafers, there are three orientation fiducial marks in the angular locations specified in Figure 6~~7~~. The reference point of the primary orientation fiducial mark is aligned with the orientation fiducial axis ( $[011] \pm 1.0^\circ$ ) at a distance  $r = 224.1 \pm 0.1$  mm from the wafer center. The reference point of the secondary orientation fiducial mark is located on the radius  $120.0^\circ \pm 0.1^\circ$  clockwise from the orientation fiducial axis (when viewed from the back surface) at a distance  $r = 224.1 \pm 0.1$  mm from the wafer center. The reference point of the tertiary orientation fiducial mark is located on the radius  $119.0^\circ \pm 0.1^\circ$  counterclockwise from the orientation fiducial axis (when viewed from the back surface) at a distance  $r = 224.1$

$\pm 0.1$  mm from the wafer center. This figure also shows the location of the SEMI T7 identification mark on notchless wafers.

~~6.6.2.3.1~~ ~~6.7.1.3.1~~ In addition, the nominal edge exclusion for such notchless wafers shall be 1.5 mm as is shown in Figures ~~6~~ ~~7~~ through ~~9~~ ~~10~~. It should be noted that these figures are not drawn to scale and should be used for reference only.

NOTE 6: Although a 2 mm edge exclusion was indicated in the February 2015 edition of this Specification, there was no general usage of notchless wafers in the industry at that time; therefore there should be no confusion of the fact that this and subsequent editions of the Specification specify a 1.5-mm nominal edge exclusion. A 2-mm nominal edge exclusion should not be used with notchless wafers.

~~NOTE 7: The  $r, \theta$  values quoted in this and subsequent paragraphs of this section are based on the wafer coordinate system defined in SEMI M20.~~



#1 The pin shown in the outline on this figure is used to align the notched wafer in a fixture during use. The pin is also used to reference the notched wafer during testing for notch dimensions and dimensional tolerances. The notch dimensions shown in the figure assume a 3 mm diameter for this alignment pin.

~~Figure 5~~ ~~Figure 6~~  
Notch Dimensions

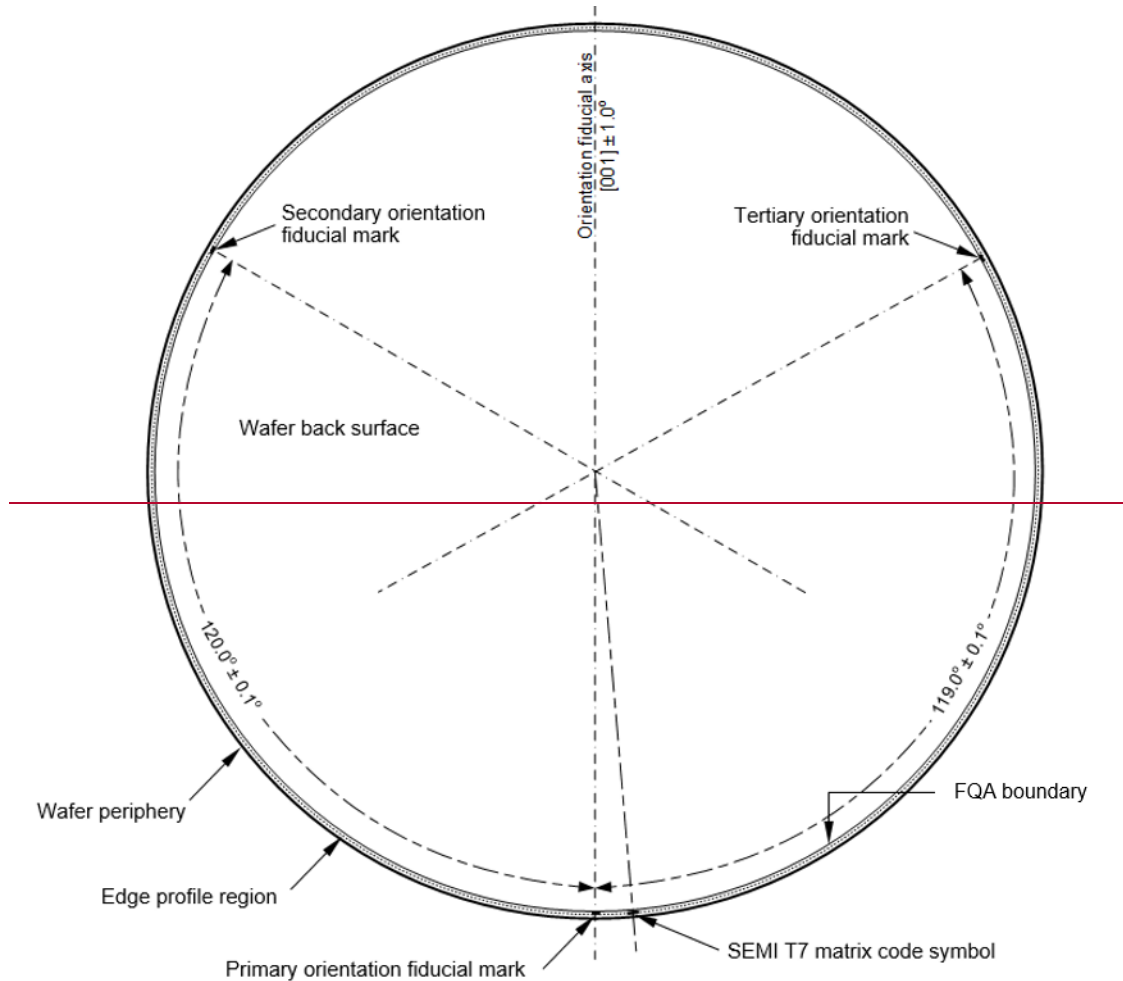
~~6.6.2.4~~ ~~6.7.1.4~~ Each orientation fiducial mark matrix is a rectangular box four rows by twelve or thirteen columns of 0.2 mm by 0.2 mm square cells, some with a centered laser dot and others empty resulting in different patterns for the three fiducial marks. Each dot is  $0.1 \pm 0.01$  mm in diameter. The fields of the primary and secondary orientation marks with 13 cells per row are 2.6 mm wide by 0.8 mm high, while the field of the tertiary orientation mark with 12 cells per row is 2.4 mm wide by 0.8 mm high.

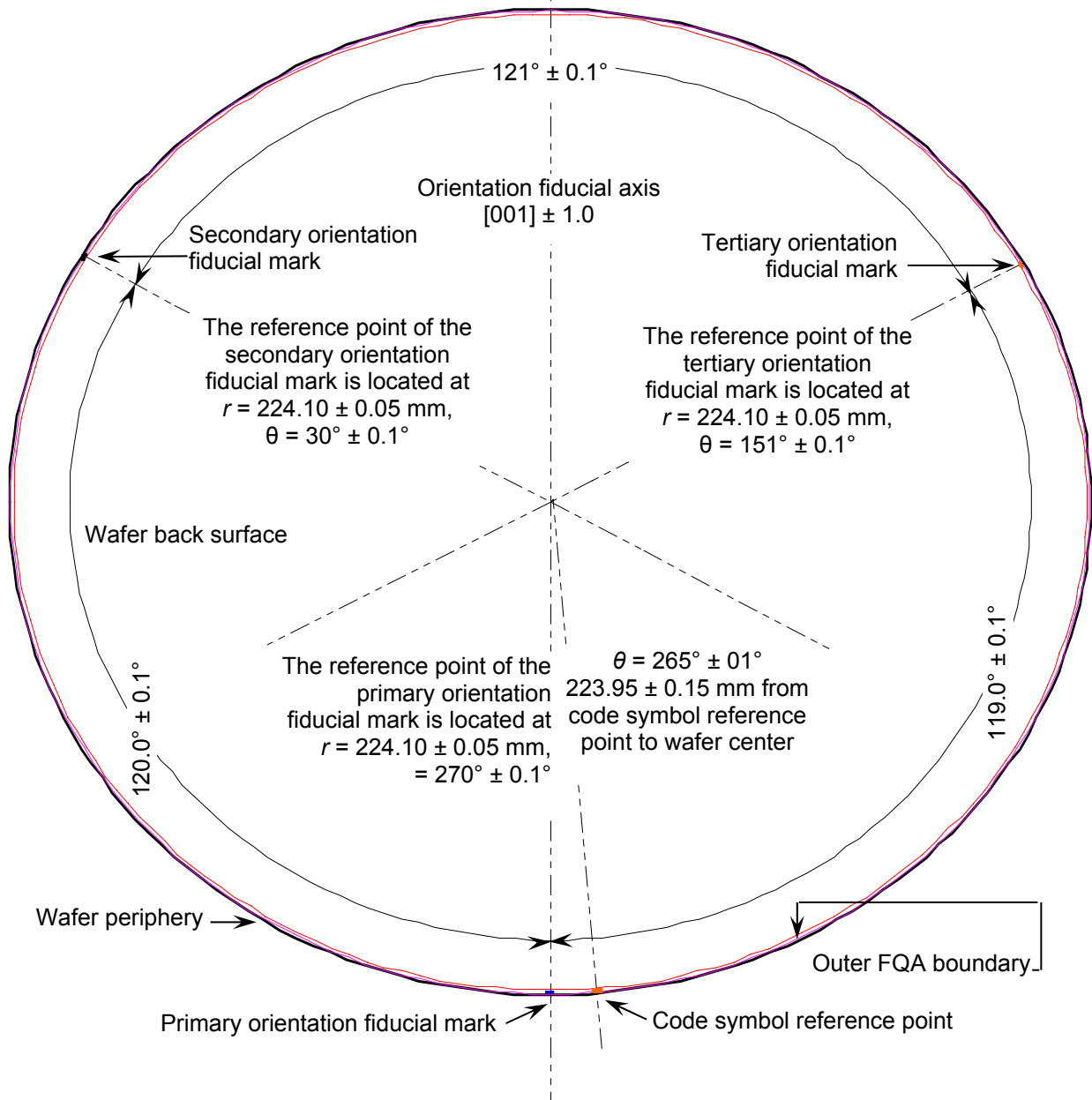
~~6.6.2.4.1~~ ~~6.7.1.4.1~~ The primary orientation fiducial mark is shown in Figure ~~7~~ ~~8~~ together with the detailed location of the of the SEMI T7 identification mark. The reference point of the primary orientation fiducial mark is the center of the outermost dot located on the orientation fiducial axis. This is equivalent to  $\theta = 270^\circ$  in the back surface wafer coordinate system of SEMI M20.

~~6.6.2.4.2~~ ~~6.7.1.4.2~~ The secondary orientation fiducial mark is shown in Figure ~~8~~ ~~9~~. The reference point of the secondary orientation fiducial mark is the outermost dot located  $120.0^\circ \pm 0.1^\circ$  clockwise from the orientation

fiducial axis when viewed from the back surface. This is equivalent to  $\theta = 30^\circ$  in the back surface wafer coordinate system of SEMI M20.

~~6.6.2.4.3~~ ~~6.7.1.4.3~~ The tertiary orientation fiducial mark is shown in Figure 9.10. The reference point of the tertiary orientation fiducial mark is the center of the outermost dot located  $119.0^\circ \pm 0.1^\circ$  counterclockwise from the orientation fiducial axis when viewed from the back surface. This is equivalent to  $\theta = 151^\circ$  in the back surface wafer coordinate system of SEMI M20.

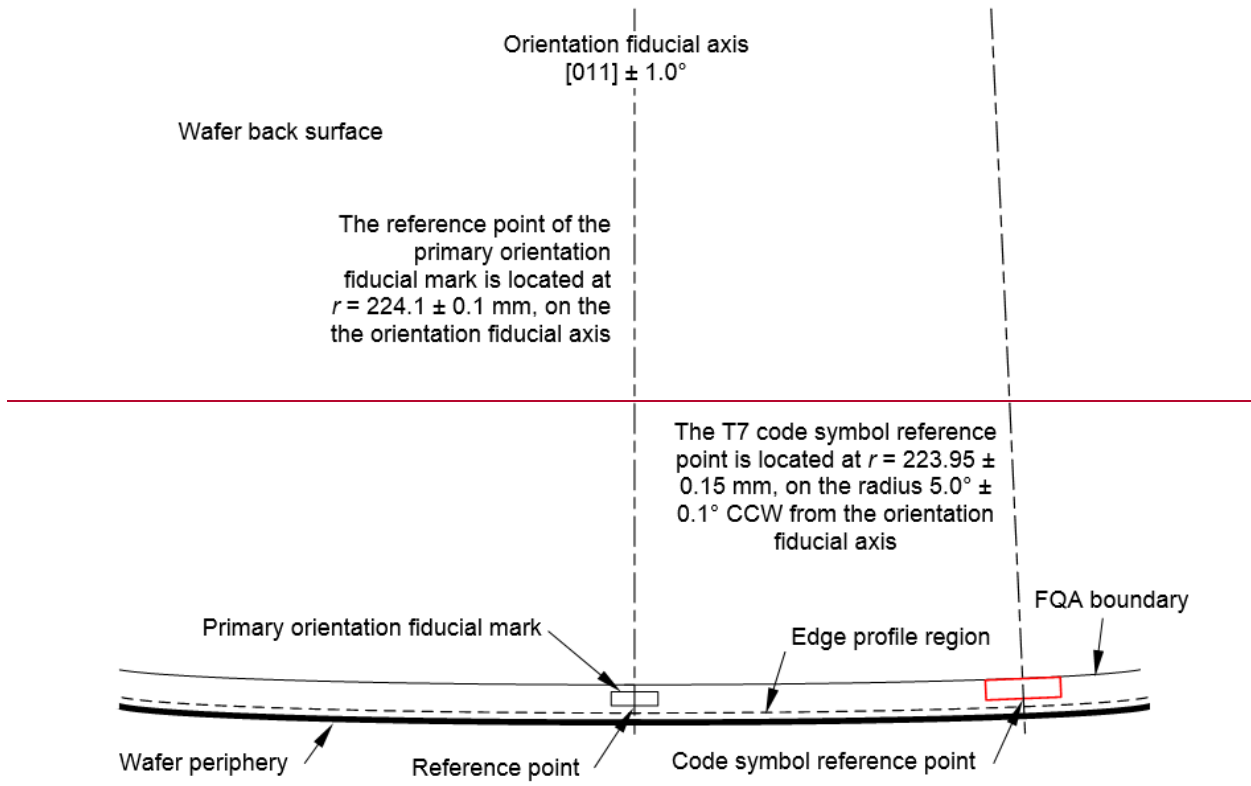




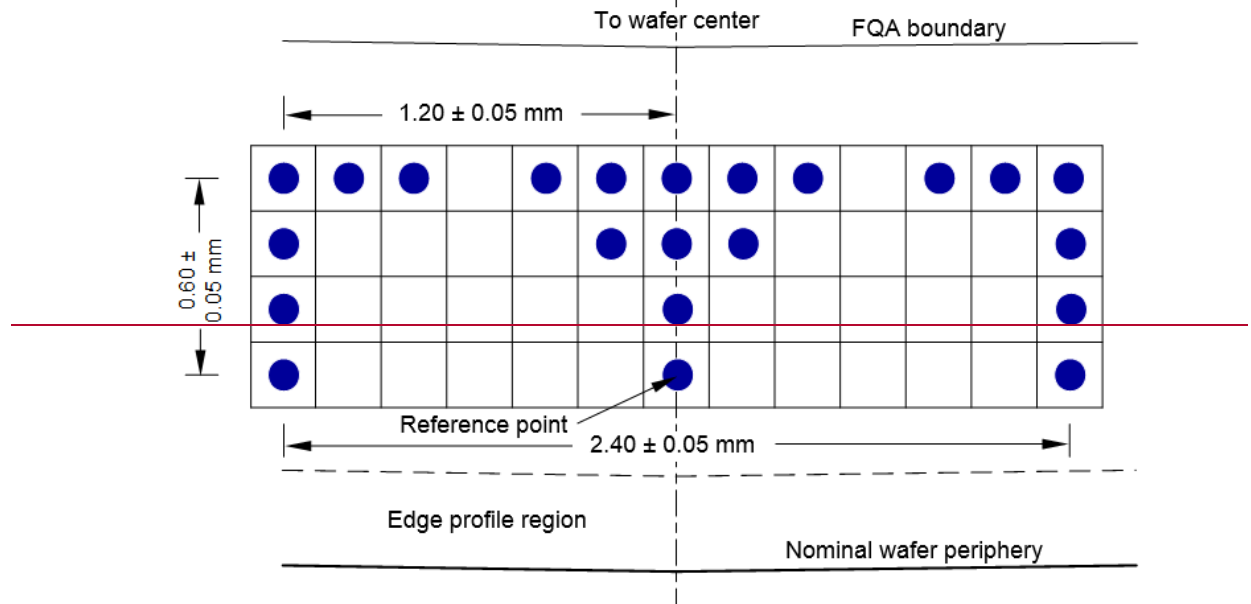
- #1 Viewed from the back surface the reference point of the primary orientation fiducial mark is located on the orientation fiducial axis ( $\theta = 270^\circ$ ) at  $r = 224.1 \pm 0.1$  mm.
- #2 The reference point of the secondary orientation fiducial mark is located on the radius  $120.0^\circ \pm 0.1^\circ$  clockwise from the orientation fiducial axis (when viewed from the back surface,  $\theta = 30^\circ$ ) at  $r = 224.1 \pm 0.1$  mm.
- #3 The reference point of the tertiary orientation fiducial mark is located on the radius  $119.0^\circ \pm 0.1^\circ$  counterclockwise from the orientation fiducial axis (when viewed from the back surface,  $\theta = 151^\circ$ ) at  $r = 224.1 \pm 0.1$  mm.
- #4 The reference point of the SEMI T7 matrix code symbol is located on the radius  $5.0^\circ \pm 0.1^\circ$  counterclockwise from the orientation fiducial axis (when viewed from the back surface,  $\theta = 265^\circ$ ) at  $r = 223.95 \pm 0.15$  mm.

**Figure 6** ~~Figure 7~~

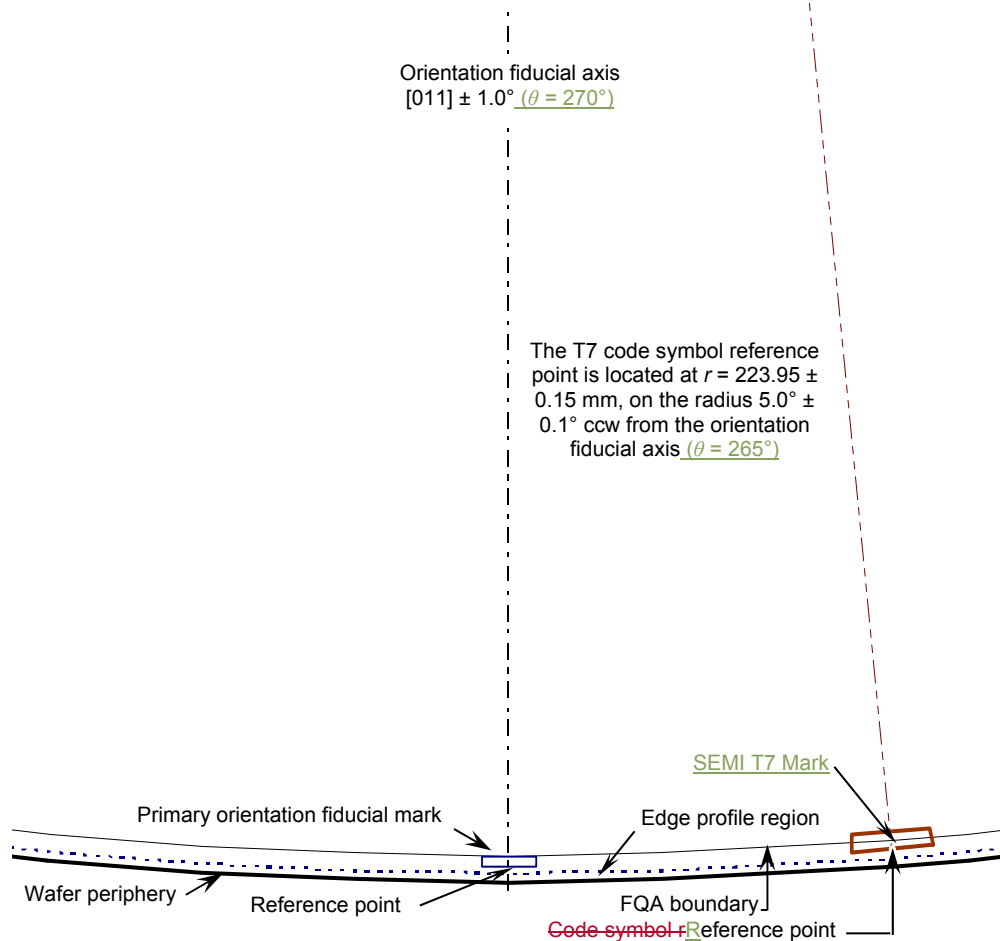
**Locations of the Three Orientation Fiducial Marks and the Two Dimensional Matrix Code Symbol of SEMI T7 in Category 1.16.3 450 mm Diameter Wafers**



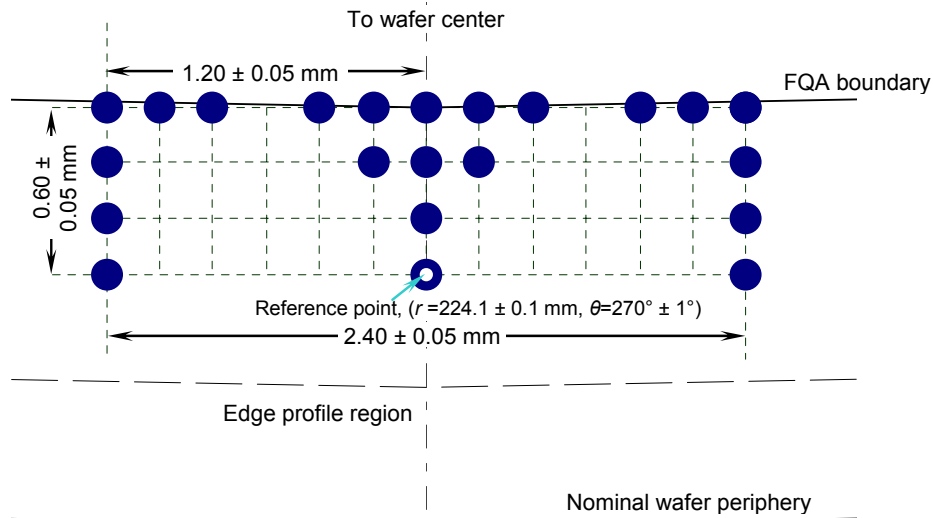
**a. Locations of Primary Orientation Fiducial Mark and SEMI T7 Identification Mark on 450 mm Wafers**



**b. Primary Orientation Fiducial Mark Dimensions**

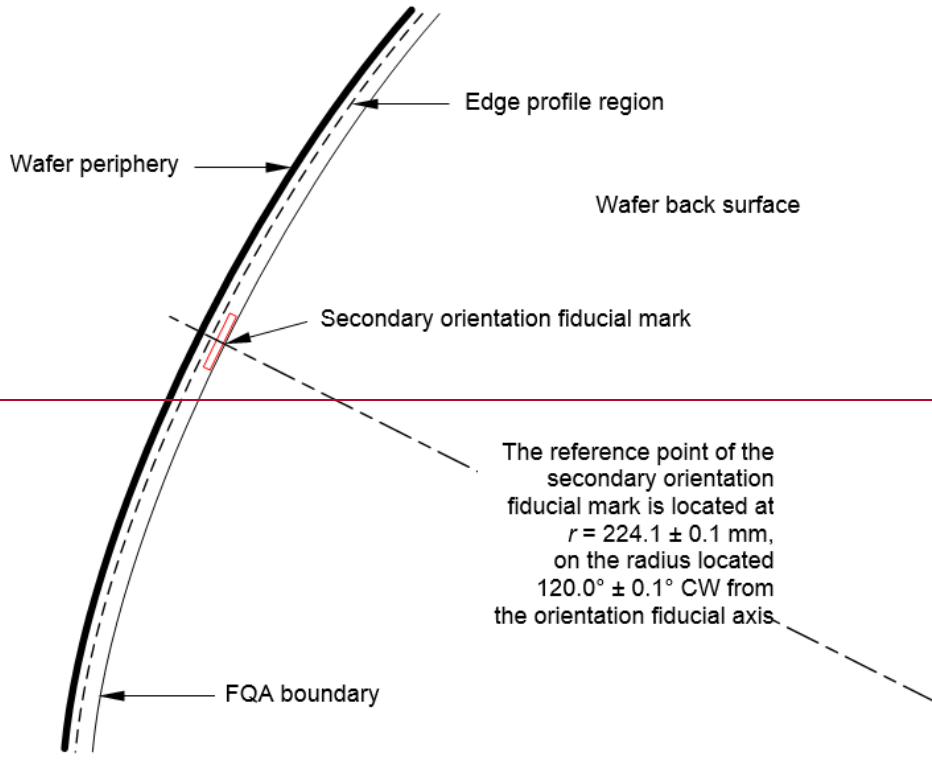


**a. Locations of Primary Orientation Fiducial Mark and SEMI T7 Identification Mark on 450 mm Notchless Wafers**

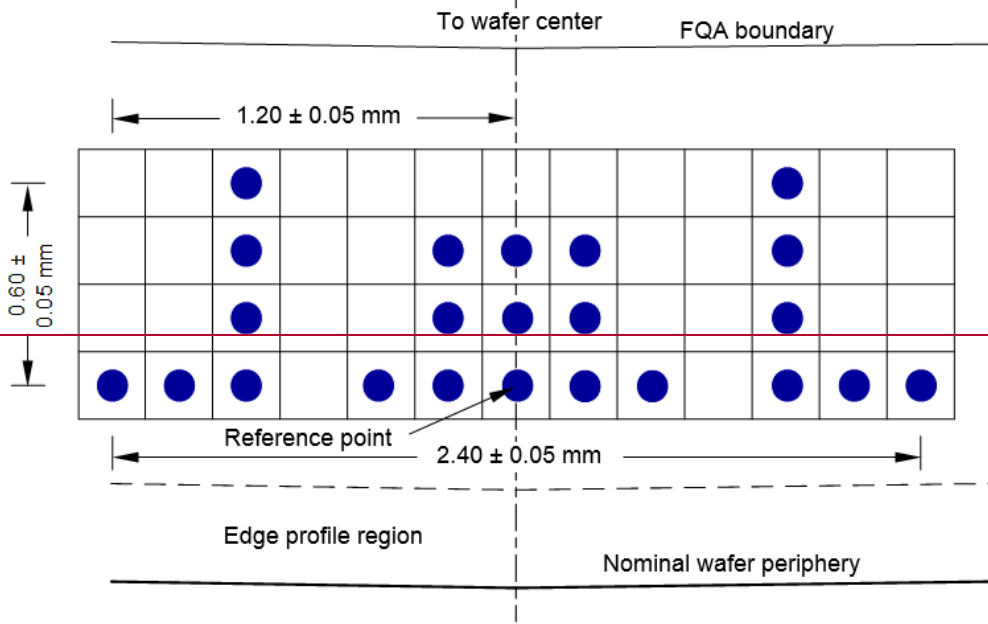


**b. Primary Orientation Fiducial Mark Dimensions**

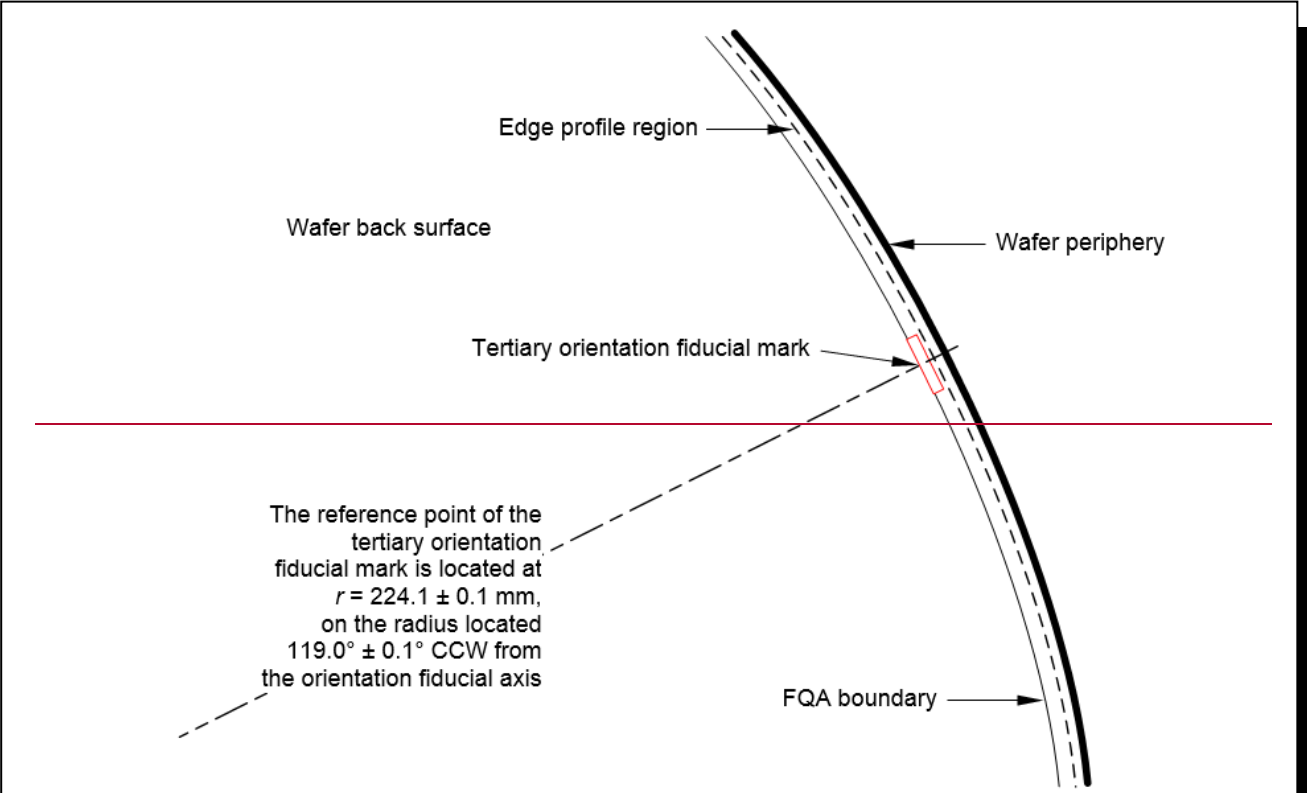
**Figure 7 Figure 8  
 Primary Orientation Fiducial Mark Viewed from the Back Surface**



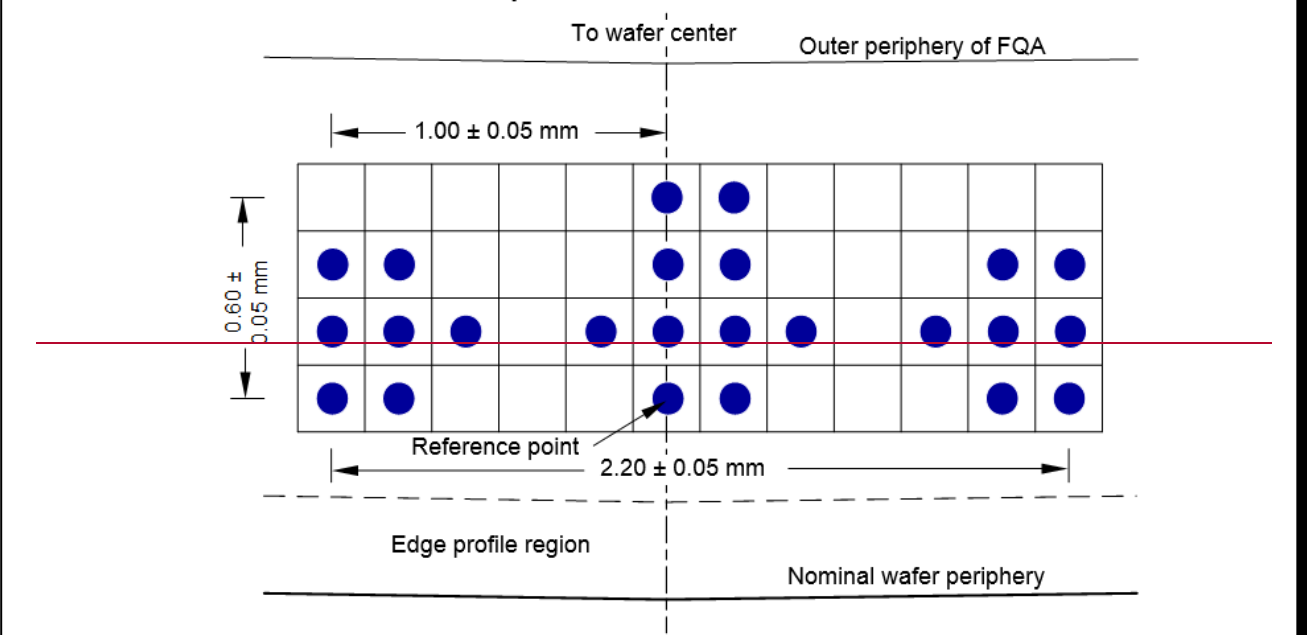
**a. Locations of Secondary Orientation Fiducial Mark on 450 mm Wafers**



**b. Secondary Orientation Fiducial Mark Dimensions**

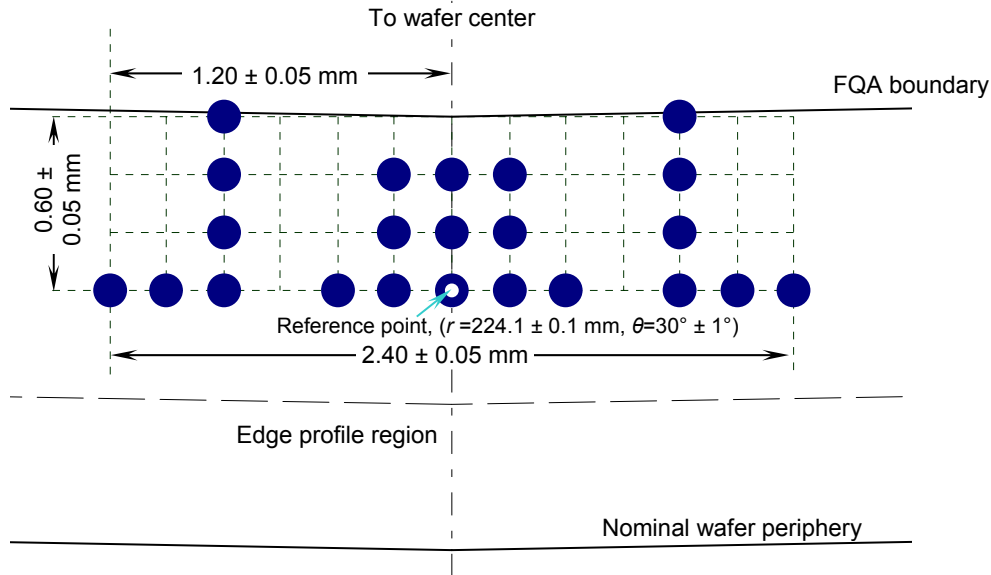


**a. Locations of Tertiary Orientation Fiducial Mark on 450 mm Wafers**

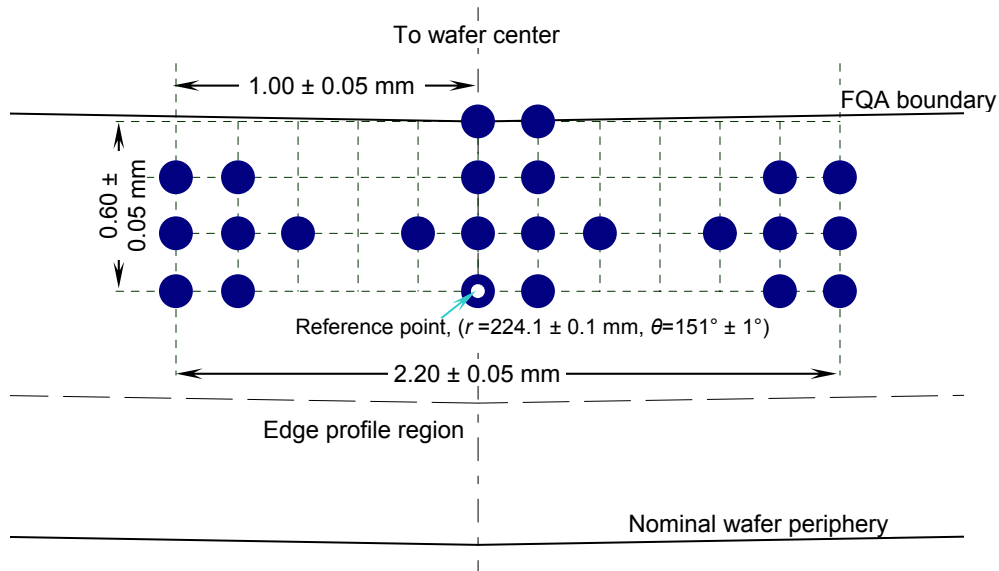


**b. Tertiary Orientation Fiducial Mark Dimensions**

This is a Draft Document of the SEMI International Standards program. No material on this page is to be construed as an official or adopted Standard or Safety Guideline. Permission is granted to reproduce and/or distribute this document, in whole or in part, only within the scope of SEMI International Standards committee (document development) activity. All other reproduction and/or distribution without the prior written consent of SEMI is prohibited.



**Figure 8** **Figure 9**  
 Secondary Orientation Fiducial Mark Dimensions Viewed from the Back Surface



**Figure 9** **Figure 10**  
 Tertiary Orientation Fiducial Mark Dimensions Viewed from the Back Surface

**Table 3 Dimensional Characteristics of 2 inch and 3 inch Polished Single Crystal Silicon Wafers<sup>#1</sup>**

Property		2 inch Wafers U.S. Customary <sup>#2</sup> Metric (SI) <sup>#2</sup>		3 inch Wafers U.S. Customary <sup>#2</sup> Metric (SI) <sup>#2</sup>	
Previous SEMI Reference:		SEMI M1.1		SEMI M1.2	
Wafer Category:		1.1		1.2	
2-6.1	Diameter	2.000 ± 0.015 in.	50.80 ± 0.38 mm	3.000 ± 0.025 in.	76.20 ± 0.63 mm
2-6.2	Primary Flat Length	0.625 ± 0.065 in.	15.88 ± 1.65 mm	0.875 ± 0.125 in.	22.22 ± 3.17 mm
2-6.3	Primary Flat Orientation <sup>#3</sup>	{110} ± 1°			
2-6.4	Secondary Flat Length	0.315 ± 0.065 in.	8.00 ± 1.65 mm	0.440 ± 0.060 in.	11.18 ± 1.52 mm
2-6.5	Secondary Flat Location (see Figure 4) {111} p-type {100} p-type {111} n-type {100} n-type	No secondary flat 90° ± 5° clockwise from primary flat ( $\theta = 180^\circ \pm 5^\circ$ ) 45° ± 5° clockwise from primary flat ( $\theta = 225^\circ \pm 5^\circ$ ) 180° ± 5° from primary flat ( $\theta = 90^\circ \pm 5^\circ$ )			
2-6.6	Edge Profile Coordinate, $C_y$ (T/3 Template, see Table 10)	0.0037 in.	93 μm	0.0050 in.	127 μm
2-6.7	Thickness, Center Point	0.0110 ± 0.0010 in.	279 ± 25 μm	0.0150 ± 0.0010 in.	381 ± 25 μm
2-6.8	Total Thickness Variation, Max.	0.0005 in.	12 μm	0.0010 in.	25 μm
2-6.9	Bow, Max.	0.0015 in.	38 μm	0.0016 in.	40 μm
2-6.10	Warp, Max.	not specified		0.0016 in.	40 μm

<sup>#1</sup> Note that these specifications were originated in the United States. Care should be taken in applying this configuration to specific applications (see [Note 5 following ¶ 6.6.1.4](#)).

<sup>#2</sup> For referee purposes, U.S. Customary units apply. To ensure that product shipped is within specification, any conversion to metric (SI) equivalents should be done following the maximum-minimum convention in which the minimum values are rounded-up and the maximum values are rounded-down to ensure that the equivalent range is always inside the referee range. If the metric (SI) equivalents are used for incoming inspection, minimum values should be rounded-down and maximum values rounded-up to avoid rejection of material that is within the specification when measured by the referee system of units. **CAUTION:** The significance of the rightmost digit may vary, depending on the quantity being measured and the precision of the test procedure. Refer to the relevant test method for precision data which can be used to construct appropriate guard bands.

<sup>#3</sup> For (111) wafers, the (1 $\bar{1}$ 0), (0 $\bar{1}$ 1), and ( $\bar{1}$ 01) planes are the equivalent, allowable (110) planes. For (100) wafers, the allowable equivalent (110) planes are (0 $\bar{1}$ 1), (011) (0 $\bar{1}$ 1), and (0 $\bar{1}$ 1).

**Table 4 Dimensional Characteristics of 100 mm and 125 mm Polished Single Crystal Silicon Wafers with Secondary Flat<sup>#1</sup>**

Property		100 mm Wafers ( $t=525 \mu\text{m}$ ) <sup>#2</sup>	100 mm Wafers ( $t=625 \mu\text{m}$ ) <sup>#2</sup>	125 mm Wafers <sup>#2</sup>	
Previous SEMI Reference:		SEMI M1.5	SEMI M1.6	SEMI M1.7	
Wafer Category:		1.5	1.6	1.7	
2-6.1	Diameter	100.00 ± 0.50 mm		125.00 ± 0.50 mm	
2-6.2	Primary Flat Length	32.5 ± 2.5 mm		42.5 ± 2.5 mm	
2-6.3	Primary Flat Orientation <sup>#3</sup>	{110} ± 1°			
2-6.4	Secondary Flat Length	18.0 ± 2.0 mm		27.5 ± 2.5 mm	
2-6.5	Secondary Flat Location (see Figure 4) {111} p-type {100} p-type {111} n-type {100} n-type	No secondary flat 90° ± 5° clockwise from primary flat ( $\theta = 180^\circ \pm 5^\circ$ ) 45° ± 5° clockwise from primary flat ( $\theta = 225^\circ \pm 5^\circ$ ) 180° ± 5° from primary flat ( $\theta = 90^\circ \pm 5^\circ$ )			

Property		100 mm Wafers ( $t=525 \mu\text{m}$ ) <sup>#2</sup>	100 mm Wafers ( $t=625 \mu\text{m}$ ) <sup>#2</sup>	125 mm Wafers <sup>#2</sup>
Previous SEMI Reference:		SEMI M1.5	SEMI M1.6	SEMI M1.7
Wafer Category:		1.5	1.6	1.7
2-6.6	Edge Profile Coordinate, $C_y$ (T/3 Template, see Table 10)	175 $\mu\text{m}$	208 $\mu\text{m}$	208 $\mu\text{m}$
2-6.7	Thickness, Center Point	525 $\pm$ 20 $\mu\text{m}$	625 $\pm$ 20 $\mu\text{m}$	625 $\pm$ 20 $\mu\text{m}$
2-6.8	Total Thickness Variation, Max.	10 $\mu\text{m}$		
2-6.9	Bow, Max.	40 $\mu\text{m}$		
2-6.10	Warp, Max.	40 $\mu\text{m}$		

#1 Note that these specifications were originated in the United States. Care should be taken in applying this configuration to specific applications (see [Note 5 following ¶ 6.6.1.4](#)).

#2 For referee purposes, metric (SI) units apply. To ensure that product shipped is within specification, any conversion to U.S. Customary equivalents should be done following the maximum-minimum convention in which the minimum values are rounded-up and the maximum values are rounded-down to ensure that the equivalent range is always inside the referee range. If U.S. Customary equivalents are used for incoming inspection, minimum values should be rounded-down and maximum values rounded-up to avoid rejection of material that is within the specification when measured by the referee system of units. **CAUTION:** The significance of the rightmost digit may vary, depending on the quantity being measured and the precision of the test procedure. Refer to the relevant test method for precision data which can be used to construct appropriate guard bands.

#3 For (111) wafers, the (1 $\bar{1}$ 0), (0 $\bar{1}$ 1), and ( $\bar{1}$ 01) planes are the equivalent, allowable (110) planes. For (100) wafers, the allowable equivalent (110) planes are (0 $\bar{1}$ 1), (011) (0 $\bar{1}$ 1), and (0 $\bar{1}$ 1).

**Table 5 Dimensional Characteristics of 150 mm Polished Single Crystal Silicon Wafers with Secondary Flat<sup>#1</sup>**

Property		150 mm Wafers <sup>#2</sup>	
Previous SEMI Reference:		SEMI M1.8	
Wafer Category:		1.8.1	1.8.2
2-6.1	Diameter	150.00 $\pm$ 0.20 mm	
2-6.2	Primary Flat Length	57.5 $\pm$ 2.5 mm	
2-6.3	Primary Flat Orientation <sup>#3</sup>	{110} $\pm$ 1°	
2-6.4	Secondary Flat Length	37.5 $\pm$ 2.5 mm	
2-6.5	Secondary Flat Location (see Figure 4) {111} p-type {100} p-type {111} n-type {100} n-type	No secondary flat 90° $\pm$ 5° clockwise from primary flat ( $\theta = 180^\circ \pm 5^\circ$ ) 45° $\pm$ 5° clockwise from primary flat ( $\theta = 225^\circ \pm 5^\circ$ ) 135° $\pm$ 5° clockwise from primary flat ( $\theta = 135^\circ \pm 5^\circ$ )	
2-6.6	Edge Profile Coordinate, $C_y$	T/3 Template (see Table 10) 225 $\mu\text{m}$	T/4 Template (see Table 10) 169 $\mu\text{m}$
2-6.7	Thickness, Center Point	675 $\pm$ 20 $\mu\text{m}$	
2-6.8	Total Thickness Variation, Max.	10 $\mu\text{m}$	
2-6.9	Bow, Max.	60 $\mu\text{m}$	
2-6.10	Warp, Max.	60 $\mu\text{m}$	
2-5.7	Edge Surface Condition	Supplier-customer agreement <sup>#4</sup>	

#1 Note that these specifications were originated in the United States. Care should be taken in applying this configuration to specific applications (see [Note 5 following ¶ 6.6.1.4](#)).

#2 For referee purposes, metric (SI) units apply. To ensure that product shipped is within specification, any conversion to U.S. Customary equivalents should be done following the maximum-minimum convention in which the minimum values are rounded-up and the maximum values are rounded-down to ensure that the equivalent range is always inside the referee range. If U.S. Customary equivalents are used for incoming inspection, minimum values should be rounded-down and maximum values rounded-up to avoid rejection of material that is within the specification when measured by the referee system of units. **CAUTION:** The significance of the rightmost digit may vary, depending on the

quantity being measured and the precision of the test procedure. Refer to the relevant test method for precision data which can be used to construct appropriate guard bands.

#3 For (111) wafers, the  $(\bar{1}\bar{1}0)$ ,  $(0\bar{1}\bar{1})$ , and  $(\bar{1}0\bar{1})$  planes are the equivalent, allowable (110) planes. For (100) wafers, the allowable equivalent (110) planes are  $(0\bar{1}\bar{1})$ ,  $(01\bar{1})$ ,  $(\bar{0}\bar{1}1)$ , and  $(0\bar{1}\bar{1})$ .

#4 If specified as polished, this term is meant to imply a surface condition and not a particular processing technique. If desired, a quantitative measure of surface finish may optionally be indicated by specifying the rms microroughness over a specified spatial frequency (or wavelength) range. Because a standardized test method has not yet been developed for this metric, both values and test procedures, including sampling plan and detrending procedures, shall be agreed upon between supplier and customer.

**Table 6 Dimensional Characteristics of 100 mm and 125 mm Polished Single Crystal Silicon Wafers Without Secondary Flat<sup>#1</sup>**

Property		100 mm Wafers Without Secondary Flat ( $t=525 \mu\text{m}$ ) <sup>#2</sup>	125 mm Wafers Without Secondary Flat ( $t=625 \mu\text{m}$ ) <sup>#2</sup>
Previous SEMI Reference:		SEMI M1.11	SEMI M1.12
Wafer Category:		1.11	1.12
2-6.1	Diameter	100.00 ± 0.20 mm.	125.00 ± 0.20 mm
2-6.2	Primary Flat Length	32.5 ± 2.5 mm	42.5 ± 2.5 mm
2-6.3	Primary Flat Orientation <sup>#3</sup>	{110} ± 1°	
2-6.5	Secondary Flat Location	No secondary flat	
2-6.6	Edge Profile Coordinate, $C_y$ (T/3 Template, see Table 10)	175 μm	208 μm
2-6.7	Thickness, Center Point	525 ± 15 μm	625 ± 15 μm
2-6.8	Total Thickness Variation, Max.	10 μm	
2-6.9	Bow, Max.	40 μm	
2-6.10	Warp, Max.	40 μm	

#1 Note that these specifications were originated in Japan. They are equivalent to the specifications for wafers of the same nominal diameter in JEITA EM-3602. Care should be taken in applying this configuration to specific applications (see [Note 5 following ¶ 6.6.1.4](#)).

#2 For referee purposes, metric (SI) units apply. To ensure that product shipped is within specification, any conversion to U.S. Customary equivalents should be done following the maximum-minimum convention in which the minimum values are rounded-up and the maximum values are rounded-down to ensure that the equivalent range is always inside the referee range. If U.S. Customary equivalents are used for incoming inspection, minimum values should be rounded-down and maximum values rounded-up to avoid rejection of material that is within the specification when measured by the referee system of units. **CAUTION:** The significance of the rightmost digit may vary, depending on the quantity being measured and the precision of the test procedure. Refer to the relevant test method for precision data which can be used to construct appropriate guard bands.

#3 For (111) wafers, the  $(\bar{1}\bar{1}0)$ ,  $(0\bar{1}\bar{1})$ , and  $(\bar{1}0\bar{1})$  planes are the equivalent, allowable (110) planes. For (100) wafers, the allowable equivalent (110) planes are  $(0\bar{1}\bar{1})$ ,  $(01\bar{1})$ ,  $(\bar{0}\bar{1}1)$ , and  $(0\bar{1}\bar{1})$ .

**Table 7 Dimensional Characteristics of 150 mm and 200 mm Polished Single Crystal Silicon Wafers Without Secondary Flat<sup>#1</sup>**

Property		150 mm Wafers Without Secondary Flat ( $t=625 \mu\text{m}$ ) <sup>#2</sup>		200 mm Wafers Flatted, Without Secondary Flat <sup>#2</sup>	
Previous SEMI Reference:		SEMI M1.13		SEMI M1.10	
Wafer Category:		1.13.1	1.13.2	1.10.1	1.10.2
2-6.1	Diameter	150.00 ± 0.20 mm		200.00 ± 0.20 mm	
2-6.2	Primary Flat Length	47.5 ± 2.5 mm		Not applicable	
	Flat Diameter	Not applicable		195.50 ± 0.20 mm	
2-6.3	Primary Flat Orientation <sup>#3</sup>	{110} ± 1°			
2-6.5	Secondary Flat Location	No secondary flat			

Property		150 mm Wafers Without Secondary Flat ( $t=625 \mu\text{m}$ ) <sup>#2</sup>		200 mm Wafers Flatted, Without Secondary Flat <sup>#2</sup>	
Previous SEMI Reference:		SEMI M1.13		SEMI M1.10	
Wafer Category:		1.13.1	1.13.2	1.10.1	1.10.2
2-6.6	Edge Profile Coordinate, $C_y$ (see Table 10)	T/3 Template 208 $\mu\text{m}$	T/4 Template 156 $\mu\text{m}$	T/3 Template 242 $\mu\text{m}$	T/4 Template 181 $\mu\text{m}$
2-6.7	Thickness, Center Point	625 $\pm$ 15 $\mu\text{m}$		725 $\pm$ 20 $\mu\text{m}$	
2-6.8	Total Thickness Variation, Max.	10 $\mu\text{m}$			
2-6.9	Bow, Max.	60 $\mu\text{m}$		65 $\mu\text{m}$	
2-6.10	Warp, Max.	60 $\mu\text{m}$		75 $\mu\text{m}$	
2-5.7	Edge Surface Condition	Not specified		Supplier-customer agreement <sup>#4</sup>	

#1 Note that these specifications were originated in Japan. They are equivalent to the specifications for wafers of the same nominal diameter in JEITA EM-3602. Care should be taken in applying this configuration to specific applications (see [Note 5 following ¶ 6.6.1.4](#)).

#2 For referee purposes, metric (SI) units apply. To ensure that product shipped is within specification, any conversion to U.S. Customary equivalents should be done following the maximum-minimum convention in which the minimum values are rounded-up and the maximum values are rounded-down to ensure that the equivalent range is always inside the referee range. If U.S. Customary equivalents are used for incoming inspection, minimum values should be rounded-down and maximum values rounded-up to avoid rejection of material that is within the specification when measured by the referee system of units. **CAUTION:** The significance of the rightmost digit may vary, depending on the quantity being measured and the precision of the test procedure. Refer to the relevant test method for precision data which can be used to construct appropriate guard bands.

#3 For (111) wafers, the  $(\bar{1}\bar{1}0)$ ,  $(0\bar{1}\bar{1})$ , and  $(\bar{1}0\bar{1})$  planes are the equivalent, allowable (110) planes. For (100) wafers, the allowable equivalent (110) planes are  $(0\bar{1}\bar{1})$ ,  $(01\bar{1})$ ,  $(0\bar{1}1)$ , and  $(0\bar{1}\bar{1})$ .

#4 If specified as polished, this term is meant to imply a surface condition and not a particular processing technique. If desired, a quantitative measure of surface finish may optionally be indicated by specifying the rms microroughness over a specified spatial frequency (or wavelength) range. Because a standardized test method has not yet been developed for this metric, both values and test procedures, including sampling plan and detrending procedures, shall be agreed upon between supplier and customer.

**Table 8 Dimensional Characteristics and Wafer ID Marking Requirements for Notched 200 mm and 300 mm Polished Single Crystal Silicon Wafers<sup>#1</sup>**

Property		200 mm Wafers (Notched) <sup>#2</sup>			300 mm Wafers (Notched) <sup>#2</sup>	
Previous SEMI Reference:		SEMI M1.9			<del>SEMI 1.15</del> None	
Wafer Category:		1.9.1	1.9.2	1.9.3	<del>1.15</del>	1.15.1
2-5.1	Wafer ID Marking	Supplier-customer agreement			SEMI T7 mark with optional A/N mark (see ¶ <del>6.5.6</del> .1.4)	
2-5.7	Edge Surface Condition	Supplier-customer agreement <sup>#3</sup>			Polished <sup>#3</sup>	
2-6.1	Diameter	200.00 $\pm$ 0.20 mm			300.00 $\pm$ 0.20 mm	
2-6.2	Notch Dimensions (see Figure 5) Depth Angle	1.00 mm + 0.25 mm – 0.00 mm 90° + 5° – 1°				
2-6.3	Orientation of Notch Axis <sup>#4</sup>	<110> $\pm$ 1°				
2-6.5	Secondary Fiducial Location	No secondary fiducial				
2-6.6	Edge Profile <sup>#5</sup>	T/3 Template $C_y = 242 \mu\text{m}$	T/4 Template $C_y = 181 \mu\text{m}$	Edge profile parameters	<del>T/4 Template</del> <del><math>C_y = 194 \mu\text{m}</math></del>	Edge profile parameters
2-6.7	Thickness, Center Point	725 $\pm$ 20 $\mu\text{m}$			775 $\pm$ 20 $\mu\text{m}$	
2-6.8	Total Thickness Variation, Max.	10 $\mu\text{m}$			10 $\mu\text{m}$ <sup>#6</sup>	
2-6.9	Bow, Max.	65 $\mu\text{m}$			Not specified	
2-6.10	Warp, Max.	75 $\mu\text{m}$			100 $\mu\text{m}$ <sup>#7</sup>	
2-9.8	Back Surface Brightness (Gloss)	Not specified			0.80 <sup>#3,#8</sup>	

#1 Note that these specifications were originated in the United States. Care should be taken in applying this configuration to specific applications (see ~~Note 5 following ¶ 6.6.1.4~~). ~~Except for the edge profile characteristics, the The~~ The specification for 300 mm wafers is essentially equivalent to the specification for wafers of this diameter in JEITA EM-3602.

#2 For referee purposes, metric (SI) units apply. To ensure that product shipped is within specification, any conversion to U.S. Customary equivalents should be done following the maximum-minimum convention in which the minimum values are rounded-up and the maximum values are rounded-down to ensure that the equivalent range is always inside the referee range. If U.S. Customary equivalents are used for incoming inspection, minimum values should be rounded-down and maximum values rounded-up to avoid rejection of material that is within the specification when measured by the referee system of units. **CAUTION:** The significance of the rightmost digit may vary, depending on the quantity being measured and the precision of the test procedure. Refer to the relevant test method for precision data which can be used to construct appropriate guard bands.

#3 If specified as polished, this term is meant to imply a surface condition and not a particular processing technique. If desired, a quantitative measure of surface finish may optionally be indicated by specifying the rms microroughness over a specified spatial frequency (or wavelength) range. Because a standardized test method has not yet been developed for this metric, both values and test procedures, including sampling plan and detrending procedures, shall be agreed upon between supplier and customer.

#4 For ~~200 mm~~(111) wafers, the  $[1\bar{1}0]$ ,  $[01\bar{1}]$ , and  $[\bar{1}01]$  axes are the equivalent, allowable  $\langle 110 \rangle$  axes. For (100) wafers, the allowable equivalent  $\langle 110 \rangle$  axes are  $[01\bar{1}]$ ,  $[011]$ ,  $[0\bar{1}1]$ , and  $[0\bar{1}\bar{1}]$ .

#5 For edge profile coordinates  $C_j$ , see Table 10, and for the format of edge profile parameter specifications see Table 11.

#6 Full wafer scan as described in SEMI MF1530.

#7 Warp corrected for gravitational effects. However, warp is not an adequate wafer shape specification for all applications.

#8 Gloss as measured in accordance with ASTM D523 or JIS Z 8741 with visible illumination at a  $60^\circ$  angle of incidence referenced to a mirror polished silicon wafer front surface. This metric may not describe the back surface finish adequately to establish detectability of small localized light scatterers (LLSs). If it is necessary to detect LLSs smaller than  $0.25 \mu\text{m}$  LSE, another quantitative measure of surface finish may optionally be indicated by specifying the rms microroughness over a specified spatial frequency (or wavelength) range. Because a standardized test method has not yet been developed for this metric, both values and test procedures shall be agreed upon between supplier and customer.

**Table 9 Specified Requirements for 450 mm Polished Single Crystal Silicon Wafers**

Property		450 mm Wafers <sup>#1, #2</sup>		
Previous SEMI Reference:		None		
Wafer Category		1.16.1	1.16.2	1.16.3
2-1.1	Growth Method	Supplier Option of Cz or MCz		
2-1.3	Conductivity Type	<i>p</i>		
2-1.4	Dopant	Boron		
2-1.6	FQA radius	223 mm		223.5 mm <sup>#3</sup>
2-1.8	Wafer Surface Orientation	$(100) \pm 0.5^\circ$		
2-5.1	Wafer ID Marking	SEMI T7		
2-5.7	Edge Surface Condition <sup>#4</sup>	Polished		
2-5.8	Back Surface Condition <sup>#4</sup>	Polished		
2-6.1	Diameter	$450.00 \pm 0.10 \text{ mm}$		
2-6.2	Notch Dimensions (see Figure 5) Depth Angle	$1.00 \text{ mm} + 0.25 \text{ mm} - 0.00 \text{ mm}$ $90^\circ + 5^\circ - 1^\circ$		No Notch
2-6.3	Orientation of: Notch Axis <sup>#5</sup> Primary Orientation Fiducial Mark <sup>#5</sup>	$\langle 110 \rangle \pm 1^\circ$	$\langle 010 \rangle \pm 1^\circ$	$\langle 110 \rangle \pm 1^\circ$
2-6.5	Back Surface Secondary Orientation Fiducial Mark Location Back Surface Tertiary Orientation Fiducial Mark Location	No secondary fiducial  No tertiary fiducial		$120.0^\circ \pm 0.1^\circ$ CW from Orientation Fiducial Axis $119.0^\circ \pm 0.1^\circ$ CCW from Orientation Fiducial Axis

Property		450 mm Wafers <sup>#1, #2</sup>		
Previous SEMI Reference:		None		
Wafer Category		1.16.1	1.16.2	1.16.3
2-6.6	Edge Profile Parameter Based Specification	Front edge width		350 ± 50 μm
		Front bevel angle		22.5° ± 3°
		Front shoulder radius		202.5 ± 42.5 μm
		Back shoulder radius		202.5 ± 42.5 μm
		Back bevel angle		22.5° ± 3°
		Back edge width		350 ± 50 μm
2-6.7	Thickness, Center Point	925 ± 20 μm		
2-6.8	Total Thickness Variation (GBIR)	≤3 μm		
2-6.9	Bow, Max	Supplier-customer agreement		
2-6.10	Warp <sup>#6</sup>	≤50 μm		
2-9.8	Back Surface Brightness (Gloss <sup>#7</sup> )	0.80		

#1 For referee purposes, metric (SI) units apply. To ensure that product shipped is within specification, any conversion to U.S. Customary equivalents should be done following the maximum-minimum convention in which the minimum values are rounded-up and the maximum values are rounded-down to ensure that the equivalent range is always inside the referee range. If U.S. Customary equivalents are used for incoming inspection, minimum values should be rounded-down and maximum values rounded-up to avoid rejection of material that is within the specification when measured by the referee system of units. **CAUTION:** The significance of the rightmost digit may vary, depending on the quantity being measured and the precision of the test procedure. Refer to the relevant test method for precision data which can be used to construct appropriate guard bands.

#2 As time develops, these requirements may be altered; for example, *n*-type conductivity and phosphorus doping could be added.

#3 For 223.5 mm FQA radius, there may be limitations in verifying the quality of wafers close to the wafer edge due to limitations of measurement equipment, especially in the fiducial mark and Data Matrix code symbol (SEMI T7) areas of the wafer.

#4 If specified as polished, this term is meant to imply a surface condition and not a particular processing technique. If desired, a quantitative measure of surface finish may optionally be indicated by specifying the rms microroughness over a specified spatial frequency (or wavelength) range. Because a standardized test method has not yet been developed for this metric, both values and test procedures, including sampling plan and detrending procedures, shall be agreed upon between supplier and customer.

#5 For (100) wafers, the allowable equivalent <110> axes are [01 $\bar{1}$ ], [011], [0 $\bar{1}$ 1], and [0 $\bar{1}$  $\bar{1}$ ], and the equivalent <010> axes are [001], [0 $\bar{1}$ 0], and [00 $\bar{1}$ ].

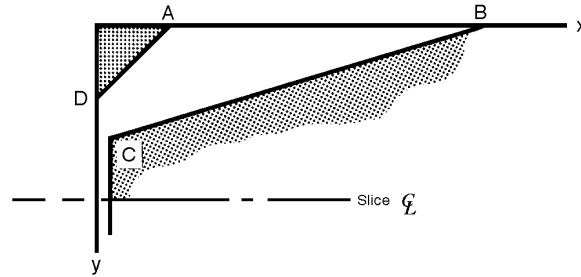
#6 Warp corrected for gravitational effects. However, warp is not an adequate wafer shape specification for all applications.

#7 Gloss as measured in accordance with ASTM D523 or JIS Z 8741 with visible illumination at a 60° angle of incidence referenced to a mirror polished silicon wafer front surface. This metric may not describe the back surface finish adequately to establish detectability of small localized light scatterers (LLSs). If it is necessary to detect LLSs smaller than 0.25 μm LSE, another quantitative measure of surface finish may optionally be indicated by specifying the rms microroughness over a specified spatial frequency (or wavelength) range. Because a standardized test method has not yet been developed for this metric, both values and test procedures shall be agreed upon between supplier and customer.

~~6.6.3~~ 6.7.2 *Edge Profile* — The edge profile of edge-profiled wafers shall conform to either template-coordinate based or profile-parameter based specifications.

~~6.6.3.1~~ 6.7.2.1 *Template-Coordinate Based Specifications* — When specified according to a template, the edge profile of edge-profiled wafers shall conform to the following requirements at all points on the wafer periphery (except interior portions of notches, if present).

~~6.6.3.1.1~~ 6.7.2.1.1 The SEMI Wafer Edge Profile Template is shown in Figure 6. There are two sets of dimensions for the template: T/3 and T/4, where T is the nominal thickness of the wafer (see Tables 3 through 8 for values of the nominal thickness for various wafer categories that utilize template-coordinate based edge profile specifications).



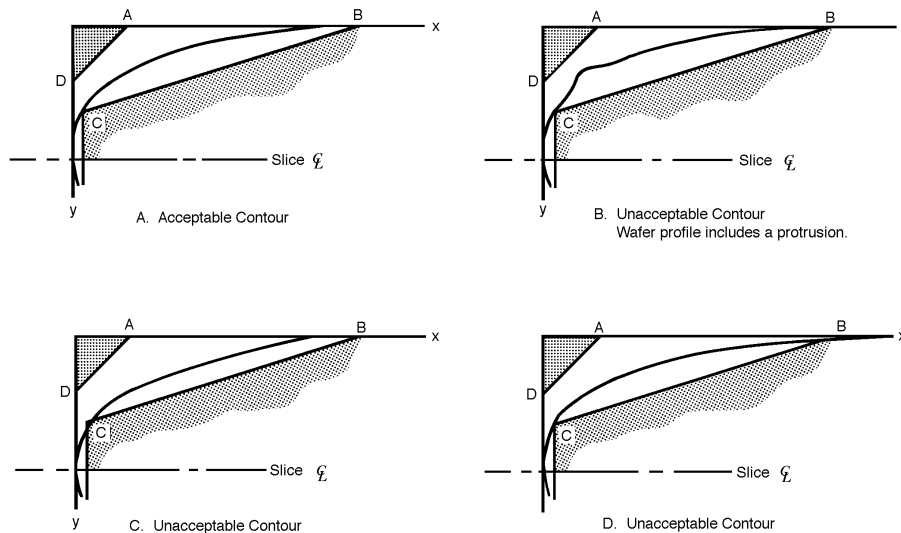
- #1 The figure is not to scale.
- #2 Only one-half of the template is shown; the wafer surface is aligned with the  $x$ -axis, and the outermost radial portion of the edge contour is aligned with the  $y$ -axis. The template in this figure is not intended for use in measuring wafer thickness.
- #3 Constant radius profile with blended, tangential front and back surface intercepts is preferred for ease of manufacture and reduced particle and chip generation.
- #4 The  $x$ - and  $y$ -axes in this template do not correspond with the  $x$ - and  $y$ -axes in the wafer coordinate system defined in SEMI M20.

**Figure 10** **Figure 11**  
**SEMI Wafer Edge Profile Template**

**Table 10 Template-Coordinate Based Wafer Edge Profile Specifications (see Figure 6 11)**

T/3 Wafer Edge Profile Template Coordinates					T/4 Wafer Edge Profile Template Coordinates				
Point	$x^{\#1}$		$y^{\#1}$		Point	$x^{\#1}$		$y^{\#1}$	
A	0.0030 in.	76 $\mu\text{m}$	0.00 in.	0 $\mu\text{m}$	A	0.0047 in.	120 $\mu\text{m}$	0.00 in.	0 $\mu\text{m}$
B	0.0200 in.	508 $\mu\text{m}$	0.00 in.	0 $\mu\text{m}$	B	0.0200 in.	508 $\mu\text{m}$	0.00 in.	0 $\mu\text{m}$
C	0.0020 in.	50 $\mu\text{m}$	T/3 <sup>#2</sup>		C	0.0040 in.	100 $\mu\text{m}$	T/4 <sup>#2</sup>	
D	0.00 in.	0 $\mu\text{m}$	0.0030 in.	76 $\mu\text{m}$	D	0.00 in.	0 $\mu\text{m}$	0.0020 in.	50 $\mu\text{m}$

- #1 For referee purposes, U.S. Customary units are to be used for 2 inch and 3 inch diameter wafers SI units are to be used for all other wafers.
- #2 For the value of this coordinate, see the specifications for the appropriate wafer category in Tables 3 through 8.



**Figure 11** **Figure 12**  
**Examples of Acceptable and Unacceptable Wafer Edge Profiles**

~~6.6.3.1.2~~-~~6.7.2.1.2~~ The coordinates for the two templates are given in Table ~~10~~ 11. Note that this coordinate system differs from that in SEMI M20 in that the origin is at the wafer edge instead of the wafer center and the  $y$ -axis is positive in the downward direction toward the wafer median plane instead of being in the plane of the wafer surface. If the nominal edge exclusion,  $EE$ , is 1 mm or greater, the edge profile will not extend into the FQA.

~~6.6.3.1.3~~-~~6.7.2.1.3~~ When the wafer is aligned with the template so that the  $x$ -axis of the template is coincident with the wafer surface and the  $y$ -axis of the template is tangent with the outermost radial portion of the profile, the wafer edge profile must be contained within the clear region of the template (see Figure ~~7~~ 12 for examples of acceptable and unacceptable edge profiles).

~~6.6.3.2~~-~~6.7.2.2~~ *Profile-Parameter Based Specifications* — The format of the specification is shown in Table ~~11~~ 12 together with a description of the parameters. Specification of the edge profile is done by completing this table using the specified nominal value of each parameter together with its tolerance.

~~6.6.3.2.1~~-~~6.7.2.2.1~~ SEMI M73 provides detailed information about construction of the edge profile from the specified parameters as well as the methods for determining their values.

~~6.6.3.2.2~~-~~6.7.2.2.2~~ Because the dimensions of the various segments of the edge profile in the vertical direction must add up to the specified thickness of the wafer, these dimensions are not independent of each other, but they are related by algebraic and trigonometric functions. The interdependency of these parameters is described as follows:

~~6.6.3.2.2.1~~-~~6.7.2.2.2.1~~ In the  $z$ -direction, the distance from the origin to the end of the apex is simply  $b_i$ ; the distance to the end of the shoulder segment is  $r_i \cos \phi_i$ ; and the distance from the end of the shoulder segment to the surface is  $[a_i - r_i(1 - \sin \phi_i)] \tan \phi_i$ , where here and elsewhere the subscript  $i$  represents  $f$  or  $b$ , as appropriate.

**Table 11 Parameters for Use with Profile-Parameter Based Edge Profile Specifications**

<i>Parameter Name</i>	<i>Symbol</i>	<i>Specified Nominal Value <math>\pm</math> Tolerance</i>	<i>Unit</i>	<i>Explanation</i>
Front edge width	$a_f$		$\mu\text{m}$	Distance from the origin to the intersection of the front bevel and the front surface measured along an extension of the front surface.
Front bevel angle	$\phi_f$		$^\circ$	Angle between front surface and bevel, taken as positive into the wafer.
Front shoulder radius	$r_f$		$\mu\text{m}$	Radius of the arc of the front shoulder.
Front apex length	$b_f$	Not specified	$\mu\text{m}$	Distance from origin to the beginning of the front shoulder arc.
Back apex length	$b_b$	Not specified	$\mu\text{m}$	Distance from origin to the beginning of the back shoulder arc.
Back shoulder radius	$r_b$		$\mu\text{m}$	Radius of the arc of the back shoulder.
Back bevel angle	$\phi_b$		$^\circ$	Angle between back surface and bevel, taken as positive into the wafer.
Back edge width	$a_b$		$\mu\text{m}$	Distance from the origin to the intersection of the back bevel and the back surface measured along an extension of the back surface.
Thickness	$t$		$\mu\text{m}$	Specified value for the wafer on which the edge profile is being generated (see Tables 8 and 9, row 2-6.7).

~~6.6.3.2.2.2~~-~~6.7.2.2.2.2~~ This adjustment of the apex lengths within the  $q$ - $z$  coordinate system with its origin on the median plane of the wafer is equivalent to the use of the surface-referenced  $x$ - $y$  coordinate system of the traditional edge profile template of Figure 6 because it does not result in any change of the shape of the profile within the allowed center point thickness variation of the wafer.

~~6.6.3.2.3~~-~~6.7.2.2.3~~ When specified according to a set of parameters, the measured values of the front and back edge width, bevel angle, and shoulder radius must fall within the specified tolerances except interior portions of notches, if present. If desired, additional comparisons with the measured profile must fall within the range agreed upon between supplier and customer.

~~6.6.3.3~~-~~6.7.2.3~~ Whether the edge profile is specified by template coordinates (see ¶ 6.3.3.1) or by a set of parameters (see ¶ 6.6.3.2), no sharp points or protrusions are permitted anywhere on the wafer edge profile.

~~6.6.3.4~~~~6.7.2.4~~ Cosmetic attributes of the edge profile are not covered by either of these specifications. They shall be agreed upon between supplier and customer.

~~6.6.4~~~~6.7.3~~ *Shape* — The shape decision tree in Appendix 3 fully describes the various shape parameters that can be specified.

~~6.6.4.1~~~~6.7.3.1~~ Warp is generally the shape parameter that is specified most often. Only wafers of category 1.1 (2 inch diameter) do not have a warp specification. Note that there are two forms of warp, one corrected for gravitational sag and the other not so corrected.

~~6.6.4.2~~~~6.7.3.2~~ If bow is specified, a sign may be included in the specification to denote convex (positive) or concave (negative) curvature of the median surface of the wafer with the front surface up. If no sign is included in the specification, bow may vary between  $-a$  and  $+a$ , where ‘ $a$ ’ is the specified maximum magnitude of bow.

~~6.6.4.3~~~~6.7.3.3~~ Sori is an attribute that may be specified with agreement between the supplier and customer in lieu of or in addition to bow and/or warp.

~~6.6.5~~~~6.7.4~~ *Flatness* — The wafers shall meet global and site flatness requirements as specified in the purchase order. Details of different flatness parameters are given in Appendix 1.

~~6.6.6~~~~6.7.5~~ *Nanotopography* — The wafers shall meet nanotopography requirements specified in the purchase order.

~~6.6.7~~~~6.7.6~~ *Near Edge Geometry* — The wafers shall meet near edge geometry requirements as specified in the purchase order. Note that the measurement method used is based on the particular near-edge geometry metric selected.

~~6.7~~~~6.8~~ *Front Surface Chemistry*

~~6.7.1~~~~6.8.1~~ If surface metal contamination levels are specified on the purchase order, the maximum area densities shall be designated in units of atoms/cm<sup>2</sup> for specific individual elements together with the measurement method by which the densities are to be determined. The wafers shall not contain more than the specified density of each metal.

~~NOTE 8~~~~NOTE 7~~: An example of such a specification applicable to 1 μm geometries is given in Related Information 2.

~~6.7.2~~~~6.8.2~~ If surface organic contamination levels are specified on the purchase order, the maximum area densities shall be designated in units of ng/cm<sup>2</sup> for total surface organics density together with the method by which the density is to be determined. The wafers shall not contain more than the specified density of total surface organics.

**Table 12 Polished Wafer Defect Limits**

<i>Item</i>	<i>Characteristics</i>	<i>Maximum Defect Limit</i>	<i>AQL</i> <sup>#1</sup>	<i>Illumination Conditions</i> <sup>#2</sup>
<b>FRONT SURFACE</b>				
2-8.1	Scratches – Macro <sup>#3</sup>	None		Diffuse
2-8.2	Scratches – Micro <sup>#3</sup>	None		High Intensity
2-8.3	Pits <sup>#3</sup>	None	1% Cum.	High Intensity
2-8.4	Haze <sup>#3</sup>	None	1%	High Intensity
2-8.5	Localized Light Scatterers (LLS) (Contamination, Particulate) <sup>#3</sup> Maximum Number 2 in. Diameter Wafer 3 in. Diameter Wafer 100 mm Diameter Wafer 125 mm Diameter Wafer 150 mm Diameter Wafer	4 6 10 10 15	1%	High Intensity
2-8.6	Contamination, Area <sup>#3</sup>	None	1%	High Intensity or Diffuse
2-8.7	Edge Chips and Indents <sup>#4</sup>	None	1% Cum. with Item 15 <sup>#5</sup>	Diffuse
2-8.9	Cracks, Crow’s Feet	None		Diffuse
2-8.10	Craters <sup>#3</sup>	None		Diffuse

This is a Draft Document of the SEMI International Standards program. No material on this page is to be construed as an official or adopted Standard or Safety Guideline. Permission is granted to reproduce and/or distribute this document, in whole or in part, only within the scope of SEMI International Standards committee (document development) activity. All other reproduction and/or distribution without the prior written consent of SEMI is prohibited.

Item	Characteristics	Maximum Defect Limit	AQL <sup>#1</sup>	Illumination Conditions <sup>#2</sup>
2-8.11	Dimples <sup>#3</sup>	None		Diffuse
2-8.12	Grooves <sup>#3</sup>	None	1% Cum.	Diffuse
2-8.13	Mounds <sup>#3</sup>	None		Diffuse
2-8.14	Orange Peel <sup>#3</sup>	None		Diffuse
2-8.15	Saw Marks <sup>#3</sup>	None	1% Cum.	Diffuse
2-8.16	Resistivity Striations (Dopant Striation Rings)	None, except on low resistivity wafers <sup>#6</sup>		Diffuse
<b>BACK SURFACE</b>				
2-9.1	Edge Chips <sup>#4</sup>	None	1% Cum. with Item 6 <sup>#5</sup>	Diffuse
2-9.3	Cracks, Crow's Feet	None		Diffuse
2-9.4	Contamination, Area	None	1% Cum.	Diffuse
2-9.5	Saw Marks <sup>#7</sup>	None	1% Cum.	Diffuse
ALL LISTED CHARACTERISTICS			Total 2.5%	

#1 Single, Normal, Level II Sampling Plan as defined in ANSI/ASQ Z1.4.

#2 See SEMI MF523 for definition of Illumination Conditions.

#3 The outer 0.062 in. (1.57 mm) annulus is excluded from these criteria.

#4 For wafers that are not mechanically edge-rounded, accept/reject criterion shall be agreed upon between supplier and customer.

#5 The cumulative AQL for both front surface and back surface of wafer is 1.0%.

#6 Striations may be visible on low resistivity wafers (<0.020 Ω·cm).

#7 For non-lapped wafers accept/reject criterion shall be agreed upon between supplier and customer.

**6.8-6.9 Front and Back Surface Inspection Characteristics**

~~6.8.1~~ **6.9.1** The wafers shall conform to the limits on observable (visually or otherwise) front and back surface characteristics as specified on the purchase order.

~~6.8.2~~ **6.9.2** For wafers of diameter 150 mm or smaller for which visual inspection of surface defects is acceptable, the defect limits in Table 12 may be used as a guide for determining acceptable defect levels. Under these circumstances, minimal conditions or dimensions for surface features to be considered as defects are stated below. These limits shall be used for determining wafer acceptability; anomalies smaller than these limits shall not be considered defects.

~~6.8.2.1~~ **6.9.2.1** *Item 2-8.1, Scratches, Macro* — Any anomaly conforming to the definition that has a length-to-width ratio greater than 5:1 and is visible under diffuse illumination as well as under high intensity illumination.

~~6.8.2.2~~ **6.9.2.2** *Item 2-8.2, Scratches, Micro* — Any anomaly conforming to the definition that has a length-to-width ratio greater than 5:1 and is visible only under high intensity illumination.

~~6.8.2.3~~ **6.9.2.3** *Item 2-8.3, Pits* — Any individually distinguishable nonremovable surface anomaly conforming to the definition that is visible when viewed under intense illumination.

~~6.8.2.4~~ **6.9.2.4** *Item 2-8.4, Haze* — Haze is indicated when the image of a narrow beam tungsten lamp filament is detectable on the polished wafer surface. Under some conditions, contamination may appear as haze.

~~6.8.2.5~~ **6.9.2.5** *Item 2-8.5, Localized Light Scatterers (Particulate Contamination)* — Distinct particles or other surface anomalies resting on the surface that are revealed under collimated light as bright points.

~~6.8.2.6~~ **6.9.2.6** *Items 2-8.6 and 2-9.4, Area Contamination* — Any foreign matter on the surface in localized areas that is revealed under the inspection lighting conditions as discolored, mottled, or cloudy appearance resulting from smudges, stains, water spots, etc.

~~6.8.2.7~~ **6.9.2.7** *Items 2-8.7 and 2-9.1, Edge Chips and Indents* — Any edge anomaly including saw exit marks conforming to the definition that is greater than 0.25 mm (0.010 in.) in radial depth and peripheral length.

~~6.8.2.8~~~~6.9.2.8~~ *Items 2-8.9a and 2-9.3a, Cracks* — Any anomaly conforming to the definition that is greater than 0.25 mm (0.010 in. for 2 inch and 3 inch diameter wafers) in total length.

~~6.8.2.9~~~~6.9.2.9~~ *Items 2-8.9b and 2-9.3b, Crow's Feet* — Any anomaly conforming to the definition that is greater than 0.25 mm (0.010 in. for 2 inch and 3 inch diameter wafers) in total length.

~~6.8.2.10~~~~6.9.2.10~~ *Item 2-8.10, Craters* — Any individually distinguishable surface anomaly conforming to the definition that is visible when viewed under diffused illumination.

~~6.8.2.11~~~~6.9.2.11~~ *Item 2-8.11, Dimples* — Any smooth surface depression greater than 3 mm in diameter.

~~6.8.2.12~~~~6.9.2.12~~ *Item 2-8.12, Grooves* — Any anomaly conforming to the definition that is greater than 0.13 mm (0.0005 in. for 2 inch and 3 inch diameter wafers) wide or 0.76 mm (0.030 in. for 2 inch and 3 inch diameter wafers) in length.

~~6.8.2.13~~~~6.9.2.13~~ *Item 2-8.13, Mounds* — Any anomaly conforming to the definition that is greater than 0.25 mm (0.010 in. for 2 inch and 3 inch diameter wafers) in maximum dimension.

~~6.8.2.14~~~~6.9.2.14~~ *Item 2-8.14, Orange Peel* — Any visually detectable roughened surface conforming to the definition that is observable under diffused illumination.

~~6.8.2.15~~~~6.9.2.15~~ *Items 2-8.15 and 2-9.5, Saw Marks* — Any anomaly conforming to the definition that is visible under diffuse illumination.

~~6.8.2.16~~~~6.9.2.16~~ *Item 2-8.16, Resistivity Striations (Dopant Striation Rings)* — Any feature conforming to the definition that is detectable under diffused lighting conditions.

~~6.8.3~~~~6.9.3~~ For other cases, acceptable surface defect levels shall be defined based on the use of surface scanning inspections systems (SSIS) for automated surface inspection. In this case, definitions of what surface anomalies constitute surface defects shall be agreed upon between supplier and customer.

## **7 Specifications for the 32, 22, and 16 nm Technology Generations**

7.1 Guides for the specification of 300 and 450 mm diameter prime polished wafers for the 32, 22, and 16 nm technology generations are given in Related Information 1. Note that the generation names are not universal and may vary by one or two nanometers from the listed value.

## **8 Sampling**

8.1 Unless otherwise specified, ASTM E122 shall be used to define the sampling plan. When so specified, appropriate sample sizes shall be selected from each lot in accordance with ANSI/ASQ Z1.4. Each quality characteristic shall be assigned an acceptable quality level (AQL) or lot tolerance percent defective (LTPD) value in accordance with ANSI/ASQ Z1.4 definitions for critical, major, and minor classifications. If desired and so specified in the contract or order, each of these classifications may alternatively be assigned cumulative AQL or LTPD values. Inspection levels shall be agreed upon between the supplier and the purchaser.

## **9 Test Methods**

9.1 Measurements shall be made or certifiable to one of the SEMI, ASTM, JEITA, or JIS standard test methods for the item as selected from Table 1 and specified in the purchase order.

~~NOTE 9:~~~~NOTE 8:~~ Although they are no longer supported nor supplied by DIN or its publisher Beuth Verlag, DIN silicon standards are also listed in this section and may be used if available to the testing lab and agreed upon between supplier and customer. English translations of most of these standards are published in Volume 10.05 of the 1993 *Annual Book of ASTM Standards*, which may be available to some organizations. Titles of these standards are given in § 13 Related Documents.

9.2 If several different standard test methods for an item are commonly used within a region, it is particularly important that the applicable method of test be identified in the purchase order.

9.3 If no method of test is specified in the purchase order and if standard test methods from different geographic regions are available, the default method shall be a method in common usage for the region of the purchaser of the wafer.

9.4 If no standard test method for an item is available, the test procedure to be used must be agreed upon between supplier and customer.

9.5 Information about the various test methods listed in Table 1 is provided in Related Information 3 together with information about some additional test methods no longer in wide use throughout the industry.

## 10 Certification

10.1 Upon request of the purchaser in the contract or order, a manufacturer's or supplier's certification that the material was manufactured and tested in accordance with this specification, together with a report of the test results, shall be furnished at the time of shipment.

10.2 In the interest of controlling inspection costs, the supplier and the customer may agree that the material shall be certified as 'capable of meeting' certain requirements. In this context, 'capable of meeting' shall signify that the supplier is not required to perform the appropriate tests in § 9. However, if the customer performs the test and the material fails to meet the requirement, the material may be subject to rejection.

## 11 Product Labeling

11.1 The wafers supplied under these specifications shall be identified by appropriately labeling the outside of each box or other container and each subdivision thereof in which it may reasonably be expected that the wafers will be stored prior to further processing. Identification shall include as a minimum the nominal diameter, conductivity type, dopant, orientation, resistivity range, and lot number. The lot number, either (1) assigned by the original manufacturer of the wafers, or (2) assigned subsequent to wafer manufacture but providing reference to the original lot number, shall provide easy access to information concerning the fabrication history of the particular wafers in that lot. Such information shall be retained on file at the manufacturer's facility for at least one month after that particular lot has been accepted by the customer.

11.2 Alternatively, if agreed upon between supplier and customer, one of the box labeling schemes in SEMI T3 shall be used and the information listed in ¶ 11.1 that is not included on the label shall be retained in the supplier's data base for at least one month after that particular lot has been accepted by the customer.

11.3 Wafers of ~~Categories-Category 1.15 and~~ 1.15.1 (300 mm in diameter) shall be shipped in packages labeled in accordance with SEMI M45.

## 12 Packing and Shipping Container Labeling

12.1 Special packing requirements shall be subject to agreement between the supplier and customer. Otherwise, all wafers shall be handled, inspected, and packed in such a manner as to avoid chipping, scratches, and contamination and in accordance with the best industry practices to provide ample protection against damage during shipment.

12.2 Wafers of ~~Categories-Category 1.15 and~~ 1.15.1 (300 mm in diameter) shall be shipped in accordance with SEMI M45.

12.3 Unless otherwise indicated in the purchase order, all outside wafer shipping containers shall be labeled in accordance with CEA 556-C.

## 13 Related Documents

13.1 The following DIN standards are no longer supported or supplied by DIN but they can be used by organizations that have copies with agreement between supplier and customer.

DIN 50430 — Measurement of the Electrical Resistivity of Silicon or Germanium Single Crystals in Bars by Means of the Two-point-probe Direct Current Method

DIN 50431 — Measurement of the Electrical Resistivity of Silicon or Germanium Single Crystals by Means of the Four-Point-Probe Direct Current Method with Collinear Four-Probe Array

DIN 50432 — Determination of the Conductivity Type of Silicon or Germanium by Means of Rectification Test or Hot-Probe

DIN 50433-1 — Determination of the Orientation of Single Crystals by Means of X-Ray Diffraction

- DIN 50433-2 — Determination of the Orientation of Single Crystals by Means of Optical Reflection Figure
- DIN 50433-3 — Determination of the Orientation of Single Crystals by Means of Laue Back Scattering
- DIN 50434 — Determination of Crystal Defects in Monocrystalline Silicon Using Etching Techniques on {111} and {100} Surfaces
- DIN 50435 — Determination of the Radial Resistivity Variation of Silicon or Germanium Slices by Means of a Four-Point-DC-Probe
- DIN 50438-1 — Determination of Impurity Content in Silicon by Infrared Absorption: Oxygen
- DIN 50438-2 — Determination of Impurity Content in Silicon by Infrared Absorption: Carbon
- DIN 50440-1 — Measurement of Recombination Carrier Lifetime in Silicon Single Crystals by Means of Photoconductive Decay Method; Measurement on Bar-Shaped Test Samples
- DIN 50441-1 — Determination of the Geometric Dimensions of Semiconductor Slices: Measurement of Thickness
- DIN 50441-2 — Determination of the Geometric Dimensions of Semiconductor Slices: Testing of Edge Rounding
- DIN 50441-3 — Measurement of the Geometric Dimensions of Semiconductor Slices; Determination of Flatness Deviation of Polished Slices by Means of Multiple Beam Interference
- DIN 50441-4 — Determination of the Geometrical Dimensions of Semiconductor Slices: Diameter and Flat Depth of Slices
- DIN 50443-1 — Recognition of Defects and Inhomogenities in Semiconductor Single Crystals by X-Ray Topography: Silicon
- DIN 50444 — Conversion Between Resistivity and Dopant Density; Silicon
- DIN 50445 — Contactless Determination of the Electrical Resistivity of Semiconductor Wafers with the Eddy Current Method

## APPENDIX 1 FLATNESS DECISION TREE

**NOTICE:** The material in this Appendix is an official part of SEMI M1 and was approved by full letter ballot procedures on October 21, 1999 by the Silicon Wafer Global Technical Committee.

### A1-1 Introduction

A1-1.1 The increasing complexity of integrated circuits and the reduction in design rule dimensions place new demands on the characterization of wafer surface geometry. Various high resolution optical lithographic systems have very limited depth of field and use a variety of methods to hold the wafer, to establish the focal plane, and to position the wafer relative to the focal plane during exposure. These varying focusing and location methods differ enough to make a single, simple flatness criterion (such as global TIR) ineffective in predicting successful or unsuccessful lithography in all cases.

A1-1.2 To clarify the requirements for wafer flatness characterization for the various classes of lithographic equipment, the decision tree depicted in Figure A1-1 has been developed. This tree gives an orderly procedure for selecting the various parameters that must be specified if wafer flatness is specified.

A1-1.3 In this tree, it is assumed that the focal point is the site center for all parameters, except for SFQD, SFQR, SFSD, and SFSR, where the focal plane is identical to the reference plane. Most flatness characterization systems employ this convention. However, a number of photolithographic aligners use slightly different conventions for determining the focal plane. Currently, the difference between the centerpoint and other focusing conventions has not been quantified, but it is presumed to be insignificant for material characterization purposes.

A1-1.3.1 For sites to be included in the measurement, the site center must lie within the FQA. For subsites (see Figure A1-2) to be included in the measurement, the subsite center must lie within a site whose center is within the FQA and some of the subsite must lie within the FQA (see Figure A1-3).

### A1-2 Use of the Flatness Decision Tree

A1-2.1 In the decision tree, there are decision blocks, shown as diamonds, whose use requires some knowledge of the lithographic tool to be used. The rectangular blocks require information to be furnished; this information is dependent on the device layout and the manufacturing procedures to be employed (such as dedicated or mixed aligner use).

A1-2.2 *Step 1* — Select the FQA: Decide on and specify the nominal edge exclusion, *EE*, which defines the FQA (see Figure 1).

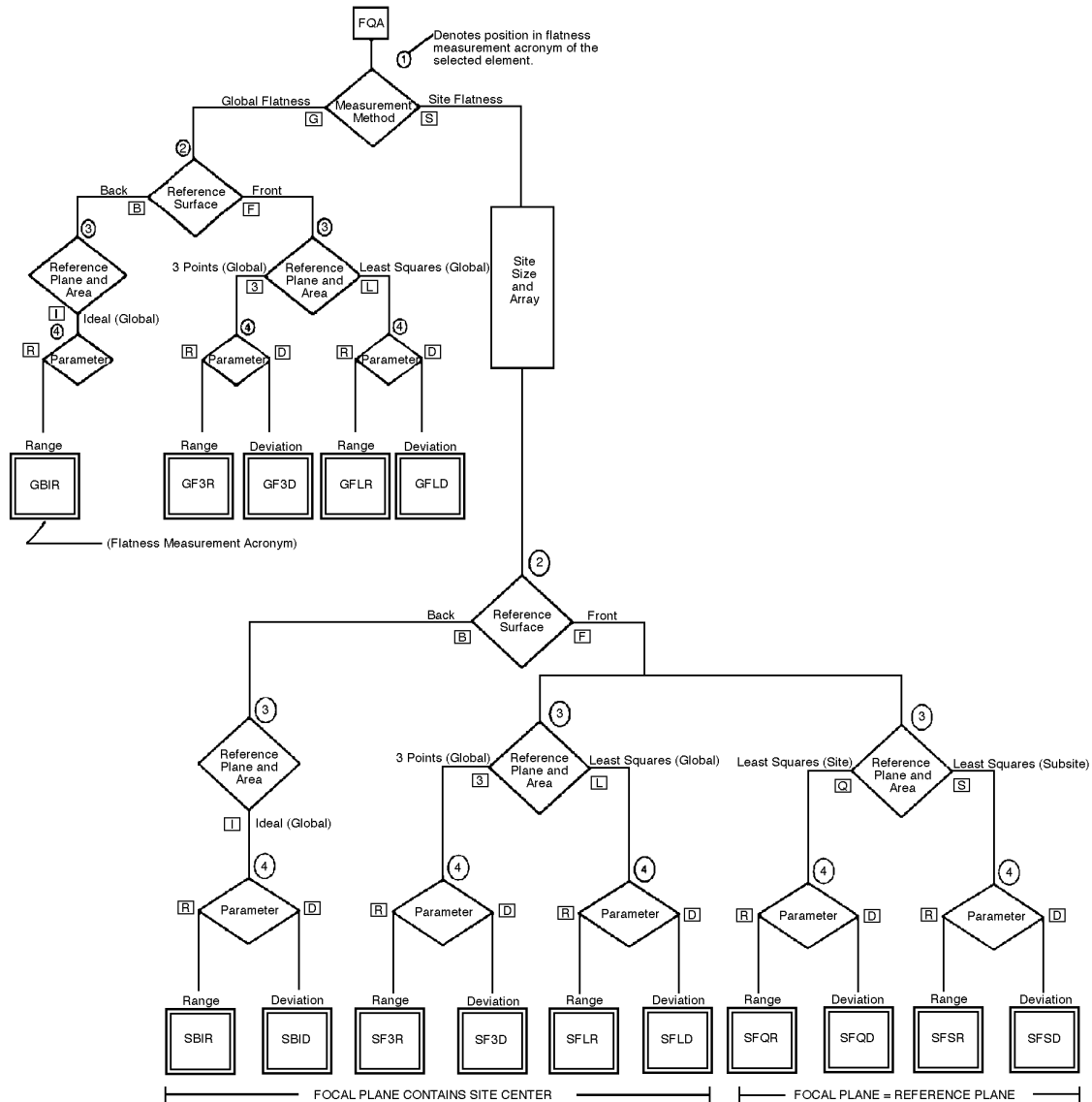
A1-2.3 *Step 2* — Choose the Measurement Method: Choose global flatness (G) if the lithographic tool uses a single, global exposure of the wafer, or choose site flatness (S) if the lithographic tool steps across the wafer, exposing only a portion of the wafer at a time.

A1-2.3.1 If global flatness is chosen, proceed to Step 3. If site flatness is chosen, it is also necessary to specify site size (related to exposure area dimensions) and site array (including (a) number of sites, (b) location of sites relative to the center of the FQA and to each other, as in an offset or bricklaying pattern, and (c) whether or not partial sites are to be excluded).

A1-2.4 *Step 3* — Choose the Reference Surface: Choose front surface (F) or back surface (B), depending on whether the lithographic tool is referenced to the front or back surface.

A1-2.5 *Step 4* — Choose the Reference Plane and Area:

A1-2.5.1 For global flatness measurements, a global reference plane is appropriate. If the lithographic tool is referenced to the back surface, an ideal plane (I) defined by the chuck which holds the wafer is appropriate. If the lithographic tool is referenced to the front surface, either a 3-point plane (3) defined by three points equally spaced about the edge of the front surface of the wafer or a plane defined by the least squares fit to the front surface (L) may be appropriate. The 3-point plane is appropriate if the lithographic tool holds the wafer in this fashion and does not allow interactive gimbaling of the wafer, while the least squares plane is appropriate if the lithographic tool allows interactive gimbaling of the wafer.



**Figure A1-1**  
**Flatness Decision Tree**

A1-2.5.2 For site flatness measurements, any of the above three planes [(1), (3), or (L)] may be suitable or, if the wafer is regimballled once at each site, a site least squares reference plane (Q) may be appropriate or, if the wafer is regimballled more than once at each site, a subsite least squares reference plane (S) may be appropriate.

A1-2.6 *Step 5* — Choose the Measurement Parameter: Choose either TIR, also known as range (R), or FPD, also known as deviation (D). In the case of site measurements, it is possible to specify the maximum value of (R) or (D) or the percentage of the sites (or FQA) which have an (R) or (D) less than some specified value.

A1-2.7 The codes in parentheses in Steps 2 through 5 may be used to form a code which uniquely defines the measurement technique as follows:

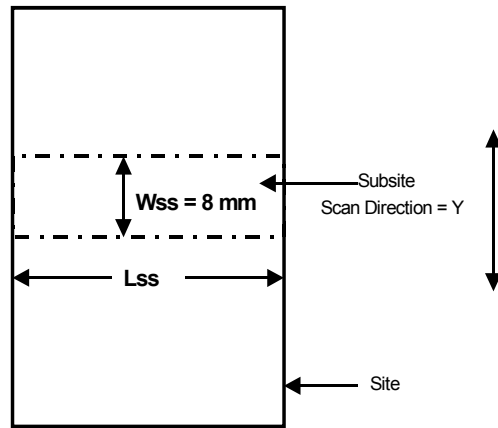
- Position 1: Measurement Method (G) or (S),
- Position 2: Reference Surface (F) or (B),

- Position 3: Reference Plane and Area (I), (3), (L), (Q), or (S), and
- Position 4: Measurement Parameter (R) or (D).

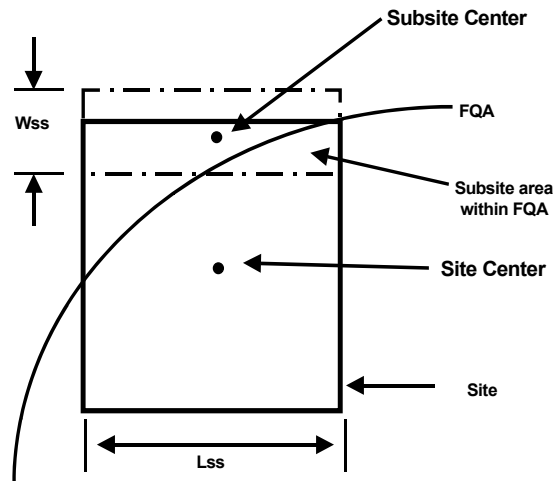
A1-2.7.1 Stating this code, the numerical values for the FQA parameters (and, if required, information on site size and array), and the numerical limit for the measurement parameter provides enough information to describe the measurement and provide numerical limits.

**A1-3 Future Developments**

A1-3.1 As noted above, there may be specific systems which are not exactly described by one of the branch ends on this decision tree. This tree is an approximation of the more complete one which would describe all existing and possible lithographic technologies.



**Figure A1-2**  
**Scanner Site and Subsite Flatness Elements**



**Figure A1-3**  
**Subsite Center near Boundaries of Site and FQA**

## **APPENDIX 2**

### **RoHS REQUIREMENTS**

**NOTICE:** The material in this Appendix is an official part of SEMI M1 and was approved by full letter ballot procedures on January 18, 2007 by the Silicon Wafer Global Technical Committee.

#### **A2-1 Introduction**

A2-1.1 At present, the only publically available directive on this topic known to the developers of this Standard is that of the European Community. As additional directives become publically available, they will be added to this Standard.

#### **A2-2 European Community Directive**

A2-2.1 The European Parliament and Council issued directive 2002/95/EC on January 27, 2003. The objective of this directive is "...to approximate the laws of the Member States on the restriction of the use of hazardous materials in electric and electronic equipment..." for reasons of health protection and environmentally sound recovery of disposal of waste electrical and electronic equipment. The following are included among the categories of such equipment:

- small and large household equipment, consumer equipment
- IT and telecommunication equipment
- lighting equipment, electrical and electronic tools
- toys, leisure and sports equipment
- automatic dispensers

A2-2.2 Maximum concentrations tolerated in homogeneous materials were defined in an amendment to Directive 2002/95/EC on September 23, 2004:<sup>9</sup>

- 1000 ppmw for Pb, Hg, hexavalent Cr, polybrominated biphenyls (PBB) and polybrominated diphenyl ethers (PBDE)
- 100 ppmw for Cd

A2-2.3 This directive became European law on July 1, 2006, and some European member states have already corresponding laws in place.

#### *A2-2.4 Referenced Standards and Documents*

##### *A2-2.4.1 CEA Standard<sup>10</sup>*

CEA JIG-101 — Material Composition Declaration for Electrotechnical Products

##### *A2-2.4.2 CEN Standard<sup>11</sup>*

CEN EN 1483 — Water Quality – Determination of Mercury – Method Using Atomic Absorption Spectrometry

##### *A2-2.4.3 ISO Standards<sup>12</sup>*

ISO 11885 — Water Quality – Determination of Selected Elements by Inductively Coupled Plasma Optical Emission Spectroscopy (ICP-OES)

<sup>9</sup> Council decision amending Directive 2002/95/EC of the European Parliament and of the Council for the purposes of establishing the maximum concentration values for certain hazardous substances in electrical and electronic equipment, COM(2004)606 final. Available for downloading from the following URL: [http://europa.eu.int/eur-lex/en/com/pdf/2004/com2004\\_0606en01.pdf](http://europa.eu.int/eur-lex/en/com/pdf/2004/com2004_0606en01.pdf).

<sup>10</sup> Consumer Electronics Association, 1919 S. Eads St., Arlington, VA 22202, USA. Telephone: 703.907.7634; Fax: 703.907.7693; <http://www.ce.org>

<sup>11</sup> European Committee for Standardization, Avenue Marnix 17, B-1000 Brussels. Telephone: 32.2.550.08.11; Fax: 32.2.550.08.19; <http://www.cen.eu>

<sup>12</sup> International Organization for Standardization, ISO Central Secretariat, 1, ch. de la Voie-Creuse, CP 56, CH-1211 Geneva 20, Switzerland; Telephone: 41.22.749.01.11, Fax: 41.22.733.34.30, <http://www.iso.org>

ISO 10304-3 — Water Quality – Determination of Dissolved Anions by Liquid Chromatography of Ions – Part 3: Determination of Chromate, Iodide, Sulfite, Thiocyanate and Thiosulfate

ISO 14706 — Surface Chemical Analysis – Determination of Surface Elemental Contamination on Silicon Wafers by Total Reflection X-ray Fluorescence (TXRF) Spectroscopy

**NOTICE:** Unless otherwise indicated, all documents cited shall be the latest published versions.

*A2-2.5 Requirements and Test Methods*

A2-2.5.1 Currently tolerated maximum concentrations of hazardous materials in homogeneous materials are listed in Table A2-1. The flame retardants, polybrominated biphenyls (PBB) and polybrominated diphenyl ethers (PBDE), are not expected to occur in semiconductor grade Si or on Si wafers. Their tolerated maximum concentrations are listed in the table for the sake of completeness. The test methods listed in Table A2-1 may be applied to silicon wafers only after appropriate extraction of metals with acid or alkaline solutions. Alternative test methods may be used provided that (1) they determine the total content in the wafer of the hazardous material being tested for and (2) the results obtained are equivalent to those obtained by the listed methods.

**Table A2-1 Tolerated Maximum Concentrations of Hazardous Materials in or on Silicon Wafers**

<i>Hazardous Material</i>	<i>Maximum Concentration<sup>#1</sup></i>	<i>Maximum Concentration</i>	<i>Maximum Density</i>	<i>Test Method<sup>#2</sup></i>
Cr(VI)	1000 ppmw	540 ppma	$27 \times 10^{18}$ atoms/cm <sup>3</sup>	ISO 10304-3
Cd	100 ppmw	25 ppma	$1.25 \times 10^{18}$ atoms/cm <sup>3</sup>	ISO 11885
Hg	1000 ppmw	140 ppma	$7 \times 10^{18}$ atoms/cm <sup>3</sup>	CEN EN 1483
Pb	1000 ppmw	136 ppma	$6.8 \times 10^{18}$ atoms/cm <sup>3</sup>	ISO 11885
Polybrominated Biphenyls (PBB)	1000 ppmw	29–120 ppm molecules <sup>#3</sup>	$1.5-6 \times 10^{18}$ molecules/cm <sup>3#4</sup>	X-ray fluorescence analysis of Br
Polybrominated Diphenyl Ethers (PBDE)	1000 ppmw	29–120 ppm molecules <sup>#3</sup>	$1.5-6 \times 10^{18}$ molecules/cm <sup>3#4</sup>	X-ray fluorescence analysis of Br

#1 As required by Directive 2002/95/EC, see also CEA JIG-101.

#2 To be applied with appropriate acid or alkaline extraction method.

#3 Value is rounded and depends on number of Br atoms per molecule PBB or PBDE.

#4 Value is rounded and depends on number of Br atoms per molecule PBB or PBDE.

*A2-2.6 Testing and Certification*

A2-2.6.1 The provisions of §§ 9 and 10 relating to testing and certification shall apply to the testing listed in Table A2-1.

## APPENDIX 3 SHAPE DECISION TREE

**NOTICE:** The material in this Appendix is an official part of SEMI M1 and was approved by full letter ballot procedures on October 21, 1999 by the Silicon Wafer Global Technical Committee.

### A3-1 Introduction

A3-1.1 In modern wafer fabrication processes, wafer surface geometry in the unclamped state can be a sensitive indicator of process effects. Larger wafer diameters and increasing complexity of processes and circuits have increased the need for accurate, standardized measurement of unclamped wafer geometry. The quantities that have historically been used, Bow and Back Surface Referenced Warp, may be inadequate to describe and quantify the geometries of interest in advanced processes. Additional surface geometry quantities, such as Sori and Median Surface Referenced Warp, have been introduced into standards.

A3-1.2 In addition, there is considerable confusion as to the precise meaning of these quantities, even though they are defined in the applicable SEMI Test Methods.

A3-1.3 The Shape Decision Tree was developed to provide an orderly method of identifying each of the variables involved in the quantities used to quantify unclamped wafer surface geometry. As such, the tree provides a concise and precise description of each shape quantity. A branch of the tree consists of a selection of one of the choices for each variable. The variables and the choices for each are listed in Table A3-1.

A3-1.4 Four branches of the tree, representing quantities for which measurement methods have been standardized by SEMI, are depicted in Figure A3-1. There are many other branches of the tree, not all of which may represent practical combinations of variables.

### A3-2 Use of the Shape Decision Tree

A3-2.1 *Step 1* — Select the FQA: Decide on and specify the nominal edge exclusion, *EE*, which defines the FQA (see Figure A3-1).

A3-2.2 *Step 2* — Select the measurement method: global (over the entire FQA) or local (over a site).

~~NOTE 10:~~NOTE 9: At present, all shape quantities in common use are global measurements. The significance and use of local shape quantities have yet to be defined.

A3-2.3 *Step 3* — Select the reference surface: front, median, or back, to be used to establish the reference plane.

A3-2.4 *Step 4* — Select the kind of reference plane: least-squares or 3-point.

~~NOTE 11:~~NOTE 10: A measurement made with a least-squares reference plane is less affected by small changes in wafer position within the measurement apparatus than is a measurement made with a 3-point reference plane.

A3-2.5 *Step 5* — Determine whether the effects of gravitational sag on the wafer are accounted for (yes) or not (no).

~~NOTE 12:~~NOTE 11: Gravitational effects may be accounted for by inverting the wafer during the measurement, by placing the wafer in a vertical or nearly vertical position during the measurement, or mathematically.

A3-2.6 *Step 6* — Select the measurement surface: front, median, or back, for which the deviations are to be measured.

A3-2.7 *Step 7* — Select the measurement pattern: full scan (a regular array of measurement points over the entire measurement area), partial scan (a specified pattern of measurement points covering only a portion of the measurement area), or centerpoint (measurement at the center of the wafer only).

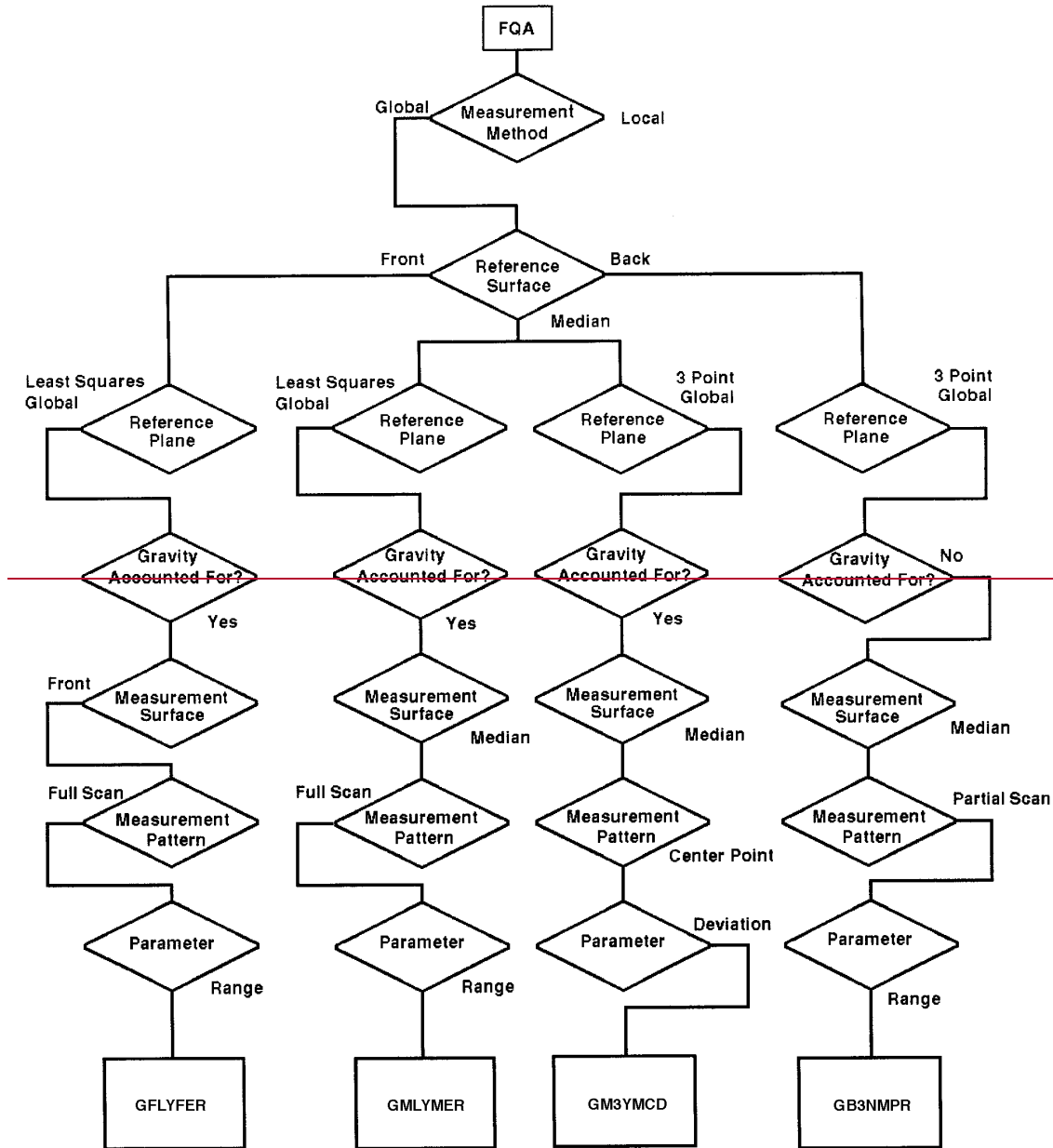
A3-2.8 *Step 8* — Select the parameter to be determined: range (TIR) or maximum RPD.

A3-2.9 *Step 9* — Compare the branch thus obtained with the branches in Figure A3-1. If the branch obtained matches one of the branches illustrated in Figure A4-1, the resulting quantity is given by the code shown in the box at the bottom of the branch. Standard test methods have been adopted for measurement of each of these quantities. If

the branch obtained does not match any of the branches illustrated in Figure A3-1, the quantity obtained has not been given a standardized term, nor has a standard test method been adopted for its measurement.

A3-2.9.1 These codes, which uniquely describe the various branches, are formed from the codes in Table A3-1. Stating this code together with the numerical value for the FQA nominal edge exclusion provides enough information to describe the shape parameter and to establish numerical limits for it.

A3-2.9.2 Table A3-2 summarizes the shape parameters for which SEMI has standardized test methods. This table lists the code, the term in common use for the parameter, the SEMI Test Method, and the expanded form of the code.



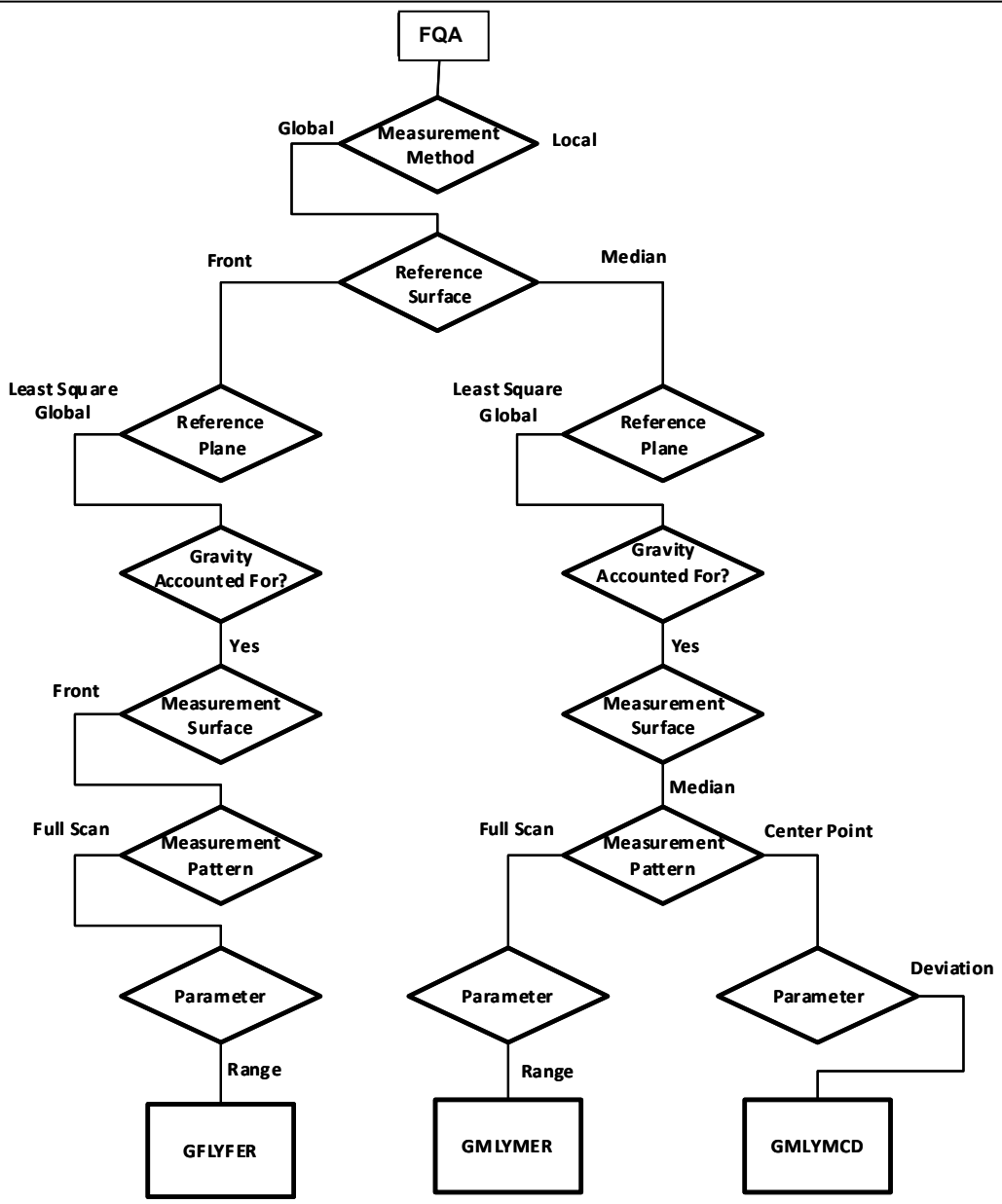


Figure A3-1  
~~Four~~ Three Branches of the Shape Decision Tree

This is a Draft Document of the SEMI International Standards program. No material on this page is to be construed as an official or adopted Standard or Safety Guideline. Permission is granted to reproduce and/or distribute this document, in whole or in part, only within the scope of SEMI International Standards committee (document development) activity. All other reproduction and/or distribution without the prior written consent of SEMI is prohibited.

**Table A3-2 Variables in Shape Quantities**

<i>Variable</i>	<i>Options</i>	<i>Code</i>
Measurement Method	Global	G
	Local (Site)	S
Reference Surface	Front	F
	Median	M
	Back	B
Reference Plane	Least-square	L
	3-point	3
Corrected for Gravitational Sag	Yes	Y
	No	N
Measurement Surface	Front	F
	Median	M
	Back	B
Measurement Pattern	Full Scan (Entire)	E
	Partial Scan	P
	Centerpoint	C
Parameter	Range (TIR)	R
	Maximum RPD	D

**Table A3-3 Shape Code Summary**

<i>Code</i>	<i>Term</i>	<i>Test Method</i>	<i>Expanded Form of Code</i>
GFLYFER	sori	SEMI MF1451	Global, Front-surface Least-squares reference plane, Yes (corrected for gravitational sag), Front-surface measurement, Entire surface scanned, Range
GMLYMER	warp	SEMI MF1390	Global, Median-surface Least-squares reference plane, Yes (corrected for gravitational sag), Median-surface measurement, Entire surface scanned, Range
<del>GM3YMCD</del> GMLYMCD	bow	<del>SEMI MF534</del> SEMI MF1390	Global, Median-surface <del>3-point plane</del> Least-squares reference plane, Yes (corrected for gravitational sag), Median-surface measurement at Center point, Deviation
<del>GB3NMPR</del>	<del>warp</del>	<del>SEMI MF657</del>	<del>Global, Back-surface 3-point reference plane, No (not corrected for gravitational sag), Median-surface measurement, Partial surface scanned, Range</del>

## RELATED INFORMATION 1 SPECIFICATION GUIDES FOR ADVANCED TECHNOLOGY GENERATIONS

**NOTICE:** This Related Information is not an official part of SEMI M1 and was derived from the work of the Silicon Wafer Global Technical Committee. This Related Information was approved for publication by full letter ballot procedures on June 18, 2012.

### R1-1 Introduction

R1-1.1 Specification Guides for polished prime wafers used in advanced technology are useful to facilitate rapid implementation and standardization of wafers in new technology generations. Although the impetus for this development was the transition to 450 mm diameter wafers, the guides are not diameter specific.

### R1-2 Specification Guides for 32, 22, and 16 nm Technology Generations

R1-2.1 Table R1-1 provides specification guides for 300 and 450 mm diameter prime polished wafers to be used in the 32, 22, and 16 nm technology generations

~~NOTE 13~~NOTE 12: Technology generations may cover a region a few nanometers from the listed value.

**Table R1-1 Guide for the Specification of 300 and 450 mm Diameter Silicon Wafers for the 32, 22, and 16 nm Technology Generations**

<i>Technology Generation</i> <sup>#1</sup>	<i>32 nm</i>	<i>22 nm</i>	<i>16 nm</i>	<i>Measurement Method</i>
<b>2-1 GENERAL CHARACTERISTICS</b>				
2-1.1	Growth Method	Cz or MCz		
2-1.3	Crystal Orientation	(100)		SEMI MF26 (X-ray)
2-1.4	Conductivity Type	<i>p</i> or <i>n</i> (Customer Specified)		SEMI MF42
2-1.5	Dopant	B (for <i>p</i> -type) or P (for <i>n</i> -type)		SEMI MF1389
2-1.6	FQA radius 300 mm wafers 450 mm wafers with notch notchless	148 mm  223 mm 223.5 mm <sup>#3</sup>		
2-1.8	Wafer surface declination in respect to crystal orientation	On-orientation 0.00° ± 1.00°		SEMI MF26 (X-ray)
<b>2-2 ELECTRICAL CHARACTERISTICS</b>				
2-2.1	Resistivity	Customer Specified		SEMI MF673
2-2.2	Radial Resistivity Variation <sup>#2</sup>	<10%		SEMI MF81
<b>2-3 CHEMICAL CHARACTERISTICS</b>				
2-3.1	Oxygen Concentration	Customer Specified		SEMI MF1188, SEMI MF1366
2-3.2	Radial Oxygen Variation <sup>#4</sup>	≤10%		SEMI MF951
2-3.3	Carbon Concentration	Customer Specified		SEMI MF1391
<b>2-4 STRUCTURAL CHARACTERISTICS</b>				
2-4.1	Dislocation Etch Pit Density	≤10/cm <sup>2</sup>		SEMI MF1809
2-4.2	Slip	None		SEMI MF1809
2-4.4	Twin Boundary	None		SEMI MF1809
2-4.5	Swirl	None		SEMI MF1809
<b>2-5 WAFER PREPARATION CHARACTERISTICS</b>				
2-5.1	Wafer ID Marking	SEMI T7		SEMI T7

This is a Draft Document of the SEMI International Standards program. No material on this page is to be construed as an official or adopted Standard or Safety Guideline. Permission is granted to reproduce and/or distribute this document, in whole or in part, only within the scope of SEMI International Standards committee (document development) activity. All other reproduction and/or distribution without the prior written consent of SEMI is prohibited.

Technology Generation <sup>#1</sup>		32 nm	22 nm	16 nm	Measurement Method
2-5.7	Edge surface conditions	Polished <sup>#5</sup>			
2-5.8	Back surface condition	Polished <sup>#5</sup>			
<b>2-6 DIMENSIONAL CHARACTERISTICS</b>					
2-6.1	Diameter 300 mm wafers 450 mm wafers	300 ± 0.2 mm 450 ± 0.1 mm			SEMI M1, SEMI MF2074
2-6.2	Notch dimensions Depth Angle	1.00 + 0.25 – 0.00 mm 90° +5° –1°			SEMI MF1152
2-6.3	Orientation of Notch Axis or, if notchless, the primary orientation fiducial mark <sup>#6</sup>	<110> ± 1°			
2-6.6	Edge profile 300 mm wafers 450 mm wafers	Customer Specified (T/4 Template or Parameter) Customer Specified (Parameter)			SEMI MF928 SEMI M73 SEMI M73
2-6.7	Thickness, center point 300 mm wafers 450 mm wafers	775 ± 20 µm 925 ± 20 µm			SEMI MF533
2-6.8	GBIR, less than	3 µm			SEMI MF1530
2-6.10	Warp <sup>#7</sup>	≤50 µm			
2-6.13	Flatness/SFQR <sup>#8</sup> (26 mm × 8 mm site size)	32 nm	22 nm	20 nm	SEMI MF1530
2-6.14	Nanotopography <sup>#9</sup> 2 mm × 2 mm	≤8 nm	≤6 nm	≤5 nm	SEMI M78
2-6.15	Near Edge Geometry	Customer Specified			SEMI M67 (ESFQR), SEMI M68 (ZDD)
<b>2-7 FRONT SURFACE CHEMISTRY</b>					
2-7.1.1	Sodium	≤1 × 10 <sup>10</sup> cm <sup>-2</sup>			SEMI MF1617 [SIMS], VPD/(AAS or ICP-MS)
2-7.1.2	Aluminum	≤1 × 10 <sup>10</sup> cm <sup>-2</sup>			SEMI MF1617, [SIMS], VPD/(AAS or ICP-MS)
2-7.1.3	Potassium	≤1 × 10 <sup>10</sup> cm <sup>2</sup>			SEMI MF1617 [SIMS], ISO 17406 [TXRF], ISO 17331 [VPD-TXRF]
2-7.1.4	Chromium	≤1 × 10 <sup>10</sup> cm <sup>-2</sup>			SEMI MF1617 [SIMS], ISO 17406 [TXRF], ISO 17331 [VPD-TXRF]
2-7.1.5	Iron	≤1 × 10 <sup>10</sup> cm <sup>-2</sup>	≤5 × 10 <sup>9</sup> cm <sup>-2</sup>		SEMI MF1617 [SIMS], ISO 17406 [TXRF], ISO 17331 [VPD-TXRF]
2-7.1.6	Nickel	≤1 × 10 <sup>10</sup> cm <sup>-2</sup>	≤5 × 10 <sup>9</sup> cm <sup>-2</sup>		SEMI MF1617 [SIMS], ISO 17406 [TXRF], ISO 17331 [VPD-TXRF]
2-7.1.7	Copper	≤1 × 10 <sup>10</sup> cm <sup>-2</sup>	≤5 × 10 <sup>9</sup> cm <sup>-2</sup>		SEMI MF1617 [SIMS], ISO 17406 [TXRF], ISO 17331 [VPD-TXRF]
2-7.1.8	Zinc	≤1 × 10 <sup>10</sup> per cm <sup>2</sup>			SEMI MF1617 [SIMS], ISO 17406 [TXRF], ISO 17331 [VPD-TXRF]
2-7.1.9	Calcium	≤1 × 10 <sup>10</sup> per cm <sup>2</sup>			SEMI MF1617 [SIMS], ISO 17406 [TXRF], ISO 17331 [VPD-TXRF]
<b>2-8 FRONT SURFACE INSPECTION CHARACTERISTICS</b>					
2-8.1	Scratches – Macro	None			SEMI MF523
2-8.2	Scratches – Micro	None			SEMI MF523
2-8.3	Pits (COP)	Customer Specified			SEMI MF523

Technology Generation <sup>#1</sup>		32 nm	22 nm	16 nm	Measurement Method
2-8.4	Haze	Customer Specified			SEMI MF523
2-8.5	Localized Light Scatterers - size - density	≥45 nm	≥32 nm	≥32 nm	SEMI M53, SEMI M58
		≤0.19 cm <sup>-2</sup>	≤0.19 cm <sup>-2</sup>	≤0.18 cm <sup>-2</sup>	
2-8.6	Edge Chips	None			SEMI MF523
2-8.11	Dimples	None			SEMI MF523
2-8.14	Orange Peel	None			SEMI MF523
<b>2-9 BACK SURFACE INSPECTION CHARACTERISTICS</b>					
2-9.1	Edge Chips	None			SEMI MF523
2-9.2	Edge Cracks	None			SEMI MF523
2-9.4	Contamination/Area	None			SEMI MF523
2-9.6	Stains	None			SEMI MF523
2-9.9	Scratches – Macro	None			SEMI MF523
2-9.10	Scratches – Micro	None			SEMI MF523
2-9.11	Localized Light Scatterers	Customer Specified			SEMI M53, SEMI M58

#1 The nominal Technology Generation (node) numbers given in this document and in other Silicon Wafer Committee Standards use a scaling rule of  $1 / \sqrt{2}$  (~0.7) per generation. This is similar to the 2010 Litho Focus DRAM ½ pitch table in the ITRS. This may differ from latest industry or other ITRS practice by a few nanometers.

#2 For 300 mm wafers center to 6 mm from the edge; for 450 mm wafers measured between Center Point and a point on a radius 219 mm from the Center Point.

#3 For 223.5 mm FQA radius, there may be limitations in verifying the quality of wafers close to the wafer edge due to limitations of measurement equipment, especially in the fiducial mark and Data Matrix code symbol (SEMI T7) areas of the wafer.

#4 For 300 mm wafers center to 10 mm from the edge; for 450 mm wafers measured between Center Point and a point on a radius 215 mm from the Center Point.

#5 Implies a surface condition and not a particular processing technique.

#6 See Figure 6 and Table 9 for orientation of secondary and tertiary orientation flats on notchless 450 mm diameter wafers.

#7 Warp corrected for gravitational effects. However, warp is not an adequate wafer shape specification for all applications.

#8 The SFQR values are maximum values including partial sites. These values are considered challenging. SFQR specifications may be agreed upon between supplier and customer.

#9 The nanotopography values generally follow ITRS using node values for DRAM ½ pitch with a modification at the 16 nm node because of measurement capability limitations. These values are considered challenging. If desired, different nanotopography specifications may be agreed upon between supplier and customer.

## RELATED INFORMATION 2 SURFACE METAL CONTAMINATION

**NOTICE:** This Related Information is not an official part of SEMI M1 and was derived from the work of the Silicon Materials Global Technical Committee. This Related Information was approved for publication by full letter ballot procedures.

### R2-1 Introduction

R2-1.1 Maximum allowable surface metal contamination levels for wafers to be used in integrated circuit (IC) fabrication generally depend upon the IC device density and upon the IC process design. In general, as the device density increases, the allowable surface metal contamination levels become lower.

R2-1.2 This Related Information is intended to provide guidance regarding allowable surface concentrations of metal contaminants that have been reported to be deleterious to circuit and device performance.

### R2-2 Suggested Allowable Surface Metal Contamination Levels for 1 $\mu\text{m}$ Geometries

R2-2.1 Table R3-1 lists suggested surface metal limits for circuits and devices with a minimum linewidth in the range of 0.8  $\mu\text{m}$  to 1.2  $\mu\text{m}$  for two alkali metals (Na, K), a light metal (Al), and five heavy metals (Cr, Fe, Ni, Cu, Zn). These are same elements as are listed in the items under § 2-7 of Table 1, Part 2, and they are listed in the same order as they appear in that table.

R2-2.1.1 Contaminant levels in Table R3-1 are significantly higher than would be allowed for leading edge technologies at the time of approval of this Standard.

### R2-3 Test Methods

R2-3.1 The test methods suitable for use in determining the levels of each surface metal contaminant are listed in the items under § 2-7 of Table 1, Part 2. This list and the related discussion of these test methods in Related Information 4 should be used for guidance in selecting and specifying methods appropriate for testing the individual surface metals.

**Table R2-2 Suggested Polished Wafer Surface Metal Contamination Limits Appropriate to Circuits and Devices with a Minimum Linewidth in the Range 0.8  $\mu\text{m}$  to 1.2  $\mu\text{m}$**

<i>Element</i>	<i>Contaminant Level</i>
Sodium (Na)	Not greater than $1 \times 10^{11}$ atoms/cm <sup>2</sup>
Aluminum (Al)	Not greater than $1 \times 10^{11}$ atoms/cm <sup>2</sup>
Potassium (K)	Not greater than $1 \times 10^{11}$ atoms/cm <sup>2</sup>
Chromium (Cr)	Not greater than $1 \times 10^{11}$ atoms/cm <sup>2</sup>
Iron (Fe)	Not greater than $1 \times 10^{11}$ atoms/cm <sup>2</sup>
Nickel (Ni)	Not greater than $1 \times 10^{11}$ atoms/cm <sup>2</sup>
Copper (Cu)	Not greater than $1 \times 10^{11}$ atoms/cm <sup>2</sup>
Zinc (Zn)	Not greater than $1 \times 10^{12}$ atoms/cm <sup>2</sup>

## **RELATED INFORMATION 3**

### **TEST METHODS**

**NOTICE:** This Related Information is not an official part of SEMI M1 and was derived from the work of the Silicon Wafer Global Technical Committee. This information was developed during the revision of this Standard in 2004. It is based in part on information previously published as part of SEMI M28, Withdrawn in October 2000. This Related Information was approved for publication by full letter ballot procedures on November 19, 2008.

#### **R3-1 Introduction**

R3-1.1 This Related Information section discusses aspects of the various test methods listed in Part 2 of Table 1 together with additional information about other test methods that are not listed in Table 1 but either have been or still are used in the industry. Note that these include the DIN test methods listed in the Related Documents section.

R3-1.2 The next section contains references to the test methods that are not cited in the main body of SEMI M1 but that are discussed here. The various test methods are discussed in order that the items they cover are listed in Table 1.

R3-1.3 Note that silicon wafers are extremely fragile. While the mechanical dimensions of a wafer can be measured by use of tools such as micrometer calipers and other conventional techniques, the wafer may be damaged physically in ways that are not immediately evident. Special care must, therefore, be used in the selection and execution of measurement methods.

#### **R3-2 Referenced Standards and Documents**

R3-2.1 The standards listed in this section are referenced only in this Related Information. See § 3 for other standards that are referenced in this Related Information.

##### *R3-2.2 SEMI Standards and Safety Guidelines*

~~SEMI M49 — Guide for Specifying Geometry Measurement Systems for Silicon Wafers for the 130 nm to 16 nm Technology Generations~~

SEMI M50 — Test Method for Determining Capture Rate and False Count Rate for Surface Scanning Inspection Systems by the Overlay Method

SEMI M52 — Guide for Specifying Scanning Surface Inspection Systems for Silicon Wafers for the 130 nm to 11 nm Technology Generations

SEMI MF43 — Test Methods for Resistivity of Semiconductor Materials

SEMI MF154 — Guide for Identification of Structures and Contaminants Seen on Specular Silicon Surfaces

SEMI MF398 — Test Method for Majority Carrier Concentration in Semiconductors by Measurement of Wavenumber or Wavelength of the Plasma Resonance Minimum

SEMI MF723 — Practice for Conversion Between Resistivity and Dopant Density for Boron-Doped, Phosphorus-Doped, and Arsenic-Doped Silicon

SEMI MF1527 — Guide for Application of Certified Reference Materials and Reference Wafers for Calibration and Control of Instruments for Measuring Resistivity of Silicon

SEMI MF1529 — Test Method for Sheet Resistance Uniformity Evaluation by In-Line Four-Point Probe with the Dual-Configuration Procedure

SEMI MF1618 — Practice for Determination of Uniformity of Thin Films on Silicon Wafers

SEMI MF1810 — Test Method for Counting Preferentially Etched or Decorated Surface Defects in Silicon Wafers

##### *R3-2.3 ANSI Standard<sup>1</sup>*

ANSI/ASME B46.1 — Surface Texture (Surface Roughness, Waviness, and Lay)

##### *R3-2.4 ISO Standards<sup>5</sup>*

ISO 4287-1 — Surface Roughness – Terminology – Part 1: Surface and Its Parameters

ISO 14644-1 — Clean Room and Associated Controlled Environments – Part 1: Classification of Air Cleanliness

R3-2.5 *JEITA Standard*<sup>6</sup>

JEITA EM-3505 — Height Calibration in 1 nm Order for AFM

**NOTICE:** Unless otherwise indicated, all documents cited shall be the latest published versions.

### **R3-3 General Characteristics**

R3-3.1 *Crystal Orientation* — The crystallographic orientation of the source crystal can be determined by the x-ray methods of SEMI MF26, JEITA EM-3501, or DIN 50433-1, the optical method of SEMI MF26 or DIN 50433-2, or the Laue method of DIN 50433-3. X-ray methods are most commonly used by wafer suppliers.

R3-3.2 *Conductivity Type* — One of the five methods of SEMI MF42, one of the methods of JIS H 0607, or one of the four methods of DIN 50432 should be used to determine conductivity type. This quantity is generally well controlled by silicon wafer suppliers and is not usually verified on incoming.

R3-3.3 Many of these tests need to be carried out in cleanroom environments of ISO Class 4, 5, or 6 depending on the cleanliness requirements. These classes are defined in ISO 14664-1.

### **R3-4 Electrical Characteristics**

R3-4.1 *Resistivity* — The resistivity of wafers is most appropriately determined for referee purposes by SEMI MF84 or DIN 50431. Under some circumstances these tests may be considered destructive, and an alternative means may be required. One nondestructive test is SEMI MF673, having a range from 0.0001 to 100  $\Omega \cdot \text{cm}$ . Another nondestructive test is SEMI MF398, which is limited to carrier concentrations in the ranges from  $1.5 \times 10^{18}$  to  $1.5 \times 10^{21} \text{ cm}^{-3}$  for *n*-type silicon and from  $3 \times 10^{18}$  to  $5 \times 10^{20} \text{ cm}^{-3}$  for *p*-type silicon, and has only moderate inter-laboratory precision. Other older methods that require a bar-shaped test specimen include SEMI MF43 and DIN 50430; these methods are no longer in general use. It is also possible to establish the dopant density from room temperature measurements in silicon using SEMI MF723 (for boron, phosphorus, or arsenic doped silicon) or DIN 50444 (for boron and phosphorus doped silicon only). SEMI MF1527 is a useful standard in describing ways of ensuring that resistivity measuring instruments are performing correctly.

R3-4.2 *Radial Resistivity Variation* — The radial resistivity of wafers is generally determined by SEMI MF81 or DIN 50435. These test methods use several different measurement positions so the desired position must be specified. It is also possible to measure the resistivity in one of the circular patterns specified in SEMI MF1618 using SEMI MF1529 as the resistivity measurement method.

R3-4.3 *Resistivity Striations* — One of the techniques discussed in § R2-9 can generally be used to visually view resistivity striations. For an electrical measurement, spreading resistance (SEMI MF525) is generally employed but this is strictly an off-line measurement.

R3-4.4 *Minority Carrier Lifetime* — A number of methods can be used to measure minority carrier lifetime. The photoconductive decay methods (SEMI MF28, JIS H 0604, and DIN 50440-1) all require the use of special test specimens; microwave reflectivity measurements (SEMI MF1535 and JEITA EM-3502) and eddy-current sensing measurements (SEMI PV13) are applicable to measurements on wafers, but special surface passivation procedures may be required to obtain meaningful results. Minority carrier lifetime may also be inferred from measurements made in accordance with SEMI MF1388, which yields generation lifetime if the measurements are made at room temperature and recombination lifetime if they are made at elevated temperature (50°C to 75°C). The test specimens required for this test method can be made by procedures compatible with typical wafer processing.

### **R3-5 Chemical Characteristics**

R3-5.1 *Oxygen Concentration* — In relatively high resistivity specimens ( $\rho > 1$  to 3  $\Omega \cdot \text{cm}$  at room temperature) should be measured by infrared techniques. SEMI MF1188, JEITA EM-3504, and DIN 50438 all utilize approximately normal incidence illumination and the IOC-88 calibration factor to determine the interstitial oxygen content. See SEMI M44 for a discussion of other calibration factors and their relationship to IOC-88. SEMI MF1619 uses *p*-polarized radiation, incident at the Brewster angle to reduce the multiple reflections from polished wafers. For more heavily doped wafers, secondary ion mass spectrometry (SIMS, SEMI MF1366) and gas fusion analysis

(GFA) can be used to determine total oxygen content. There is no standardized method for performing GFA, and the repeatability of this technique is generally poorer than SIMS.

**R3-5.2 Radial Oxygen Variation** — The radial variation of oxygen should be determined by measuring the oxygen content by one of the above methods at selected locations on the wafer defined in SEMI MF951. Usually measurements are made at the center and at a single point on the primary flat or notch bisector 10 mm from the edge of the wafer opposite the fiducial; this is known as test plan A-1.

**R3-5.3 Carbon Concentration** — The carbon concentration should be measured by SEMI MF1391, JEITA 56, or DIN 50438-2. The most modern calibration coefficients are used in SEMI MF1391 and JEITA 56. For these methods, the test specimen cannot be too heavily doped, and special thick test specimens may be necessary.

**R3-5.4 Boron Contamination** — In heavily doped *n*-type silicon, boron contamination can be determined by SEMI MF1528.

**R3-5.5 Bulk Iron Content** — The bulk iron content can be determined by deep level transient spectroscopy in accordance with SEMI MF978. Under certain conditions it can be determined from surface photovoltage measurements made in accordance with SEMI MF391; however, interpretation of the presence of iron or other contaminants by this test method is outside the scope of the Standard. Also, under certain conditions, iron content has been proposed to be determined from microwave lifetime measurements; the effects of iron content on such measurements are discussed in some detail in SEMI MF1535 together with literature citations to the technical papers proposing such determinations. SEMI PV13 can be used to determine carrier recombination lifetime, from which the iron content can be deduced using appropriate methods in the literature.

### **R3-6 Structural Characteristics**

**R3-6.1 Dislocation Etch Pit Density, Slip, Lineage, Twins, and Swirl** — These defects are usually displayed by etching and visual or microscopic observation. JIS H 0609 is a comprehensive test method for carrying out this procedure with the use of non-chromic etchants. SEMI MF1809 also recommends non-chromic etchants for this test. For the full procedure, SEMI MF1809 must be used with other standards including SEMI MF1726 and SEMI MF1810. DIN 50434 is an older, but comprehensive test procedure to observe these and other structural defects based on chrome-containing etchants. It is also possible to observe these defects by X-ray topography using DIN 50443-1.

**R3-6.2 Shallow Pits** — Shallow pits can be exposed by the relatively low temperature heating cycle and procedures in SEMI MF1049 if they are present in sufficient density, but testing for shallow pits in production environments is usually carried out using the temperature cycle in SEMI MF1727 followed by etching with etchants discussed in SEMI MF1809, examination by SEMI MF1726, and counting by SEMI MF1810. They can also be observed by using JIS H 0609.

**R3-6.3 Oxidation Induced Stacking Faults (OISF)** — OISF can be observed by using JIS H 0609, or by using the temperature cycle in SEMI MF1727 followed by etching with etchants discussed in SEMI MF1809, examination by SEMI MF1726, and counting by SEMI MF1810. OISF can also be observed by X-ray topography using DIN 50443-1 following thermal treatment according to JIS H 0609 or SEMI MF1727.

**R3-6.4 Oxide Precipitates (Bulk Micro Defects [BMD])** — These defects are generated with the use of a temperature cycle such as those in SEMI MF1239. The amount of precipitation can be measured by the oxygen reduction method of SEMI MF1239 or by direct observation by infrared that has not yet been standardized. The density of bulk micro defects can be measured by JEITA EM-3508. The width of a denuded zone can also be measured using JEITA EM-3508.

**R3-6.5 Bulk Defects** — X-ray topography can be used in accordance with DIN 50443-1 to detect bulk defects.

### **R3-7 Dimensional Characteristics**

**R3-7.1 Diameter** — In silicon wafers that have been edge profiled with cam follower equipment the diameter is presently very well controlled. Three-point measurements of diameter can be made at locations defined by SEMI MF2074 and, except for positions on 150 mm diameter, *n*-type (100) wafers, by DIN 50441-4. The latter utilizes a measuring or projection microscope to make the diameter measurements, but the former allows a dial gauge or any other equally accurate method of dimensional measurement.

R3-7.2 *Flat Length* — SEMI MF671 should be used to determine flat length. If flat diameter is specified instead of flat length, it can be determined by ¶ 6.2.1 of DIN 50441-4 or by a dial gauge method as agreed upon between the supplier and customer. Both SEMI MF671 and DIN 50441-4 take account of the possibility that the ends of the flat might be rounded.

R3-7.3 *Notch Dimensions* — Notch dimensions should be determined by SEMI MF1152 (see Figure 5).

R3-7.4 *Flat Orientation* — Flat orientation can be confirmed by SEMI MF847. There is no standardized method for determining the crystallographic orientation of the diameter that bisects the notch.

R3-7.5 *Edge Profile* — When specified by a T/3 or T/4 template, conformance of the edge profile is usually determined by using one of the two templates (see Figure 6) with Method B of SEMI MF928 or Procedure 2 of DIN 50441-2, both of which are nondestructive. The other two procedures in these methods are destructive and not so widely used. When parameters are specified, either of the test methods in SEMI M73 should be used. These two test methods utilize different fitting locations and procedures so that the results obtained for specific parameters would not be expected to agree with each other so it is critical for the test method to be agreed upon between supplier and customer.

R3-7.6 *Thickness* — Wafer thickness is usually determined at the center point of the wafer with the use of SEMI MF1530, an automated technique. Manual techniques originally used in the industry include SEMI MF533, JIS H 0611, and DIN 50441-1.

~~NOTE 14:~~NOTE 13: Test methods SEMI MF1530 (for thickness, thickness variation, and flatness), SEMI MF1451 (for sori), and SEMI MF1390 (for warp) may not be suitable for use on large diameter wafers with polished back surfaces. New standardized test methods for measuring these parameters on such wafers have been considered for development for some time.

R3-7.7 *Total Thickness Variation (TTV)* — TTV was originally determined with the use of manual 5-point techniques covered in SEMI MF533, JIS H 0611, and DIN 50441-1. JIS H 0611 differs from SEMI MF533 and DIN 50441-1, in that the measurements in JIS H 0611 are taken at the center and at 5 mm from the edge on diameters parallel and perpendicular to the primary flat or notch bisector, while the measurements in SEMI MF533 and DIN 50441-1 are taken at the center and at 6 mm from the edge either on the diameters parallel and perpendicular to the primary flat or notch bisector or on diameters 30° and 120° counterclockwise from the bisector to the primary flat or notch (with the wafer facing front surface up). TTV can also be ~~determined with the use of SEMI MF657, which involves a continuous scan pattern over a portion of the wafer surface. Currently, however, it is most frequently~~ determined using SEMI MF1530, which involves an automated continuous scan pattern over the entire wafer surface. In this case, the quantity determined is equal to the global flatness GBIR (see Appendix 1).

R3-7.8 *Surface Orientation* — The X-ray methods of SEMI MF26, JEITA EM-3501, or DIN 50433-1, the optical method of SEMI MF26 or DIN 50433-2, or the Laue method of DIN 50433-3 can be used to determine surface orientation.

R3-7.8.1 For off-orientation {111} wafers, the orthogonal misorientation may be specified (see line 2-1.9 of Table 1, Part 2). There is no standardized measurement method for this property so it should be determined by a method agreed upon between supplier and customer.

R3-7.9 *Bow* — Bow can be determined with the manual methods ~~SEMI MF534 and~~ JIS H 0611 and with the automated method SEMI MF1390. Currently, bow is not as widely used a parameter as warp

R3-7.10 *Warp* — Warp is currently most often measured with the use of SEMI MF1390, which is an automated method with full surface scan and correction for gravitational sag. ~~It can also be measured with the use of the contactless manual method SEMI MF657, in which the prescribed scan pattern covers only a portion of the wafer surface in which there is no correction for gravitational sag. As noted in Appendix 2, different reference planes are used for the two methods. Because SEMI MF657 employs a back surface reference plane, the measured warp may include contributions from thickness variation of the wafer. SEMI MF1390~~ This method employs a median surface reference plane and is not susceptible to interferences from thickness variations. In general, the latter is preferred, especially for wafers 150 mm in diameter and larger ~~(see Note 6, above)~~.

R3-7.11 *Sori* — Sori, which is sometimes specified in lieu of bow or warp or both, can be determined by SEMI MF1451 ~~(see Note 6, above)~~.

R3-7.12 *Global Flatness* — Global flatness can be determined by either capacitance measurements, as in SEMI MF1530, or by multiple beam interference, as in DIN 50441-3. Generally, the former is considered to be more reliable, especially as the need increases for measuring smaller flatness deviation (see Note 6, above). As noted in ¶ R2-7.7, GBIR is the same as TTV; other global flatness parameters are discussed in Appendix 1.

R3-7.13 *Site Flatness* — Site flatness is generally determined by SEMI MF1530 (see Note 6, above). The most commonly used site flatness parameter is SFQR; other site flatness parameters are discussed in Appendix 1. Although it is not widely used, the scanning site flatness parameter SFSR was recently introduced. For this parameter, use a subsite width,  $W_{ss}$ , equal to 8 mm and orient the wafer so the effective scan direction is along the wafer's y-axis as defined in SEMI M20.

R3-7.13.1 SEMI M49 is a guide for specifying test equipment for use in determining thickness, shape, and flatness parameters on wafers intended to be used to fabricate advanced integrated circuits.

R3-7.14 *Nanotopography* — SEMI M43 gives a variety of options that can be used for measurement of nanotopography, so it is essential to specify the various conditions that are desired in any given case. The conditions chosen should be agreed upon between supplier and customer.

R3-7.15 *Near Edge Geometry* — There are four classes of metrics for characterizing the near edge geometry of the wafer.

R3-7.15.1 SEMI M67 quantifies the flatness aspects of the near edge geometry using the one or more of the flatness metrics, EFSQR, EFSQD, or ESBIR over sectors at the outer edge of the FQA.

R3-7.15.2 SEMI M68 quantifies the radial curvature, ZDD, of wafers in the near edge region.

R3-7.15.3 SEMI M77 quantifies the roll-off amount, ROA, based on a linear reference, (L-ROA) or a polynomial reference (P-ROA) in the near edge region.

R3-7.15.4 SEMI M70 quantifies the wafer flatness using partial sites at the outer edge of the FQA. The metrics are PSFQR or PSFQD.

### R3-8 Front Surface Chemistry

#### R3-8.1 *Surface Metal Contaminants*

R3-8.1.1 Sodium, aluminum, potassium, and iron can be measured by secondary ion mass spectrometry (SIMS), inductively coupled plasma mass spectrometry (ICP/MS), or atomic absorption spectroscopy (AAS). SIMS has been standardized as SEMI MF1617. ICP/MS has been standardized as SEMI M85. The latter two methods are frequently combined with vapor phase decomposition (VPD), ~~but they have not yet been standardized.~~

R3-8.1.2 Potassium, chromium, iron, nickel, copper, and zinc can be measured by total reflection x-ray fluorescence spectroscopy (TXRF), ICP/MS, and AAS. TXRF has been standardized both with (ISO 17331) and without (ISO 14706) use of VPD to preconcentrate the surface metal contaminants.

R3-8.1.2.1 VPD is chemical preconcentration of the surface metals using vapor phase HF to decompose the surface native oxide and a water (or acid-spiked water) droplet to scan across the wafer dissolving the surface metals. The recovery rate of this preconcentration method is dependent upon the chemistry of the surface metals and upon the chemistry used for the preconcentration. An alternative preconcentration method to VPD is to scan an acid droplet across the wafer surface.

R3-8.1.2.2 VPD/AAS is a single-element technique which is widely used in Japan. It is element-specific and very sensitive. VPD/ICP-MS is a rapid multi-element technique which is a more recent development. It is also very sensitive, but its reproducibility is dependent upon the injection process into the ICP-MS. VPD/TXRF is an even more recently developed multi-element technique. It is also very sensitive, but its reproducibility is dependent on the residue-drying process.

R3-8.2 *Surface Organics* — Surface organics can be measured by SEMI MF1982. This Standard describes two methods; the method to be utilized should be agreed upon between supplier and customer.

### R3-9 Surface Inspection Characteristics

R3-9.1 *Visual Inspection* — Either the front or back surface of wafers can be visually inspected in accordance with SEMI MF523 or JIS H 0614. The following conditions should be used for examination under high intensity illumination:

- Background light intensity:  $8 \pm 2$  fc ( $86 \pm 22$  lux),
- Angle (alpha):  $45^\circ \pm 10^\circ$ , and
- Angle (beta):  $90^\circ \pm 10^\circ$ .

See ¶ 6.9 and subsequent paragraphs for a discussion of which artifacts on the surface should be considered as defects. SEMI MF154 is a useful guide for identifying structures and contaminants seen on silicon surfaces.

R3-9.1.1 *Scratches* — In inspecting for scratches, it is important to note that while macro-scratches can be seen under both high intensity and diffuse illumination, micro-scratches can be seen only under high intensity illumination. Therefore, to separate the two kinds of scratches, it is necessary to count the scratches observed under both kinds of illumination. The count of scratches seen under diffuse illumination is the number of macro-scratches while the difference of the counts seen under high intensity illumination and diffuse illumination is the number of micro-scratches. Of course, if the total requirement is for no scratches of either kind, then examination under high intensity illumination only is adequate.

R3-9.2 *X-ray Topography (DIN 50443-1)* — This method may see defects that do not intersect the surface, and can also be used to examine for bulk defects in the wafer (see row 2-4.10 of Table 1).

R3-9.3 *Automated Surface Inspection by Light Scattering* — Many surface defects, especially on polished surfaces, can be detected with automated surface inspection techniques based on light scattering. These techniques have not been fully standardized, but there is a group of standards that assist in making certain that the instruments are performing correctly. These include SEMI M52 for determining if surface scanning inspection systems (SSIS) have suitable characteristics for the desired use, SEMI M53 for calibrating SSISs, SEMI M58 for assuring that the calibration artifacts meet the desired requirements, SEMI M50 for determining capture rate characteristics of SSISs and SEMI M35 for discriminating among various surface features with an SSIS. Because of the lack of complete standardization, the testing conditions for use of SSISs should be agreed upon between supplier and customer.

R3-9.3.1 *Localized Light Scatterers* — SSISs are particularly appropriate for inspecting polished surfaces for the presence of particles and other localized light scatterers (LLS). In this case, it is essential to define the size ranges (in units of latex sphere equivalents, LSE) as well as the maximum permissible counts, usually in terms of counts per wafer, but occasionally in terms of counts per unit area.

~~NOTE 15:~~NOTE 14: A table relating counts per wafer to counts per square meter and counts per square centimeter is given in SEMI M62.

R3-9.4 *Surface Roughness* — The size of particle or other LLS that can be detected on a surface is affected by the surface roughness. SEMI M40 provides guidance on how to measure and report surface roughness on planar surfaces. Surface microroughness can be determined with SSISs, through the use of the power spectral density as described in SEMI MF1811, or with an atomic force microscope, which can be calibrated with the use of JEITA EM-3505. Other standards useful in connection with surface microroughness measurements include ISO 4287-1 and ANSI/ASME B46.1. Because of the lack of standardization, the testing conditions for surface microroughness measurements should be agreed upon between supplier and customer.

~~NOTE 16:~~NOTE 15: SEMI MF1048 provides a standardized way of determining surface rms microroughness over a defined spatial bandwidth range.

R3-9.5 *Back Surface Finish* — The back surface of 300 mm diameter wafers specified as ‘polished’ is not as smooth as the mirror polished front surface. The standard quantitative test for the back-surface polish is gloss. The general techniques for determining gloss are given in ASTM D523 and JIS Z 8741. However, for measuring gloss of silicon surfaces, visible illumination at a  $60^\circ$  angle of incidence is referenced to a mirror polished silicon front surface. Surface microroughness measurements (see ¶ R2-9.4) can also be used as a quantitative test for back surface finish, especially when it is necessary to observe particles or other LLSs smaller than  $0.25 \mu\text{m}$  LSE on the surface.



## REVISION RECORD

**NOTICE:** The following information is provided to track revisions to this Document. Negative votes may not be cast against this information. Changes can be submitted to SEMI Staff via a Publication Improvement Proposal (PIP) form available from the SEMI Standards Web site.

<i>Cycle</i>	<i>Authorization</i>	<i>Section</i>	<i>Description</i>																																																				
0305	Ballot 3907	Entire Document	<p>This revision combines most of SEMI M1 with parts of SEMI M18 to form a new set of specifications that includes:</p> <p>Purpose, a new scope, referenced standards, ordering information (consolidated with some of SEMI M18), requirements (assembled from several existing sections in SEMI M1), sampling, test methods, certification, and packing and shipping container labeling sections;</p> <p>Basic polished wafer specifications (developed by the Basic Wafer Specification TF);</p> <p>The two Appendices and one Related Information section included in previous editions of SEMI M1; and</p> <p>A new Related Information section on detailed discussion of test methods, based largely on material previously in SEMI M28.</p> <p>A new table of contents has been added to make it easier to locate specific information in the Standard, and the terminology section of SEMI M1 was combined with SEMI MF1241 and issued as SEMI M59. The EDI codes from SEMI M18 remain in that Standard.</p> <p>The material in all of the substandards previously included at the end of SEMI M1 is now included in the body of the document with no change of the technical content. In addition, polished wafers and substrates have been assigned category numbers based on the previous substandard designation number. In some cases there are two categories, based on differences in the edge rounding template used. All of the specification requirements previously in the substandards have been moved to tables as follows:</p> <table border="1"> <thead> <tr> <th><i>Substandard</i></th> <th><i>Nominal Diameter</i></th> <th><i>Located in Table</i></th> <th><i>Wafer Category(s)</i></th> </tr> </thead> <tbody> <tr><td>SEMI M1.1</td><td>2 inch</td><td>4</td><td>1.1</td></tr> <tr><td>SEMI M1.2</td><td>3 inch</td><td>4</td><td>1.2</td></tr> <tr><td>SEMI M1.5</td><td>100 mm</td><td>5</td><td>1.5</td></tr> <tr><td>SEMI M1.6</td><td>100 mm</td><td>5</td><td>1.6</td></tr> <tr><td>SEMI M1.7</td><td>125 mm</td><td>5</td><td>1.7</td></tr> <tr><td>SEMI M1.8</td><td>150 mm</td><td>6</td><td>1.8.1 and 1.8.2</td></tr> <tr><td>SEMI M1.9</td><td>200 mm</td><td>9</td><td>1.9.1 and 1.9.2</td></tr> <tr><td>SEMI M1.10</td><td>200 mm</td><td>8</td><td>1.10.1 and 1.10.2</td></tr> <tr><td>SEMI M1.11</td><td>100 mm</td><td>7</td><td>1.11</td></tr> <tr><td>SEMI M1.12</td><td>125 mm</td><td>7</td><td>1.12</td></tr> <tr><td>SEMI M1.13</td><td>150 mm</td><td>8</td><td>1.13.1 and 1.13.2</td></tr> <tr><td>SEMI M1.15</td><td>300 mm</td><td>9</td><td>1.15</td></tr> </tbody> </table> <p>Additional material related to 300 mm wafers is given elsewhere in SEMI M1, most notably in ¶ 6.5.1.4, which describes the wafer marking requirements. Also it should be noted that (1) the information on surface orientation, for which the substandards allowed any of a number of options, has been moved to Item 2-1.8 of Table 1, Silicon Wafer Specification Format for Order Entry, Parts 1 and 2, and (2) the information on orthogonal misorientation, which is the same for all (111) silicon wafers has been moved to Item 2-1.9 of the same table.</p>	<i>Substandard</i>	<i>Nominal Diameter</i>	<i>Located in Table</i>	<i>Wafer Category(s)</i>	SEMI M1.1	2 inch	4	1.1	SEMI M1.2	3 inch	4	1.2	SEMI M1.5	100 mm	5	1.5	SEMI M1.6	100 mm	5	1.6	SEMI M1.7	125 mm	5	1.7	SEMI M1.8	150 mm	6	1.8.1 and 1.8.2	SEMI M1.9	200 mm	9	1.9.1 and 1.9.2	SEMI M1.10	200 mm	8	1.10.1 and 1.10.2	SEMI M1.11	100 mm	7	1.11	SEMI M1.12	125 mm	7	1.12	SEMI M1.13	150 mm	8	1.13.1 and 1.13.2	SEMI M1.15	300 mm	9	1.15
<i>Substandard</i>	<i>Nominal Diameter</i>	<i>Located in Table</i>	<i>Wafer Category(s)</i>																																																				
SEMI M1.1	2 inch	4	1.1																																																				
SEMI M1.2	3 inch	4	1.2																																																				
SEMI M1.5	100 mm	5	1.5																																																				
SEMI M1.6	100 mm	5	1.6																																																				
SEMI M1.7	125 mm	5	1.7																																																				
SEMI M1.8	150 mm	6	1.8.1 and 1.8.2																																																				
SEMI M1.9	200 mm	9	1.9.1 and 1.9.2																																																				
SEMI M1.10	200 mm	8	1.10.1 and 1.10.2																																																				
SEMI M1.11	100 mm	7	1.11																																																				
SEMI M1.12	125 mm	7	1.12																																																				
SEMI M1.13	150 mm	8	1.13.1 and 1.13.2																																																				
SEMI M1.15	300 mm	9	1.15																																																				

<i>Cycle</i>	<i>Authorization</i>	<i>Section</i>	<i>Description</i>
1106	Ballot 4281	§ 7, Table 8, and Table 13	<p>Information and tables being considered for change in Document 4281 was last revised as Document 3907 in July 2004. § 7 and Table 12 of SEMI M1 has become generally known as the Basic Wafer Specification. Several changes and enhancements were recommended at the San Francisco and Tokyo Silicon Wafer Committee meetings in 2005. Agreement was reached to ballot the suggested line items at the NA Silicon Wafer Committee meeting in Denver in March 2006.</p> <p>Changes to the titles and table description are offered to better explain the Non-optional character of the Basic Wafer and to remove the association of the Basic Wafer Specification to a specific device node. The sense of the committee is that the basic wafer should not change with the industry node.</p> <p>Format Alignment - Item names were changed for Fiducial Dimension and Primary Flat / Notch Orientation to align with M18.</p> <p>Clarification of the specification for site flatness as being based on shipment sized quantities of site measurements rather than based upon individual wafer based site distributions.</p> <p>The definition of micro scratches needed clarification to allow integration into SSIS tool recipes.</p> <p>The tolerance of center point thickness for 200 mm wafer (without secondary flat) was added to be consistent with table 9, which specifies <math>725 \pm 20 \mu\text{m}</math> for 200 mm notched wafer.</p>
0307	Ballot 4273	§ 3, § 6, and Appendix 3	§ 3.8, ¶ 6.3.2, and Appendix 3 were added to introduce RoHS requirements for silicon wafers.
0707	Ballot 4422	§ 5, § 6, and Table 1	Changes were made to ¶¶ 5.4.3, 6.1.5, 6.1.5.1 and Item 2-6.2 of Table 1.
0309	Ballot 4620	Throughout	<p>This revision involves the following parts of SEMI M1 dealing with edge profile specifications:</p> <p>Changes in ¶¶ 2.2 (addition of two new wafer categories), 3.1 (addition of test methods reference), 6.6.2 through 6.6.2.4, and R2-7.5;</p> <p>Changes in § 2-6.6 of Tables 1 and 9 (renumbered 10); and</p> <p>Addition of Appendix 3, Target-Based Template for Parameter-Specified Edge Profiles.</p> <p>In addition, several errors in the published text have been corrected, and the Appendices have been rearranged so that that they are numbered in the order they are referenced in the text of the Standard.</p>
1109	Ballot 4732	§ 3, Table 1, § 6, and Related Information 2	¶ 3.1: Title correction and new documents added. Table 1: Row 2-6.15 added. ¶ 6.3.2 revised, Note 1 added, ¶ 6.6.7 added. Related Information 2: ¶¶ R2-7.15-R2-7.15.4 added.
0211	Ballot 4927, Line Items 1-3	Throughout	Changes were made throughout the document.
0611	Ballot 5133, Line Items 1-2	§ 2.6, § 3, § 4.1, Table 1, and § R2-7	<p>Line Item 1 covers some updates that result from revisions of cited standards and a few other editorial and style issues.</p> <p>Line Item 2 covers some updates on withdrawn SOI Wafer references.</p>
1011	Ballot 5090	Throughout	Changes made to ¶¶ 2.2, 5.7, and Table. Addition of Note 5 and Table 11.
0812	Ballot 5251, Line Item 1	Throughout	Change made to § 4. Addition of ¶ 2.6, § 8, § 14, and Related Information 1.
0812	Ballot 5376, Line Item 1 & 2	Throughout	Changes made to § 3.4, 3.5, 4, 9, 13, and Related Information 2, and change made to § 3.4.



**LETTER BALLOT**

<i>Cycle</i>	<i>Authorization</i>	<i>Section</i>	<i>Description</i>
0413	Ballot 5441, Line Items 1–3	Throughout	Changes to remove reference to the template method of verifying that parameter-based edge profiles are within the specification and to replace the Appendix that describes how to construct the template with a Related Information section that gives a procedure for drawing the edge-shape envelope of wafers with parameter-based edge profiles; this line item includes all changes in § 2.6 of Table 1 (including addition of two new footnotes), in ¶¶ 6.6 through 6.6.3.4 including rearrangement of Tables 3 through 11, and in ¶ R4-7.5 as well as removal of Appendix 3 and addition of Related Information 2.  To remove § 7 (Basic Specification Without Optional Requirements) in its entirety; this line item also includes removal of ¶ 2.5.  Clean up a number of editorial issues, including the replacement of withdrawn SEMI M6 with SEMI PV22, and other format modifications; this line item includes all other changes in the Document. (See ¶¶ 2.2, 3.1, R3-3.1, R4-7.6 (Note 1), R4-7.7 (including removal of Note 6), and Footnote 3; §§ A1-1, A2-1, A2-2, A3-1, R3-1, and R4-1.)
1013	Ballot 5543, Line Items 1–3	Throughout	Changes made to Tables 1, 11 and R1-1, ¶¶ 6.6.3.2, 6.6.3.2.1, 6.6.3.2.2.1, 6.6.3.2.2.2, 6.6.3.2.3; Removal of Related Information 2, Profile-Parameter Based Edge Profile Envelopes.
0114	Ballot 5543, Line Item 4	Throughout	Changes made to Referenced Standards and Documents section, Table 1, ¶¶ R3-4.4 and R3-5.5.
0414	Ballot 5605, Line Item 1	Related Information 1	Changes made to Table R1-1, row 2-6.13 and footnote #6.
0414	Ballot 5653, Line Item 1	§ 6.6.3.2	Changes made to ¶¶ 6.6.3.2 and 6.6.3.2.2.1.
1014	Ballot 5701, Line Item 1 and PIP	§ 3, Table 1, Table R1-1, and R3-8.1	Remove references to SEMI M33 and SEMI E45, add reference to ISO 14706 and ISO 17731 in order to update and correct references to the test methods for surface chemistry of polished electronic grade silicon wafers.
0215	Ballot 5604, Line Item 1	Throughout	Changes made to Tables 1, 9 and R1-1; and ¶¶ 2.2, 6.1.5, 6.5.1.5, 6.6.2.3 through 6.6.2.4.3. Added Note 6 and Figures 6 through 9.
0915	Ballot 5655, Line Item 1	Throughout	Changes made to Tables 1, 9 and R1-1. Added ¶ 6.6.2.3.1 and new Note 6.

**NOTICE:** SEMI makes no warranties or representations as to the suitability of the Standards and Safety Guidelines set forth herein for any particular application. The determination of the suitability of the Standard or Safety Guideline is solely the responsibility of the user. Users are cautioned to refer to manufacturer’s instructions, product labels, product data sheets, and other relevant literature, respecting any materials or equipment mentioned herein. Standards and Safety Guidelines are subject to change without notice.

By publication of this Standard or Safety Guideline, SEMI takes no position respecting the validity of any patent rights or copyrights asserted in connection with any items mentioned in this Standard or Safety Guideline. Users of this Standard or Safety Guideline are expressly advised that determination of any such patent rights or copyrights and the risk of infringement of such rights are entirely their own responsibility.